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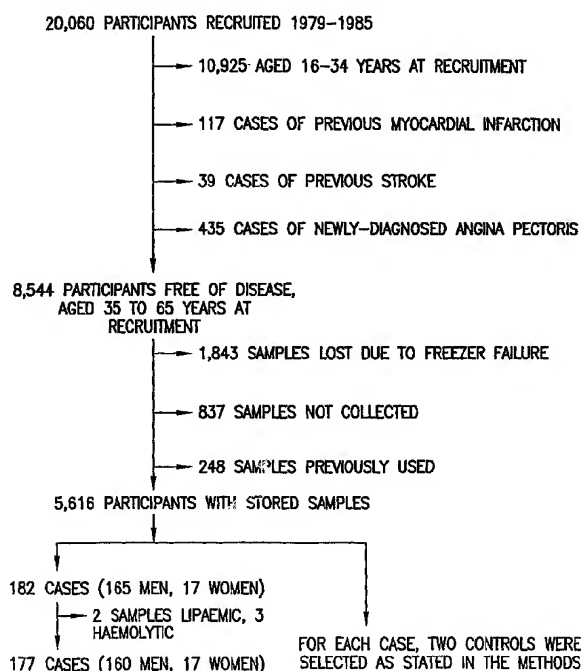
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[Continued on next page]

(54) Title: STEROL MARKERS AS DIAGNOSTIC TOOLS IN THE PREVENTION OF ATHEROSCLEROTIC DISEASES AND AS TOOLS TO AID IN THE SELECTION OF AGENTS TO BE USED FOR THE PREVENTION AND TREATMENT OF ATHEROSCLEROTIC DISEASE

FLOW-CHART SHOWING PROCEDURE FOR SELECTION OF CASES AND CONTROLS IN THE PRESENT STUDY.



(57) Abstract: The present invention relates to methods for characterizing an individual's risk profile of developing a future cardiovascular disorder by measuring the level of sterols obtained from a individual. The present invention also includes methods of evaluating the likelihood of whether an individual will benefit from treatment with an agent for reducing risk of a future cardiovascular event, such as atherosclerosis, myocardial infarction and stroke.

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STEROL MARKERS AS DIAGNOSTIC TOOLS IN THE PREVENTION OF  
ATHEROSCLEROTIC DISEASES AND AS TOOLS TO AID IN THE  
SELECTION OF AGENTS TO BE USED FOR THE PREVENTION AND  
TREATMENT OF ATHEROSCLEROTIC DISEASE

SPECIFICATION

CROSS REFERENCE TO RELATED APPLICATIONS

The present application claims priority to U.S. Provisional Application Serial Nos. 60/474,438, 60/551,178, and 60/559,170 filed May 30, 2003, March 8, 2004 and April 2, 2004, respectively, all of which are incorporated herein by reference in their entireties.

BACKGROUND OF THE INVENTION

The present invention relates to use of a diagnostic test to evaluate the risk of vascular diseases such as atherosclerosis, myocardial infarction and stroke.

Vascular disease is a term that broadly encompasses all disorders of blood vessels including small and large arteries and veins and blood flow. As used herein, "vascular" comprises cardiovascular, cerebrovascular, peripheral vascular and combinations thereof.

The most prevalent form of vascular disease is arteriosclerosis, a condition associated with the thickening and hardening of the arterial wall. Arteriosclerosis of the large vessels is referred to as atherosclerosis. Atherosclerosis is the predominant underlying factor in vascular disorders such as coronary artery disease, aortic aneurysm, arterial disease of the lower extremities and cerebrovascular disease. Atherosclerotic coronary heart disease (CHD) represents the major cause for death and vascular morbidity in the western world. Other vascular diseases include vascular inflammation, cardiovascular events and stroke.

Role of other sterols

Elevated blood levels of the most common sterol of animal origin, cholesterol, are well known to be associated with development of atherosclerosis and with its major complication, myocardial infarction. In addition, rare genetic disorders

characterized by extreme elevations of phytosterols, such as beta-sitosterolaemia and cerebrotendinous xanthomatosis also result in premature atherosclerosis (Björkhem I, Boberg KM. Inborn errors in bile acid biosynthesis and storage of sterols other than cholesterol. In: Scriver CR, Beaudet AL, Sly WS, Valle D, editors. The Metabolic and Molecular Bases of Inherited Disease on CD-ROM. 7 ed. New York: McGraw-Hill; 1997.) Individuals with beta sitosterolemia bear a mutation in the adenosine triphosphate binding cassette transporters ABCG5 or ABCG8, resulting in a failure to limit intestinal absorption of phytosterols (Hubacek JA, Berge KE, Cohen JC, Hobbs HH. Mutations in ATP-cassette binding proteins G5 (ABCG5) and G8 (ABCG8) causing sitosterolemia. Hum.Mutat. 2001;18:359-60; Berge KE, Tian H, Graf GA, Yu L, Grishin NV, Schultz J, Kwiterovich P, Shan B, Barnes R, Hobbs HH. Accumulation of dietary cholesterol in sitosterolemia caused by mutations in adjacent ABC transporters. Science 2000;290:1771-5) and leading to elevations in plasma sitosterol concentrations to between 250 and 1570  $\mu\text{mol/L}$ . Since such patients generally have only modest elevations of low-density lipoprotein (LDL) cholesterol, the increased atherosclerosis is generally attributed to the elevation in phytosterols. While it would appear that extreme elevations of phytosterols predispose to atherosclerosis, the atherogenic role of the more modest phytosterol concentrations seen in the general population is less clear.

Plasma concentrations of phytosterols in the normal population vary between 1 and 25  $\mu\text{mol/L}$ . A number of polymorphisms have been reported to contribute to the wide inter-individual distribution of plasma concentrations of plasma phytosterol level, indicating real differences in genetically determined sterol metabolism (Berge KE, von Bergmann K, Lutjohann D, Guerra R, Grundy SM, Hobbs HH, Cohen JC. Heritability of plasma noncholesterol sterols and relationship to DNA sequence polymorphism in ABCG5 and ABCG8. J.Lipid Res. 2002;43:486-94; Kempen HJ, de Knijff P, Boomsma DI, van der Voort HA, Gevers Leuven JA, Havekes L. Plasma levels of lathosterol and phytosterols in relation to age, sex, anthropometric parameters, plasma lipids, and apolipoprotein E phenotype, in 160 Dutch families. Metabolism 1991;40:604-11.)

Humans are exposed to varying amounts of phytosterols, such as sitosterol, campesterol, stigmasterol and avenosterol, on a daily basis through the



consumption of vegetable products (Salen G, Xu G, Tint GS, Batta AK, Shefer S. Hyperabsorption and retention of campestanol in a sitosterolemic homozygote: comparison with her mother and three control subjects. *J.Lipid Res.* 2000;41:1883-9). The most common dietary phytosterols are sitosterol and campesterol. While the presence of these two sterols in the diet is comparable to that of cholesterol, the absorption rate of sitosterol and campesterol in the intestine is markedly lower than the absorption rate of cholesterol (Heinemann T, Axtmann G, von Bergmann K. Comparison of intestinal absorption of cholesterol with different plant sterols in man. *Eur.J.Clin.Invest* 1993;23:827-31). Phytosterols taken up into the intestinal mucosa cells by ATP-cassette binding proteins (ABC) G5 and G8 are almost completely resecreted (Hubacek JA, Berge KE, Cohen JC, Hobbs HH. Mutations in ATP-cassette binding proteins G5 (ABCG5) and G8 (ABCG8) causing sitosterolemia. *Hum.Mutat.* 2001;18:359-60; Berge KE, Tian H, Graf GA, Yu L, Grishin NV, Schultz J, Kwiterovich P, Shan B, Barnes R, Hobbs HH. Accumulation of dietary cholesterol in sitosterolemia caused by mutations in adjacent ABC transporters. *Science* 2000;290:1771-5). In addition, ABC G5 and G8 are expressed in the liver and facilitate rapid excretion of phytosterols into the bile (Salen G, Ahrens EH, Jr., Grundy SM. Metabolism of beta-sitosterol in man. *J.Clin.Invest* 1970;49:952-67), so that their plasma concentrations are usually very low regardless of exposure (Vanhanen HT, Miettinen TA. Effects of unsaturated and saturated dietary plant sterols on their serum contents. *Clin.Chim.Acta* 1992;205:97-107).

Observational studies have indicated a potential clinical significance of modest elevations in phytosterol concentrations. Glueck *et al.* found elevated campesterol and sitosterol levels in hypercholesterolaemic patients with a family history of coronary heart disease and suggested that elevated plant sterols may be a risk factor for coronary heart disease independent of cholesterol (Glueck CJ, Speirs J, Tracy T, Streicher P, Illig E, Vandegrift J. Relationships of serum plant sterols (phytosterols) and cholesterol in 595 hypercholesterolemic subjects, and familial aggregation of phytosterols, cholesterol, and premature coronary heart disease in hyperphytosterolemic probands and their first-degree relatives. *Metabolism* 1991;40:842-8). In a more recent study, 26 patients admitted for elective coronary artery bypass grafting with a history of coronary heart disease among their first-

degree relatives had significantly higher levels of both sitosterol and campesterol compared to 27 coronary artery bypass patients without a family history of coronary heart disease (Sudhop T, Gottwald BM, von Bergmann K. Serum plant sterols as a potential risk factor for coronary heart disease. *Metabolism* 2002;51:1519-21). However, this result was not affected by adjustment for age, sex, triglycerides, total cholesterol, LDL cholesterol or high-density lipoprotein cholesterol. Finally, a group of middle-aged women with angiographically verified coronary artery disease was found to have higher levels of campesterol and sitosterol (and higher ratios of campesterol and sitosterol to cholesterol) than age-matched healthy women (Rajaratnam RA, Gylling H, Miettinen TA. Independent association of serum squalene and noncholesterol sterols with coronary artery disease in postmenopausal women. *J.Am.Coll.Cardiol.* 2000;35:1185-91). Although these studies suggest a correlation with hypercholesterolemia, it is uncertain whether associations observed in the studies are causal, due to short term changes, or interrelations with other factors, such as genetics and diet.

The assessment of an individual's risk of developing cardiovascular disease is a crucial, initial step in diagnosis and designing appropriate preventive or interventional treatment. Several tests have been developed to detect individuals at risk for developing cardiovascular disorders, such as screening tests for total and HDL cholesterol levels. However, these tests lack the sensitivity to differentiate degree of risk among individuals identified as having some moderate or elevated degree of risk. Also, these tests do not assess risk based upon phytosterol levels. There is a need for a diagnostic test that can assist in identifying individuals at increased risk for cardiovascular disorders.

### SUMMARY OF THE INVENTION

One aspect of the present invention provides a method for characterizing a subject's risk profile of developing a future cardiovascular event, comprising:

(a) obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject having no history of clinically evident coronary heart disease prior to obtaining the level;

(b) comparing the level of the material to a predetermined material value (which can be a single value, multiple values, a single range or multiple ranges); and

(c) characterizing the subject's risk profile of developing a future cardiovascular event based upon the level of the material in comparison to the predetermined material value.

Another aspect of the present invention provides a method for characterizing a subject's risk profile of developing a future myocardial infarction, comprising:

(d) obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject;

(e) comparing the level of the material to a predetermined material value; and

(f) characterizing the subject's risk profile of developing a future myocardial infarction based upon the level of the material in comparison to the predetermined material value.

Another aspect of the present invention provides a method for characterizing a subject's risk profile of developing a future cardiovascular disorder associated with atherosclerotic disease, comprising:

(g) obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject;

(h) comparing the level of the material to a predetermined material value; and

(i) characterizing the subject's risk profile of developing a future cardiovascular disorder associated with atherosclerotic disease based upon the level of the material in comparison to the predetermined material value.

Another aspect of the present invention provides a method for characterizing a subject's risk profile of developing a future cardiovascular disorder associated with atherosclerotic disease, comprising:

obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject;

comparing the level of the material to a predetermined material value to establish a first risk value;

obtaining a level of cholesterol in the subject;  
comparing the level of the cholesterol to a second predetermined cholesterol value to establish a second risk value; and  
characterizing the subject's risk profile of developing a future cardiovascular disorder associated with atherosclerotic disease based upon a combination of the first risk value and the second risk value.

Another aspect of the present invention provides a method for evaluating the likelihood that a subject will benefit from treatment with a sterol absorption inhibitor for reducing risk of a vascular disorder, comprising:

- (j) obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject; and
- (k) comparing the level of the material to a predetermined material value, wherein the level of the material in comparison to the predetermined material value is indicative of whether the subject will benefit from treatment with the sterol absorption inhibitor.

Another aspect of the present invention provides a kit for obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject and comparing the level of the material to a predetermined material value. The level of material can be obtained by any method well known to the skilled artisan, for example by gas chromatography as described below. The level can be determined by measuring the level of material in a body fluid or tissue, such as blood, plasma, serum, lymph, saliva, urine and the like.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a flow-chart of the procedure for selection of cases and controls in the PROCAM study.

Figure 2 shows a bar graph of the distribution of sitosterol levels among the 354 controls in the PROCAM study.

Figure 3 shows bar graphs of hazard ratios for the development of a coronary event for various risk factors on univariate analysis between cases and controls in the PROCAM study.

Figure 3A shows bar graphs of hazard ratios for the development of a coronary event for various risk factors on univariate analysis between cases and controls in the PROCAM study (men only).

Figure 4 shows a bar graph of hazard ratios for development of a coronary event for high and non-high sitosterol levels stratified according to LDL cholesterol among the cases and controls in the PROCAM study.

Figure 5 shows a bar graph of hazard ratios for development of a coronary event for high and non-high sitosterol levels stratified according to global risk of coronary heart disease among the cases and controls in the PROCAM study.

Figure 5A shows a bar graph of hazard ratios for development of a coronary event for high and non-high sitosterol to cholesterol ratios stratified according to global risk of coronary heart disease among the cases and controls in the PROCAM study.

Figure 6 shows a bar graph demonstrating the percent change from baseline to endpoint phytosterol concentration in plasma samples from patients treated with ezetimibe, simvastatin or ezetimibe/simvastatin.

Figure 7A shows a bar graph demonstrating percent change from baseline sitosterol concentration in plasma samples from patients treated with ezetimibe/simvastatin vs. simvastatin.

Figure 7B shows a bar graph demonstrating percent change from baseline campesterol concentration in plasma samples from patients treated with ezetimibe/simvastatin vs. simvastatin.

Figure 8 shows a bar graph demonstrating the percent change in cholesterol precursors/synthesis markers in plasma samples from patients treated with ezetimibe, simvastatin or ezetimibe/simvastatin.

Figure 9A shows a bar graph demonstrating percent change from baseline lathosterol concentration in plasma samples from patients treated with ezetimibe/simvastatin vs. simvastatin.

Figure 9B shows a bar graph demonstrating percent change from baseline desmosterol concentration in plasma samples from patients treated with ezetimibe/simvastatin vs. simvastatin.

Figure 10 shows a bar graph demonstrating the percent change from baseline to endpoint non-cholesterol sterol concentration in relation to changes in LDL-C in plasma samples from patients treated with ezetimibe, simvastatin or ezetimibe/simvastatin.

Figure 11 shows a bar graph demonstrating the percent change from baseline to endpoint phytosterol concentration in plasma samples from patients treated with ezetimibe, atorvastatin or ezetimibe/atorvastatin.

Figure 12A shows a bar graph demonstrating percent change from baseline sitosterol concentration in plasma samples from patients treated with ezetimibe/atorvastatin vs. atorvastatin.

Figure 12B shows a bar graph demonstrating percent change from baseline campesterol concentration in plasma samples from patients treated with ezetimibe/atorvastatin vs. atorvastatin.

Figure 13 shows a bar graph demonstrating the change in demonstrating the percent change from baseline to endpoint cholesterol precursors/synthesis markers in plasma samples from patients treated with ezetimibe, atorvastatin or ezetimibe/atorvastatin.

Figure 14A shows a bar graph demonstrating percent change from baseline lathosterol concentration in plasma samples from patients treated with ezetimibe/atorvastatin vs. atorvastatin.

Figure 14B shows a bar graph demonstrating percent change from baseline desmosterol concentration in plasma samples from patients treated with ezetimibe/atorvastatin vs. atorvastatin.

Figure 15 shows a bar graph demonstrating the percent change from baseline to endpoint non-cholesterol sterols in relation to changes in LDL-C in plasma samples from patients treated with ezetimibe, atorvastatin or ezetimibe/ atorvastatin.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention is based on the observation that levels of phytosterol, cholesterol precursor and/or stanol from a subject's tissue or fluid sample can be used to predict likelihood of future adverse cardiovascular events or disorders.

The methods of the present invention can be used to prevent or reduce the risk of an occurrence of a fatal or non-fatal cardiovascular event in patients having

no history of clinically evident coronary heart disease prior to the initial administration of the compounds and treatments of the present invention, as well as patients having a history of clinically evident coronary heart disease. The phrase “cardiovascular event” includes, but is not limited to, fatal and non-fatal acute major coronary events, coronary revascularization procedures, peripheral vascular disease, stable angina and cerebrovascular insufficiency such as stroke. “Cardiovascular disorders associated with atherosclerotic disease” include myocardial infarction, stroke, angina pectoris and peripheral arteriovascular disease, but not venous thrombosis.

The phrase “acute major coronary event” includes fatal myocardial infarction, witnessed and unwitnessed cardiac death and sudden death occurring from 1 hour up to 24 hours after collapse, non-fatal myocardial infarction including definite acute Q-wave myocardial infarction, non-Q-wave myocardial infarction, and silent subclinical (remote) myocardial infarction, and unstable angina pectoris. As used herein, “myocardial infarction” includes both Q-wave and non-Q-wave myocardial infarction and silent subclinical (remote) myocardial infarction.

As used herein, “patient”, “subject” or “individual” means a mammal, such as a human, or other non-mammalian animal. An “apparently healthy” subject means a subject who has not previously had an acute adverse cardiovascular event, such as myocardial infarction. “Non-smoking” means a subject who is not a smoker at the time of evaluation. This includes subjects who have never smoked as well as subjects who have smoked in the past but presently no longer smoke.

The invention involves comparing the level in a sample of a subject’s plasma, blood, serum, body fluid or tissue of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol with a predetermined value of that material. Methods for measuring the level of a phytosterol, a cholesterol precursor and a stanol in a sample of a subject’s blood, serum, body fluid or tissue will be discussed below.

In particular, the present invention provides a method for characterizing a subject’s risk profile of developing a future cardiovascular event, comprising obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject having no

history of clinically evident coronary heart disease prior to obtaining the level; comparing the level of the material to a predetermined material value (which can be a single value, multiple values, a single range or multiple ranges); and characterizing the subject's risk profile of developing a future cardiovascular event based upon the level of the material in comparison to the predetermined material value.

Practice of the present invention may involve obtaining the level of a potential marker of atherosclerosis, such as, e.g. phytosterol, in an individual subject. The level is compared to a predetermined value for the potential marker, where the comparison provides information as to whether the individual will gain any benefit from the administration of treatment with a sterol absorption inhibitor.

Non-limiting examples of suitable materials include compounds or complexes of phytosterols (such as sitosterol, campesterol, stigmasterol and avenosterol) and/or cholesterol precursors (such as lathosterol and desmosterol) and/or stanols (including but not limited to  $5\alpha$ -stanols (such as cholestanol,  $5\alpha$ -campestanol,  $5\alpha$ -sitostanol)).

The predetermined value can be a single value, such as a median or mean. It can be established based upon comparative groups, such as by defining a group in which the risk is double that of another group. It can be a range, for example the tested population can be divided into risk groups (low, medium, high) or risk quadrants.

The predetermined value can depend upon the population selected. For example, healthy non-smokers may have a value range different from that of smokers. In an embodiment of the invention, the predetermined value is obtained from healthy individuals.

In one embodiment, the predetermined material value is greater than about 4.5 micromoles per liter of plasma, blood, serum or tissue. In another embodiment, the predetermined material value is greater than about 5.0 micromoles per liter of plasma, blood, serum or tissue. In another embodiment, the predetermined material value is greater than about 5.25 micromoles per liter of plasma, blood, serum or tissue. In another embodiment, the predetermined material value is greater than about 7.0 micromoles per liter of plasma, blood, serum or tissue.



In one embodiment, the predetermined material (*e.g.* phytosterol, cholesterol precursor or stanol) value is greater than about 4.5 micromoles per liter of plasma, blood, serum or tissue. In another embodiment, the predetermined material value is greater than about 5.0 micromoles per liter of plasma, blood, serum or tissue. In another embodiment, the predetermined material value is greater than about 5.25 micromoles per liter of plasma, blood, serum or tissue. In another embodiment, the predetermined phytosterol value is greater than about 7.0 micromoles per liter of plasma, blood, serum or tissue.

In an embodiment of the invention, various known risk factors may be individually employed to evaluate risk. Analysis of risk may also comprise individual review of various other factors including, *inter alia*, age, sex, menopausal status, weight, obesity, individuals having a history of myocardial infarction, angina, stroke, or intermittent claudication, individuals with cardiovascular family history, smoking and various psychosocial factors. (Assmann et al., "Coronary Heart Disease: Reducing the Risk," *Circulation*. 1999;100:1930-1938.)

For example, individuals may be evaluated for levels of plasma total cholesterol, triglycerides, HDL cholesterol, and LDL cholesterol, blood pressure, glucose, etc, as measure of whether they will likely develop a future cardiovascular event. Increased levels of triglycerides, LDL, and HDL cholesterol level may be an indication of elevated risk. Levels of lipoprotein(s) exceeding 30 mg/dL are to confer increased risk. (Assmann et al., "Coronary Heart Disease: Reducing the Risk," *Circulation*. 1999;100:1930-1938.)

In another embodiment, a quantitative estimate of risk is applied, where multiple factors are taken into consideration. Multiple risk factors may be applied using the algorithm, as disclosed below. These risk factors include age, LDL cholesterol, smoking, HDL cholesterol, systolic blood pressure, family history of premature myocardial infarction, diabetes mellitus, and triglycerides. The multiple logistic function has the form:  $I = 1/[1 + \exp(-y)]$ , where  $y = -12.3199 + (\text{age in years} \times 0.1001) + (\text{systolic blood pressure in mm Hg} \times 0.0118) + (\text{LDL cholesterol in mg/dL} \times 0.0152) + (\text{HDL cholesterol in mg/dL} \times -0.045) + (\log_e [\text{triglyceride level in mg/dL}] \times 0.3346) + (\text{smoking behavior [no=0, yes=1]} \times 0.9266) + (\text{diabetes mellitus [no=0, yes=1]} \times 0.4015) + (\text{positive family history of myocardial infarction [no=0,$

$\text{yes}=1] \times 0.4193) + (\text{angina pectoris} [\text{no}=0, \text{yes}=1] \times 1.319)$ . The algorithm is available as an interactive program on the International Task Force for Coronary Heart Disease website (<http://www.chd-taskforce.com>). Application of this algorithm is shown in Example 1 in the *Prospective Cardiovascular Munster* (PROCAM) study.

A Cox proportional hazards model using various risk factors is generated, followed by a point scoring system based on the  $\beta$ -coefficients of the model. (Assmann G, Cullen P, Schulte H. Simple scoring scheme for calculating the risk of acute coronary events based on the 10-year follow-up of the prospective cardiovascular Munster (PROCAM) study. *Circulation* 2002;105:310-5). The accuracy of this point scoring scheme is comparable to coronary event prediction when the continuous variables themselves were used. The scoring system accurately predicted observed coronary events with an area under the receiver-operating characteristics curve of 82.4% compared with 82.9% for the Cox model with continuous variables.

The output of the PROCAM algorithm is expressed as the risk of a coronary event (definite fatal myocardial infarction, definite nonfatal myocardial infarction, or sudden coronary death) in percentage over 8 years. In an embodiment, the output of the algorithm may be divided into quintiles with the following cut-off points: first quintile, 0.91% in 8 years (0.11% per annum); second quintile, 0.92% to 1.40% in 8 years (0.12% to 0.18% per annum); third quintile, 1.41% to 3.65% in 8 years (0.18% to 0.46% per annum); fourth quintile, 3.66% to 7.60% in 8 years (0.46% to 0.95% per annum); and fifth quintile, >7.60% in 8 years (>0.95% per annum).

Another useful method for calculating risk is discussed in W. Castelli et al., "Incidence of Coronary Heart Disease and Lipoprotein Cholesterol Levels", 256 JAMA 20 (Nov. 28, 1986) 2835-2838. Other useful methods for calculating risk are well known to those skilled in the art.

In another aspect of the present invention, kits are provided which are specific for or have appropriate sensitivity with respect to predetermined values selected on the basis of the invention. Such kits would include specified cut-offs and instructions or printed material for characterizing risk based upon outcome of the assay or measurement.

As discussed above, the invention provides methods for evaluating whether a subject might benefit from treatment with a sterol absorption inhibitor for reducing risk of a future vascular disorder. This method provides benefits in patient treatment and for clinical development of therapeutics. Physicians select treatments based upon the expected benefit to the patient. The present invention permits selection of individuals who are more likely to benefit from intervention, thereby aiding the physician to select a treatment in which benefit is more likely. Also, the present invention can assist in selecting subjects for clinical trials by defining a population having a higher likelihood of obtaining a net benefit from treatment.

The methods of the present invention are also useful for determining whether an apparently healthy individual may develop a future cardiovascular event.

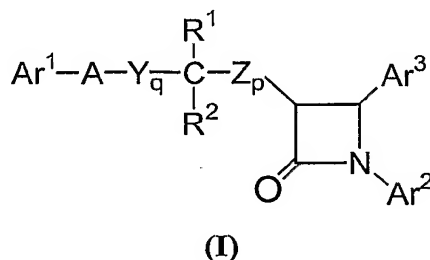
In another embodiment, the invention provides a method for characterizing a subject's risk profile of developing a future cardiovascular disorder associated with atherosclerotic disease comprising obtaining a level of cholesterol in the subject and comparing the level of the cholesterol to a predetermined cholesterol value to establish a risk value, and characterizing the subject's risk profile of developing a future cardiovascular disorder associated with atherosclerotic disease based upon a combination of the risk value associated with phytosterol, cholesterol precursor and/or stanol levels, as described above and the risk value associated with cholesterol.

It has been discovered that phytosterol, cholesterol precursor and/or stanol levels can have predictive value independent of other known predictors of future adverse cardiovascular disorders. The present invention does not merely duplicate measurements that could have been made using other predictors, but can be indicative of risk for cardiovascular events and can be additive to previous predictors of increased risk of coronary events, such as high LDL cholesterol.

One aspect of the present invention provides new diagnostic tests for predicting risk of a vascular disorder or disease, including but not limited to atherosclerotic disorders such as myocardial infarction, stroke and peripheral arterial disease, as well as determining the likelihood that certain subjects will benefit to a greater or lesser extent from the use of sterol absorption inhibitors.

Non-limiting examples of suitable sterol absorption inhibitors and methods of making the same include those disclosed in U.S. Patents Nos. 5,767,115; 5,846,966; 5,756,470, 5,698,548; 5,624,920; 5,656,624; 5,688,787; 5,688,990, 5,631,365, 6,207,822 and 6,627,757, each of which is incorporated herein by reference, for example:

(I) a sterol absorption inhibitor represented by Formula (I):



or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (I) or of the isomers thereof, wherein in Formula (I):

Ar<sup>1</sup> is R<sup>3</sup>-substituted aryl;

Ar<sup>2</sup> is R<sup>4</sup>-substituted aryl;

Ar<sup>3</sup> is R<sup>5</sup>-substituted aryl;

Y and Z are independently selected from the group consisting of -CH<sub>2</sub>-, CH(lower alkyl)- and -C(di-lower alkyl)-;

A is -O-, -S-, -S(O)- or -S(O)<sub>2</sub>-;

R<sup>1</sup> is selected from the group consisting of -OR<sup>6</sup>, -O(CO)R<sup>6</sup>, -O(CO)OR<sup>9</sup> and -O(CO)NR<sup>6</sup>R<sup>7</sup>;

R<sup>2</sup> is selected from the group consisting of hydrogen, lower alkyl and aryl; or R<sup>1</sup> and R<sup>2</sup> together are =O;

q is 1, 2 or 3;

p is 0, 1, 2, 3 or 4;

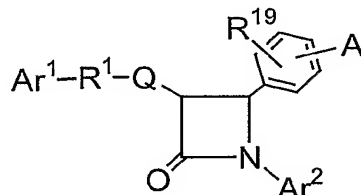
R<sup>5</sup> is 1-3 substituents independently selected from the group consisting of -OR<sup>6</sup>, -O(CO)R<sup>6</sup>, -O(CO)OR<sup>9</sup>, -O(CH<sub>2</sub>)<sub>1-5</sub>OR<sup>9</sup>, -O(CO)NR<sup>6</sup>R<sup>7</sup>, -NR<sup>6</sup>R<sup>7</sup>, -NR<sup>6</sup>(CO)R<sup>7</sup>, -NR<sup>6</sup>(CO)OR<sup>9</sup>, -NR<sup>6</sup>(CO)NR<sup>7</sup>R<sup>8</sup>, -NR<sup>6</sup>SO<sub>2</sub>-lower alkyl, -NR<sup>6</sup>SO<sub>2</sub>-aryl, -CONR<sup>6</sup>R<sup>7</sup>, -COR<sup>6</sup>, -SO<sub>2</sub>NR<sup>6</sup>R<sup>7</sup>, S(O)<sub>0-2</sub>-alkyl, S(O)<sub>0-2</sub>-aryl, -O(CH<sub>2</sub>)<sub>1-10</sub>-COOR<sup>6</sup>, -O(CH<sub>2</sub>)<sub>1-10</sub>CONR<sup>6</sup>R<sup>7</sup>, o-halogeno, m-halogeno, o-lower alkyl, m-lower alkyl, -(lower alkylene)-COOR<sup>6</sup>, and -CH=CH-COOR<sup>6</sup>;

$R^3$  and  $R^4$  are independently 1-3 substituents independently selected from the group consisting of  $R^5$ , hydrogen, p-lower alkyl, aryl,  $-\text{NO}_2$ ,  $-\text{CF}_3$  and p-halogeno;

$R^6$ ,  $R^7$  and  $R^8$  are independently selected from the group consisting of hydrogen, lower alkyl, aryl and aryl-substituted lower alkyl; and

$R^9$  is lower alkyl, aryl or aryl-substituted lower alkyl;

(b) a sterol absorption inhibitor represented by Formula (II):



(II)

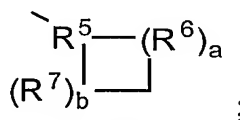
or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (II) or of the isomers thereof, wherein in Formula (II):

A is selected from the group consisting of  $R^2$ -substituted heterocycloalkyl,  $R^2$ -substituted heteroaryl,  $R^2$ -substituted benzofused heterocycloalkyl, and  $R^2$ -substituted benzofused heteroaryl;

$\text{Ar}^1$  is aryl or  $R^3$ -substituted aryl;

$\text{Ar}^2$  is aryl or  $R^4$ -substituted aryl;

Q is a bond or, with the 3-position ring carbon of the azetidinone, forms the spiro group;



$R^1$  is selected from the group consisting of

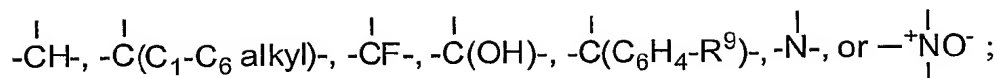
$-(\text{CH}_2)_q-$ , wherein q is 2-6, provided that when Q forms a spiro ring, q can also be zero or 1;

$-(\text{CH}_2)_e-\text{G}-(\text{CH}_2)_r-$ , wherein G is  $-\text{O}-$ ,  $-\text{C}(\text{O})-$ , phenylene,  $-\text{NR}^8-$  or  $-\text{S}(\text{O})_{0-2}-$  e is 0-5 and r is 0-5, provided that the sum of e and r is 1-6;

$-(\text{C}_2-\text{C}_6 \text{ alkenylene})-$ ; and

$-(\text{CH}_2)_f-\text{V}-(\text{CH}_2)_g-$ , wherein V is  $\text{C}_3-\text{C}_6$  cycloalkylene, f is 1-5 and g is 0-5, provided that the sum of f and g is 1-6;

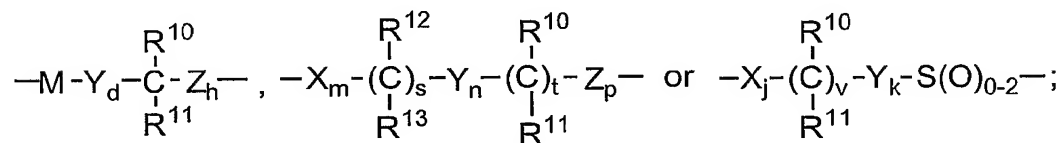
$R^5$  is



$\text{R}^6$  and  $\text{R}^7$  are independently selected from the group consisting of  $-\text{CH}_2-$ ,  $-\text{CH}(\text{C}_1\text{-C}_6 \text{ alkyl})-$ ,  $-\text{C}(\text{di}-(\text{C}_1\text{-C}_6 \text{ alkyl}))$ ,  $-\text{CH}=\text{CH}-$  and  $-\text{C}(\text{C}_1\text{-C}_6 \text{ alkyl})=\text{CH}-$ ; or  $\text{R}^5$  together with an adjacent  $\text{R}^6$ , or  $\text{R}^5$  together with an adjacent  $\text{R}^7$ , form a  $-\text{CH}=\text{CH}-$  or a  $-\text{CH}=\text{C}(\text{C}_1\text{-C}_6 \text{ alkyl})-$  group;

a and b are independently 0, 1, 2 or 3, provided both are not zero; provided that when  $\text{R}^6$  is  $-\text{CH}=\text{CH}-$  or  $-\text{C}(\text{C}_1\text{-C}_6 \text{ alkyl})=\text{CH}-$ , a is 1; provided that when  $\text{R}^7$  is  $-\text{CH}=\text{CH}-$  or  $-\text{C}(\text{C}_1\text{-C}_6 \text{ alkyl})=\text{CH}-$ , b is 1; provided that when a is 2 or 3, the  $\text{R}^6$ 's can be the same or different; and provided that when b is 2 or 3, the  $\text{R}^7$ 's can be the same or different;

and when Q is a bond,  $\text{R}^1$  also can be:



M is  $-\text{O}-$ ,  $-\text{S}-$ ,  $-\text{S}(\text{O})-$  or  $-\text{S}(\text{O})_2-$ ;

X, Y and Z are independently selected from the group consisting of  $-\text{CH}_2-$ ,  $-\text{CH}(\text{C}_1\text{-C}_6 \text{ alkyl})-$  and  $-\text{C}(\text{di}-(\text{C}_1\text{-C}_6 \text{ alkyl}))$ ;

$\text{R}^{10}$  and  $\text{R}^{12}$  are independently selected from the group consisting of  $-\text{OR}^{14}$ ,  $-\text{O}(\text{CO})\text{R}^{14}$ ,  $-\text{O}(\text{CO})\text{OR}^{16}$  and  $-\text{O}(\text{CO})\text{NR}^{14}\text{R}^{15}$ ;

$\text{R}^{11}$  and  $\text{R}^{13}$  are independently selected from the group consisting of hydrogen,  $(\text{C}_1\text{-C}_6)\text{alkyl}$  and aryl; or  $\text{R}^{10}$  and  $\text{R}^{11}$  together are  $=\text{O}$ , or

$\text{R}^{12}$  and  $\text{R}^{13}$  together are  $=\text{O}$ ;

d is 1, 2 or 3;

h is 0, 1, 2, 3 or 4;

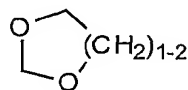
s is 0 or 1; t is 0 or 1; m, n and p are independently 0-4; provided that at least one of s and t is 1, and the sum of m, n, p, s and t is 1-6; provided that when p is 0 and

t is 1, the sum of m, s and n is 1-5; and provided that when p is 0 and s is 1, the sum of m, t and n is 1-5;

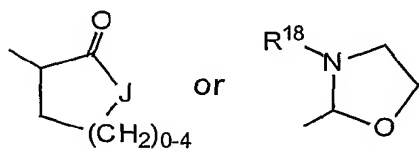
v is 0 or 1;

j and k are independently 1-5, provided that the sum of j, k and v is 1-5;

$R^2$  is 1-3 substituents on the ring carbon atoms selected from the group consisting of hydrogen, (C<sub>1</sub>-C<sub>10</sub>)alkyl, (C<sub>2</sub>-C<sub>10</sub>)alkenyl, (C<sub>2</sub>-C<sub>10</sub>)alkynyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkenyl,  $R^{17}$ -substituted aryl,  $R^{17}$ -substituted benzyl,  $R^{17}$ -substituted benzyloxy,  $R^{17}$ -substituted aryloxy, halogeno,  $-NR^{14}R^{15}$ ,  $NR^{14}R^{15}(C_1-C_6 \text{ alkylene})-$ ,  $NR^{14}R^{15}C(O)(C_1-C_6 \text{ alkylene})-$ ,  $-NHC(O)R^{16}$ , OH, C<sub>1</sub>-C<sub>6</sub> alkoxy,  $-OC(O)R^{16}$ ,  $-COR^{14}$ , hydroxy(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkyl, NO<sub>2</sub>,  $-S(O)_{0-2}R^{16}$ ,  $-SO_2NR^{14}R^{15}$  and  $-(C_1-C_6 \text{ alkylene})COOR^{14}$ ; when  $R^2$  is a substituent on a heterocycloalkyl ring,  $R^2$  is as defined, or is =O or



; and, where  $R^2$  is a substituent on a substitutable ring nitrogen, it is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy, aryloxy, (C<sub>1</sub>-C<sub>6</sub>)alkylcarbonyl, arylcarbonyl, hydroxy,  $-(CH_2)_{1-6}CONR^{18}R^{18}$ ,



;

wherein J is -O-, -NH-,  $-NR^{18}$ - or  $-CH_2-$ ;

$R^3$  and  $R^4$  are independently selected from the group consisting of 1-3 substituents independently selected from the group consisting of (C<sub>1</sub>-C<sub>6</sub>)alkyl,  $-OR^{14}$ ,  $-O(CO)R^{14}$ ,  $-O(CO)OR^{16}$ ,  $-O(CH_2)_{1-5}OR^{14}$ ,  $-O(CO)NR^{14}R^{15}$ ,  $-NR^{14}R^{15}$ ,  $-NR^{14}(CO)R^{15}$ ,  $-NR^{14}(CO)OR^{16}$ ,  $-NR^{14}(CO)NR^{15}R^{19}$ ,  $-NR^{14}SO_2R^{16}$ ,  $-COOR^{14}$ ,  $-CONR^{14}R^{15}$ ,  $-COR^{14}$ ,  $-SO_2NR^{14}R^{15}$ ,  $S(O)_{0-2}R^{16}$ ,  $-O(CH_2)_{1-10}COOR^{14}$ ,  $-O(CH_2)_{1-10}CONR^{14}R^{15}$ ,  $-(C_1-C_6 \text{ alkylene})COOR^{14}$ ,  $-CH=CHCOOR^{14}$ ,  $-CF_3$ ,  $-CN$ ,  $-NO_2$  and halogen;

$R^8$  is hydrogen,  $(C_1-C_6)$ alkyl, aryl  $(C_1-C_6)$ alkyl,  $-C(O)R^{14}$  or  $-COOR^{14}$ ;

$R^9$  and  $R^{17}$  are independently 1-3 groups independently selected from the group consisting of hydrogen,  $(C_1-C_6)$ alkyl,  $(C_1-C_6)$ alkoxy,  $-COOH$ ,  $NO_2$ ,  $-NR^{14}R^{15}$ , OH and halogeno;

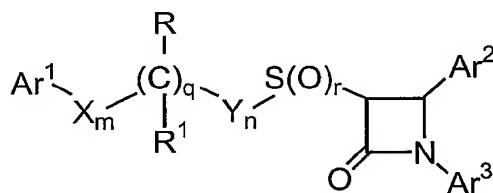
$R^{14}$  and  $R^{15}$  are independently selected from the group consisting of hydrogen,  $(C_1-C_6)$ alkyl, aryl and aryl-substituted  $(C_1-C_6)$ alkyl;

$R^{16}$  is  $(C_1-C_6)$ alkyl, aryl or  $R^{17}$ -substituted aryl;

$R^{18}$  is hydrogen or  $(C_1-C_6)$ alkyl; and

$R^{19}$  is hydrogen, hydroxy or  $(C_1-C_6)$ alkoxy;

(c) a sterol absorption inhibitor represented by Formula (III):



(III)

or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (III) or of the isomers thereof, wherein in Formula (III):

$Ar^1$  is aryl,  $R^{10}$ -substituted aryl or heteroaryl;

$Ar^2$  is aryl or  $R^4$ -substituted aryl;

$Ar^3$  is aryl or  $R^5$ -substituted aryl;

X and Y are independently selected from the group consisting of  $-CH_2-$ ,  $-CH(\text{lower alkyl})-$  and  $-C(\text{di-lower alkyl})-$ ;

R is  $-OR^6$ ,  $-O(CO)R^6$ ,  $-O(CO)OR^9$  or  $-O(CO)NR^6R^7$ ;

$R^1$  is hydrogen, lower alkyl or aryl; or R and  $R^1$  together are  $=O$ ;

q is 0 or 1;

r is 0, 1 or 2;

m and n are independently 0, 1, 2, 3, 4 or 5; provided that the sum of m, n and q is 1, 2, 3, 4 or 5;

$R^4$  is 1-5 substituents independently selected from the group consisting of lower alkyl,  $-OR^6$ ,  $-O(CO)R^6$ ,  $-O(CO)OR^9$ ,  $-O(CH_2)_{1-5}OR^6$ ,  $-O(CO)NR^6R^7$ ,  $-NR^6R^7$ ,  $-NR^6(CO)R^7$ ,  $-NR^6(CO)OR^9$ ,  $-NR^6(CO)NR^7R^8$ ,  $-NR^6SO_2R^9$ ,  $-COOR^6$ ,  $-CONR^6R^7$ , -



$\text{COR}^6$ ,  $-\text{SO}_2\text{NR}^6\text{R}^7$ ,  $\text{S}(\text{O})_{0-2}\text{R}^9$ ,  $-\text{O}(\text{CH}_2)_{1-10}\text{COOR}^6$ ,  $-\text{O}(\text{CH}_2)_{1-10}\text{CONR}^6\text{R}^7$ ,  $-(\text{lower alkylene})\text{COOR}^6$  and  $-\text{CH}=\text{CH}-\text{COOR}^6$ ;

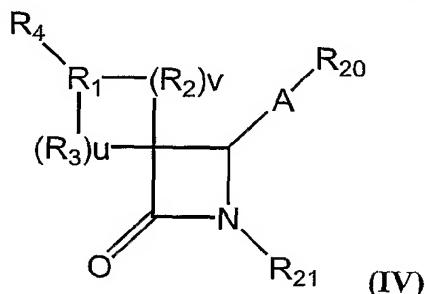
$\text{R}^5$  is 1-5 substituents independently selected from the group consisting of  $-\text{OR}^6$ ,  $-\text{O}(\text{CO})\text{R}^6$ ,  $-\text{O}(\text{CO})\text{OR}^9$ ,  $-\text{O}(\text{CH}_2)_{1-5}\text{OR}^6$ ,  $-\text{O}(\text{CO})\text{NR}^6\text{R}^7$ ,  $-\text{NR}^6\text{R}^7$ ,  $-\text{NR}^6(\text{CO})\text{R}^7$ ,  $-\text{NR}^6(\text{CO})\text{OR}^9$ ,  $-\text{NR}^6(\text{CO})\text{NR}^7\text{R}^8$ ,  $-\text{NR}^6\text{SO}_2\text{R}^9$ ,  $-\text{COOR}^6$ ,  $-\text{CONR}^6\text{R}^7$ ,  $-\text{COR}^6$ ,  $-\text{SO}_2\text{NR}^6\text{R}^7$ ,  $\text{S}(\text{O})_{0-2}\text{R}^9$ ,  $-\text{O}(\text{CH}_2)_{1-10}\text{COOR}^6$ ,  $-\text{O}(\text{CH}_2)_{1-10}\text{CONR}^6\text{R}^7$ ,  $-\text{CF}_3$ ,  $-\text{CN}$ ,  $-\text{NO}_2$ , halogen,  $-(\text{lower alkylene})\text{COOR}^6$  and  $-\text{CH}=\text{CH}-\text{COOR}^6$ ;

$\text{R}^6$ ,  $\text{R}^7$  and  $\text{R}^8$  are independently selected from the group consisting of hydrogen, lower alkyl, aryl and aryl-substituted lower alkyl;

$\text{R}^9$  is lower alkyl, aryl or aryl-substituted lower alkyl; and

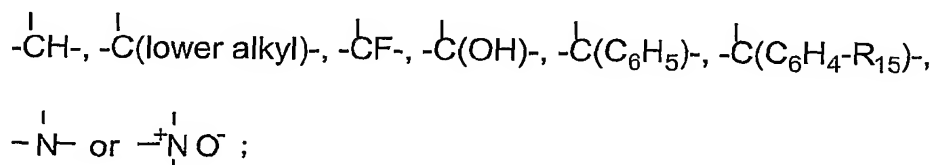
$\text{R}^{10}$  is 1-5 substituents independently selected from the group consisting of lower alkyl,  $-\text{OR}^6$ ,  $-\text{O}(\text{CO})\text{R}^6$ ,  $-\text{O}(\text{CO})\text{OR}^9$ ,  $-\text{O}(\text{CH}_2)_{1-5}\text{OR}^6$ ,  $-\text{O}(\text{CO})\text{NR}^6\text{R}^7$ ,  $-\text{NR}^6\text{R}^7$ ,  $-\text{NR}^6(\text{CO})\text{R}^7$ ,  $-\text{NR}^6(\text{CO})\text{OR}^9$ ,  $-\text{NR}^6(\text{CO})\text{NR}^7\text{R}^8$ ,  $-\text{NR}^6\text{SO}_2\text{R}^9$ ,  $-\text{COOR}^6$ ,  $-\text{CONR}^6\text{R}^7$ ,  $-\text{COR}^6$ ,  $-\text{SO}_2\text{NR}^6\text{R}^7$ ,  $\text{S}(\text{O})_{0-2}\text{R}^9$ ,  $-\text{O}(\text{CH}_2)_{1-10}\text{COOR}^6$ ,  $-\text{O}(\text{CH}_2)_{1-10}\text{CONR}^6\text{R}^7$ ,  $-\text{CF}_3$ ,  $-\text{CN}$ ,  $-\text{NO}_2$  and halogen;

(d) a sterol absorption inhibitor represented by Formula (IV):



or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (IV) or of the isomers thereof, wherein in Formula (IV):

$\text{R}_1$  is



$\text{R}_2$  and  $\text{R}_3$  are independently selected from the group consisting of:

$-\text{CH}_2-$ ,  $-\text{CH}(\text{lower alkyl})-$ ,  $-\text{C}(\text{di-lower alkyl})-$ ,  $-\text{CH}=\text{CH}-$  and  $-\text{C}(\text{lower alkyl})=\text{CH}-$ ; or

$R_1$  together with an adjacent  $R_2$ , or  $R_1$  together with an adjacent  $R_3$ , form a -CH=CH- or a -CH=C(lower alkyl)- group;

u and v are independently 0, 1, 2 or 3, provided both are not zero; provided that when  $R_2$  is -CH=CH- or -C(lower alkyl)=CH-, v is 1; provided that when  $R_3$  is -CH=CH- or -C(lower alkyl)=CH-, u is 1; provided that when v is 2 or 3, the  $R_2$ 's can be the same or different; and provided that when u is 2 or 3, the  $R_3$ 's can be the same or different;

$R_4$  is selected from  $B-(CH_2)_mC(O)-$ , wherein m is 0, 1, 2, 3, 4 or 5;

$B-(CH_2)_q-$ , wherein q is 0, 1, 2, 3, 4, 5 or 6;

$B-(CH_2)_e-Z-(CH_2)_r-$ , wherein Z is -O-, -C(O)-, phenylene, -N( $R_8$ )- or -S(O)<sub>0-2</sub>-, e is 0, 1, 2, 3, 4 or 5 and r is 0, 1, 2, 3, 4 or 5, provided that the sum of e and r is 0, 1, 2, 3, 4, 5 or 6;

$B-(C_2-C_6 \text{ alkenylene})-$ ;

$B-(C_4-C_6 \text{ alkadienylene})-$ ;

$B-(CH_2)_t-Z-(C_2-C_6 \text{ alkenylene})-$ , wherein Z is as defined above, and wherein t is 0, 1, 2 or 3, provided that the sum of t and the number of carbon atoms in the alkenylene chain is 2, 3, 4, 5 or 6;

$B-(CH_2)_f-V-(CH_2)_g-$ , wherein V is  $C_3-C_6$  cycloalkylene, f is 1, 2, 3, 4 or 5 and g is 0, 1, 2, 3, 4 or 5, provided that the sum of f and g is 1, 2, 3, 4, 5 or 6;

$B-(CH_2)_t-V-(C_2-C_6 \text{ alkenylene})-$  or

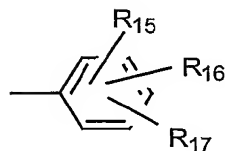
$B-(C_2-C_6 \text{ alkenylene})-V-(CH_2)_t-$ , wherein V and t are as defined above, provided that the sum of t and the number of carbon atoms in the alkenylene chain is 2, 3, 4, 5 or 6;

$B-(CH_2)_a-Z-(CH_2)_b-V-(CH_2)_d-$ , wherein Z and V are as defined above and a, b and d are independently 0, 1, 2, 3, 4, 5 or 6, provided that the sum of a, b and d is 0, 1, 2, 3, 4, 5 or 6; or  $T-(CH_2)_s-$ , wherein T is cycloalkyl of 3-6 carbon atoms and s is 0, 1, 2, 3, 4, 5 or 6; or

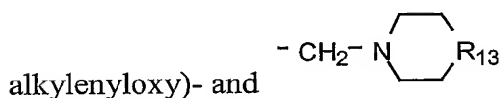
$R_1$  and  $R_4$  together form the group  $B-\overset{\overset{|}{\text{CH}}}{\text{C}}-$  ;

B is selected from indanyl, indenyl, naphthyl, tetrahydronaphthyl, heteroaryl or W-substituted heteroaryl, wherein heteroaryl is selected from the group consisting of pyrrolyl, pyridinyl, pyrimidinyl, pyrazinyl, triazinyl, imidazolyl, thiazolyl,

pyrazolyl, thienyl, oxazolyl and furanyl, and for nitrogen-containing heteroaryls, the N-oxides thereof, or



W is 1 to 3 substituents independently selected from the group consisting of lower alkyl, hydroxy lower alkyl, lower alkoxy, alkoxyalkyl, alkoxyalkoxy, alkoxycarbonylalkoxy, (lower alkoxyimino)-lower alkyl, lower alkanedioyl, lower alkyl lower alkanedioyl, allyloxy, -CF<sub>3</sub>, -OCF<sub>3</sub>, benzyl, R<sub>7</sub>-benzyl, benzyloxy, R<sub>7</sub>-benzyloxy, phenoxy, R<sub>7</sub>-phenoxy, dioxolanyl, NO<sub>2</sub>, -N(R<sub>8</sub>)(R<sub>9</sub>), N(R<sub>8</sub>)(R<sub>9</sub>)-lower alkylene-, N(R<sub>8</sub>)(R<sub>9</sub>)-lower alkylenyloxy-, OH, halogeno, -CN, -N<sub>3</sub>, -NHC(O)OR<sub>10</sub>, -NHC(O)R<sub>10</sub>, R<sub>11</sub>O<sub>2</sub>SNH-, (R<sub>11</sub>O<sub>2</sub>S)<sub>2</sub>N-, -S(O)<sub>2</sub>NH<sub>2</sub>, -S(O)<sub>0-2</sub>R<sub>8</sub>, tert-butyldimethylsilyloxymethyl, -C(O)R<sub>12</sub>, -COOR<sub>19</sub>, -CON(R<sub>8</sub>)(R<sub>9</sub>), -CH=CHC(O)R<sub>12</sub>, -lower alkylene-C(O)R<sub>12</sub>, R<sub>10</sub>C(O)(lower alkylenyloxy)-, N(R<sub>8</sub>)(R<sub>9</sub>)C(O)(lower



for substitution on ring carbon atoms, and the substituents on the substituted heteroaryl ring nitrogen atoms, when present, are selected from the group consisting of lower alkyl, lower alkoxy, -C(O)OR<sub>10</sub>, -C(O)R<sub>10</sub>, OH, N(R<sub>8</sub>)(R<sub>9</sub>)-lower alkylene-, N(R<sub>8</sub>)(R<sub>9</sub>)-lower alkylenyloxy-, -S(O)<sub>2</sub>NH<sub>2</sub> and 2-(trimethylsilyl)-ethoxymethyl;

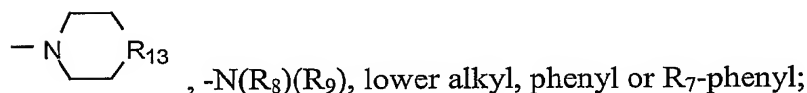
R<sub>7</sub> is 1-3 groups independently selected from the group consisting of lower alkyl, lower alkoxy, -COOH, NO<sub>2</sub>, -N(R<sub>8</sub>)(R<sub>9</sub>), OH, and halogeno;

R<sub>8</sub> and R<sub>9</sub> are independently selected from H or lower alkyl;

R<sub>10</sub> is selected from lower alkyl, phenyl, R<sub>7</sub>-phenyl, benzyl or R<sub>7</sub>-benzyl;

R<sub>11</sub> is selected from OH, lower alkyl, phenyl, benzyl, R<sub>7</sub>-phenyl or R<sub>7</sub>-benzyl;

R<sub>12</sub> is selected from H, OH, alkoxy, phenoxy, benzyloxy,



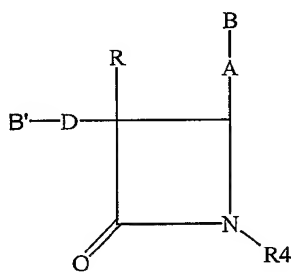
R<sub>13</sub> is selected from -O-, -CH<sub>2</sub>-, -NH-, -N(lower alkyl)- or -NC(O)R<sub>19</sub>;

$R_{15}$ ,  $R_{16}$  and  $R_{17}$  are independently selected from the group consisting of H and the groups defined for W; or  $R_{15}$  is hydrogen and  $R_{16}$  and  $R_{17}$ , together with adjacent carbon atoms to which they are attached, form a dioxolanyl ring;

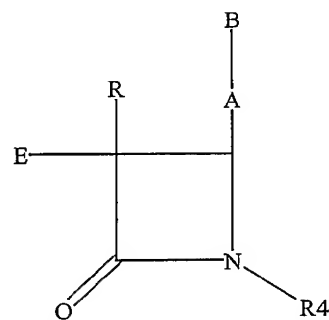
$R_{19}$  is H, lower alkyl, phenyl or phenyl lower alkyl; and

$R_{20}$  and  $R_{21}$  are independently selected from the group consisting of phenyl, W-substituted phenyl, naphthyl, W-substituted naphthyl, indanyl, indenyl, tetrahydronaphthyl, benzodioxolyl, heteroaryl, W-substituted heteroaryl, benzofused heteroaryl, W-substituted benzofused heteroaryl and cyclopropyl, wherein heteroaryl is as defined above;

(e) a sterol absorption inhibitor represented by Formula (VA) or Formula (VB):



(VA)



(VB)

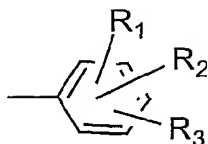
or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (VA) or (VB) or of the isomers thereof, wherein in Formulae (VA) or (VB):

is  $-\text{CH}=\text{CH}-$ ,

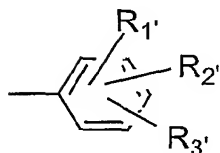
$-\text{C}\equiv\text{C}-$

or  $-(\text{CH}_2)_p-$  wherein p is 0, 1 or 2;

B is



B' is

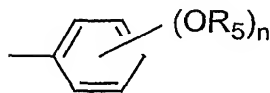


D is  $-(CH_2)_mC(O)-$  or  $-(CH_2)_q-$  wherein m is 1, 2, 3 or 4 and q is 2, 3 or 4;

E is  $C_{10}$  to  $C_{20}$  alkyl or  $-C(O)-(C_9 \text{ to } C_{19})\text{-alkyl}$ , wherein the alkyl is straight or branched, saturated or containing one or more double bonds;

R is hydrogen,  $C_1$ - $C_{15}$  alkyl, straight or branched, saturated or containing one or more double bonds, or  $B-(CH_2)_r-$ , wherein r is 0, 1, 2, or 3;  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_1'$ ,  $R_2'$ , and  $R_3'$  are independently selected from the group consisting of hydrogen, lower alkyl, lower alkoxy, carboxy,  $NO_2$ ,  $NH_2$ , OH, halogeno, lower alkylamino, di-lower alkylamino,  $-NHC(O)OR_5$ ,  $R_6O_2SNH-$  and  $-S(O)_2NH_2$ ;

$R_4$  is

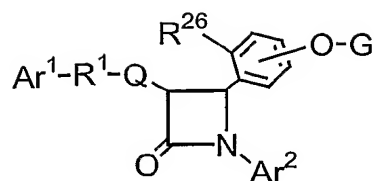


wherein n is 0, 1, 2 or 3;

$R_5$  is lower alkyl; and

$R_6$  is OH, lower alkyl, phenyl, benzyl or substituted phenyl, wherein the substituents are 1-3 groups independently selected from the group consisting of lower alkyl, lower alkoxy, carboxy,  $NO_2$ ,  $NH_2$ , OH, halogeno, lower alkylamino and di-lower alkylamino;

(f) a sterol absorption inhibitor represented by Formula (VI):

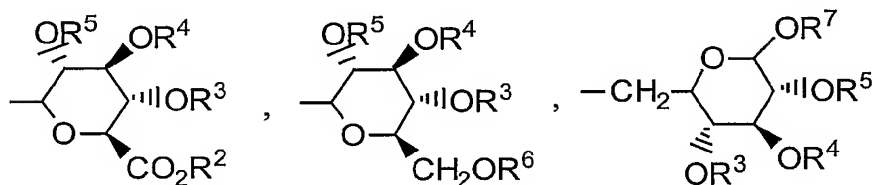


(VI)

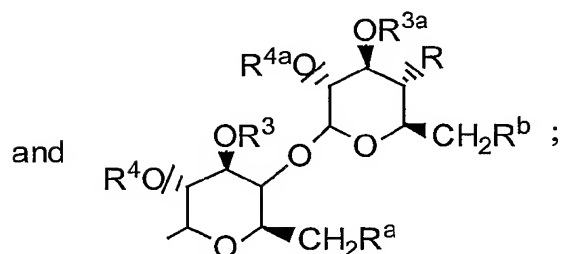
or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (VI) or of the isomers thereof, wherein in Formula (VI):

$R^{26}$  is H or  $OG^1$ ;

G and  $G^1$  are independently selected from the group consisting of



H,



provided that when  $R^{26}$  is H or

OH, G is not H;

R,  $R^a$  and  $R^b$  are independently selected from the group consisting of H, -OH, halogeno, -NH<sub>2</sub>, azido, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)-alkoxy and -W-R<sup>30</sup>;

wherein W is independently selected from the group consisting of -NH-C(O), -O-C(O)-, -O-C(O)-N(R<sup>31</sup>)-, -NH-C(O)-N(R<sup>31</sup>)- and -O-C(S)-N(R<sup>31</sup>)-;

$R^2$  and  $R^6$  are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl and aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl;

$R^3$ ,  $R^4$ ,  $R^5$ ,  $R^7$ ,  $R^{3a}$  and  $R^{4a}$  are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl, -C(O)(C<sub>1</sub>-C<sub>6</sub>)alkyl and -C(O)aryl;

$R^{30}$  is selected from the group consisting of  $R^{32}$ -substituted T,  $R^{32}$ -substituted-T-(C<sub>1</sub>-C<sub>6</sub>)alkyl,  $R^{32}$ -substituted-(C<sub>2</sub>-C<sub>4</sub>)alkenyl,  $R^{32}$ -substituted-(C<sub>1</sub>-C<sub>6</sub>)alkyl,  $R^{32}$ -substituted-(C<sub>3</sub>-C<sub>7</sub>)cycloalkyl and  $R^{32}$ -substituted-(C<sub>3</sub>-C<sub>7</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl;

$R^{31}$  is selected from the group consisting of H and (C<sub>1</sub>-C<sub>4</sub>)alkyl;

T is selected from the group consisting of phenyl, furyl, thienyl, pyrrolyl, oxazolyl, isoxazolyl, thiazolyl, isothiazolyl, benzothiazolyl, thiadiazolyl, pyrazolyl, imidazolyl and pyridyl;

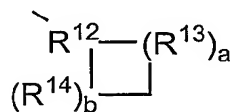
$R^{32}$  is independently selected from 1-3 substituents independently selected from the group consisting of halogeno, (C<sub>1</sub>-C<sub>4</sub>)alkyl, -OH, phenoxy, -CF<sub>3</sub>, -NO<sub>2</sub>, (C<sub>1</sub>-C<sub>4</sub>)alkoxy, methylenedioxy, oxo, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfanyl, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfinyl, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfonyl, -N(CH<sub>3</sub>)<sub>2</sub>, -C(O)-NH(C<sub>1</sub>-C<sub>4</sub>)alkyl, -C(O)-N((C<sub>1</sub>-C<sub>4</sub>)alkyl)<sub>2</sub>, -C(O)-(C<sub>1</sub>-C<sub>4</sub>)alkyl, -C(O)-(C<sub>1</sub>-C<sub>4</sub>)alkoxy and pyrrolidinylcarbonyl; or

$R^{32}$  is a covalent bond and  $R^{31}$ , the nitrogen to which it is attached and  $R^{32}$  form a pyrrolidinyl, piperidinyl, N-methyl-piperazinyl, indolinyl or morpholinyl group, or a (C<sub>1</sub>-C<sub>4</sub>)alkoxycarbonyl-substituted pyrrolidinyl, piperidinyl, N-methylpiperazinyl, indolinyl or morpholinyl group;

Ar<sup>1</sup> is aryl or  $R^{10}$ -substituted aryl;

Ar<sup>2</sup> is aryl or  $R^{11}$ -substituted aryl;

Q is a bond or, with the 3-position ring carbon of the azetidinone, forms the spiro group



; and

$R^1$  is selected from the group consisting of:

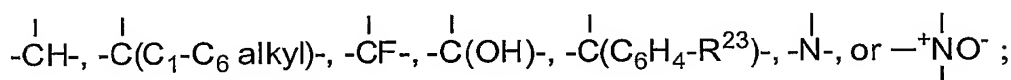
$-(CH_2)_q-$ , wherein  $q$  is 2-6, provided that when  $Q$  forms a spiro ring,  $q$  can also be zero or 1;

$-(CH_2)_e-E-(CH_2)_r-$ , wherein  $E$  is  $-O-$ ,  $-C(O)-$ , phenylene,  $-NR^{22}-$  or  $-S(O)_{0-2}-$ ,  $e$  is 0-5 and  $r$  is 0-5, provided that the sum of  $e$  and  $r$  is 1-6;

$-(C_2-C_6)alkenylene-$ ; and

$-(CH_2)_f-V-(CH_2)_g-$ , wherein  $V$  is  $C_3-C_6$  cycloalkylene,  $f$  is 1-5 and  $g$  is 0-5, provided that the sum of  $f$  and  $g$  is 1-6;

$R^{12}$  is



$R^{13}$  and  $R^{14}$  are independently selected from the group consisting of  $-CH_2-$ ,  $-CH(C_1-C_6 \text{ alkyl})-$ ,  $-C(di-(C_1-C_6 \text{ alkyl}))-$ ,  $-CH=CH-$  and  $-C(C_1-C_6 \text{ alkyl})=CH-$ ; or  $R^{12}$  together with an adjacent  $R^{13}$ , or  $R^{12}$  together with an adjacent  $R^{14}$ , form a  $-CH=CH-$  or a  $-CH=C(C_1-C_6 \text{ alkyl})-$  group;

$a$  and  $b$  are independently 0, 1, 2 or 3, provided both are not zero;

provided that when  $R^{13}$  is  $-CH=CH-$  or  $-C(C_1-C_6 \text{ alkyl})=CH-$ ,  $a$  is 1;

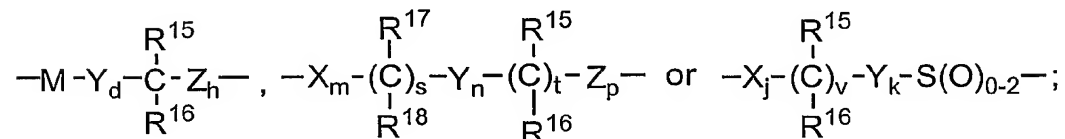
provided that when  $R^{14}$  is  $-CH=CH-$  or  $-C(C_1-C_6 \text{ alkyl})=CH-$ ,  $b$  is 1;

provided that when  $a$  is 2 or 3, the  $R^{13}$ 's can be the same or different;

and

provided that when  $b$  is 2 or 3, the  $R^{14}$ 's can be the same or different;

and when  $Q$  is a bond,  $R^1$  also can be:



$M$  is  $-O-$ ,  $-S-$ ,  $-S(O)-$  or  $-S(O)_2-$ ;

$X$ ,  $Y$  and  $Z$  are independently selected from the group consisting of  $-CH_2-$ ,  $-CH(C_1-C_6 \text{ alkyl})-$  and  $-C(di-(C_1-C_6 \text{ alkyl}))-$ ;

$R^{10}$  and  $R^{11}$  are independently selected from the group consisting of 1-3 substituents independently selected from the group consisting of  $(C_1-C_6)alkyl$ ,  $-OR^{19}$ ,



-O(CO)R<sup>19</sup>, -O(CO)OR<sup>21</sup>, -O(CH<sub>2</sub>)<sub>1-5</sub>OR<sup>19</sup>, -O(CO)NR<sup>19</sup>R<sup>20</sup>, -NR<sup>19</sup>R<sup>20</sup>, -NR<sup>19</sup>(CO)R<sup>20</sup>, -NR<sup>19</sup>(CO)OR<sup>21</sup>, -NR<sup>19</sup>(CO)NR<sup>20</sup>R<sup>25</sup>, -NR<sup>19</sup>SO<sub>2</sub>R<sup>21</sup>, -COOR<sup>19</sup>, -CONR<sup>19</sup>R<sup>20</sup>, -COR<sup>19</sup>, -SO<sub>2</sub>NR<sup>19</sup>R<sup>20</sup>, S(O)<sub>0-2</sub>R<sup>21</sup>, -O(CH<sub>2</sub>)<sub>1-10</sub>-COOR<sup>19</sup>, -O(CH<sub>2</sub>)<sub>1-10</sub>CONR<sup>19</sup>R<sup>20</sup>, -(C<sub>1</sub>-C<sub>6</sub> alkylene)-COOR<sup>19</sup>, -CH=CH-COOR<sup>19</sup>, -CF<sub>3</sub>, -CN, -NO<sub>2</sub> and halogen;

R<sup>15</sup> and R<sup>17</sup> are independently selected from the group consisting of -OR<sup>19</sup>, -O(CO)R<sup>19</sup>, -O(CO)OR<sup>21</sup> and -O(CO)NR<sup>19</sup>R<sup>20</sup>;

R<sup>16</sup> and R<sup>18</sup> are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl and aryl; or R<sup>15</sup> and R<sup>16</sup> together are =O, or R<sup>17</sup> and R<sup>18</sup> together are =O;

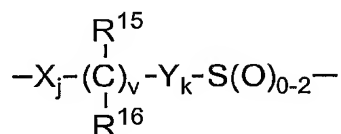
d is 1, 2 or 3;

h is 0, 1, 2, 3 or 4;

s is 0 or 1; t is 0 or 1; m, n and p are independently 0-4; provided that at least one of s and t is 1, and the sum of m, n, p, s and t is 1-6; provided that when p is 0 and t is 1, the sum of m, s and n is 1-5; and provided that when p is 0 and s is 1, the sum of m, t and n is 1-5;

v is 0 or 1;

j and k are independently 1-5, provided that the sum of j, k and v is 1-5; and when Q is a bond and R<sup>1</sup> is



Ar<sup>1</sup> can also be pyridyl, isoxazolyl, furanyl, pyrrolyl, thienyl, imidazolyl, pyrazolyl, thiazolyl, pyrazinyl, pyrimidinyl or pyridazinyl;

R<sup>19</sup> and R<sup>20</sup> are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl and aryl-substituted (C<sub>1</sub>-C<sub>6</sub>)alkyl;

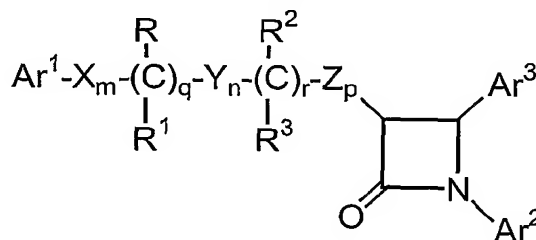
R<sup>21</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl or R<sup>24</sup>-substituted aryl;

R<sup>22</sup> is H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl (C<sub>1</sub>-C<sub>6</sub>)alkyl, -C(O)R<sup>19</sup> or -COOR<sup>19</sup>;

$R^{23}$  and  $R^{24}$  are independently 1-3 groups independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy, -COOH, NO<sub>2</sub>, -NR<sup>19</sup>R<sup>20</sup>, -OH and halogeno; and

$R^{25}$  is H, -OH or (C<sub>1</sub>-C<sub>6</sub>)alkoxy;

(g) a sterol absorption inhibitor represented by Formula (VII):



(VII)

or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (VII) or of the isomers thereof, wherein in Formula (VII):

$Ar^1$  and  $Ar^2$  are independently selected from the group consisting of aryl and R<sup>4</sup>-substituted aryl;

$Ar^3$  is aryl or R<sup>5</sup>-substituted aryl;

X, Y and Z are independently selected from the group consisting of -CH<sub>2</sub>-, -CH(lower alkyl)- and -C(di-lower alkyl)-;

R and R<sup>2</sup> are independently selected from the group consisting of -OR<sup>6</sup>, -O(CO)R<sup>6</sup>, -O(CO)OR<sup>9</sup> and -O(CO)NR<sup>6</sup>R<sup>7</sup>;

R<sup>1</sup> and R<sup>3</sup> are independently selected from the group consisting of hydrogen, lower alkyl and aryl;

q is 0 or 1;

r is 0 or 1;

m, n and p are independently 0, 1, 2, 3 or 4;

provided that at least one of q and r is 1, and the sum of m, n, p, q and r is 1, 2, 3, 4, 5 or 6; and

provided that when p is 0 and r is 1, the sum of m, q and n is 1, 2, 3, 4 or 5;

R<sup>4</sup> is 1-5 substituents independently selected from the group consisting of lower alkyl, -OR<sup>6</sup>, -O(CO)R<sup>6</sup>, -O(CO)OR<sup>9</sup>, -O(CH<sub>2</sub>)<sub>1-5</sub>OR<sup>6</sup>, -O(CO)NR<sup>6</sup>R<sup>7</sup>, -NR<sup>6</sup>R<sup>7</sup>, -NR<sup>6</sup>(CO)R<sup>7</sup>, -NR<sup>6</sup>(CO)OR<sup>9</sup>, -NR<sup>6</sup>(CO)NR<sup>7</sup>R<sup>8</sup>, -NR<sup>6</sup>SO<sub>2</sub>R<sup>9</sup>, -COOR<sup>6</sup>, -CONR<sup>6</sup>R<sup>7</sup>, -

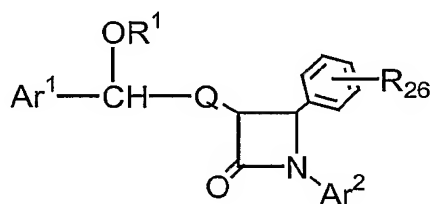
$\text{COR}^6$ ,  $-\text{SO}_2\text{NR}^6\text{R}^7$ ,  $-\text{S(O)}_{0-2}\text{R}^9$ ,  $-\text{O(CH}_2\text{)}_{1-10}\text{COOR}^6$ ,  $-\text{O(CH}_2\text{)}_{1-10}\text{CONR}^6\text{R}^7$ ,  $-(\text{lower alkylene})\text{COOR}^6$ ,  $-\text{CH=CH-COOR}^6$ ,  $-\text{CF}_3$ ,  $-\text{CN}$ ,  $-\text{NO}_2$  and halogen;

$\text{R}^5$  is 1-5 substituents independently selected from the group consisting of  $-\text{OR}^6$ ,  $-\text{O(CO)R}^6$ ,  $-\text{O(CO)OR}^9$ ,  $-\text{O(CH}_2\text{)}_{1-5}\text{OR}^6$ ,  $-\text{O(CO)NR}^6\text{R}^7$ ,  $-\text{NR}^6\text{R}^7$ ,  $-\text{NR}^6(\text{CO)R}^7$ ,  $-\text{NR}^6(\text{CO)OR}^9$ ,  $-\text{NR}^6(\text{CO)NR}^7\text{R}^8$ ,  $-\text{NR}^6\text{SO}_2\text{R}^9$ ,  $-\text{COOR}^6$ ,  $-\text{CONR}^6\text{R}^7$ ,  $-\text{COR}^6$ ,  $-\text{SO}_2\text{NR}^6\text{R}^7$ ,  $-\text{S(O)}_{0-2}\text{R}^9$ ,  $-\text{O(CH}_2\text{)}_{1-10}\text{COOR}^6$ ,  $-\text{O(CH}_2\text{)}_{1-10}\text{CONR}^6\text{R}^7$ ,  $-(\text{lower alkylene})\text{COOR}^6$  and  $-\text{CH=CH-COOR}^6$ ;

$\text{R}^6$ ,  $\text{R}^7$  and  $\text{R}^8$  are independently selected from the group consisting of hydrogen, lower alkyl, aryl and aryl-substituted lower alkyl; and

$\text{R}^9$  is lower alkyl, aryl or aryl-substituted lower alkyl; and

(h) a sterol absorption inhibitor represented by Formula (IX):



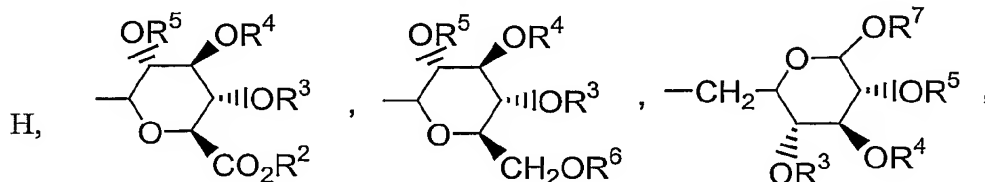
(IX)

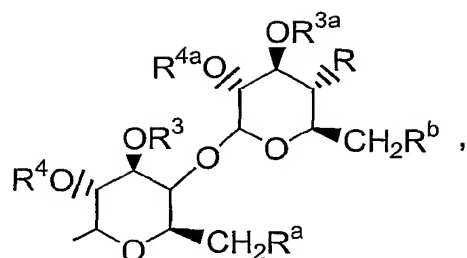
or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (IX) or of the isomers thereof, wherein in Formula (IX):

$\text{R}^{26}$  is selected from the group consisting of:

- $\text{OH}$ ;
- $\text{OCH}_3$ ;
- fluorine and
- chlorine.

$\text{R}^1$  is selected from the group consisting of





-SO<sub>3</sub>H; natural and unnatural amino acids.

R, R<sup>a</sup> and R<sup>b</sup> are independently selected from the group consisting of H, -OH, halogeno, -NH<sub>2</sub>, azido, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)-alkoxy and -W-R<sup>30</sup>;

W is independently selected from the group consisting of -NH-C(O)-, -O-C(O)-, -O-C(O)-N(R<sup>31</sup>)-, -NH-C(O)-N(R<sup>31</sup>)- and -O-C(S)-N(R<sup>31</sup>)-;

R<sup>2</sup> and R<sup>6</sup> are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl and aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl;

R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>7</sup>, R<sup>3a</sup> and R<sup>4a</sup> are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl, -C(O)(C<sub>1</sub>-C<sub>6</sub>)alkyl and -C(O)aryl;

R<sup>30</sup> is independently selected from the group consisting of R<sup>32</sup>-substituted T, R<sup>32</sup>-substituted-T-(C<sub>1</sub>-C<sub>6</sub>)alkyl, R<sup>32</sup>-substituted-(C<sub>2</sub>-C<sub>4</sub>)alkenyl, R<sup>32</sup>-substituted-(C<sub>1</sub>-C<sub>6</sub>)alkyl, R<sup>32</sup>-substituted-(C<sub>3</sub>-C<sub>7</sub>)cycloalkyl and R<sup>32</sup>-substituted-(C<sub>3</sub>-C<sub>7</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl;

R<sup>31</sup> is independently selected from the group consisting of H and (C<sub>1</sub>-C<sub>4</sub>)alkyl;

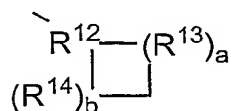
T is independently selected from the group consisting of phenyl, furyl, thienyl, pyrrolyl, oxazolyl, isoxazolyl, thiazolyl, isothiazolyl, benzothiazolyl, thiadiazolyl, pyrazolyl, imidazolyl and pyridyl;

R<sup>32</sup> is independently selected from 1-3 substituents independently selected from the group consisting of H, halogeno, (C<sub>1</sub>-C<sub>4</sub>)alkyl, -OH, phenoxy, -CF<sub>3</sub>, -NO<sub>2</sub>, (C<sub>1</sub>-C<sub>4</sub>)alkoxy, methylenedioxy, oxo, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfanyl, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfinyl, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfonyl, -N(CH<sub>3</sub>)<sub>2</sub>, -C(O)-NH(C<sub>1</sub>-C<sub>4</sub>)alkyl, -C(O)-N((C<sub>1</sub>-C<sub>4</sub>)alkyl)<sub>2</sub>, -C(O)-(C<sub>1</sub>-C<sub>4</sub>)alkyl, -C(O)-(C<sub>1</sub>-C<sub>4</sub>)alkoxy and pyrrolidinylcarbonyl; or R<sup>32</sup> is a covalent bond and R<sup>31</sup>, the nitrogen to which it is attached and R<sup>32</sup> form a pyrrolidinyl, piperidinyl, N-methyl-piperazinyl, indolinyl or morpholinyl group, or a (C<sub>1</sub>-C<sub>4</sub>)alkoxycarbonyl-substituted pyrrolidinyl, piperidinyl, N-methylpiperazinyl, indolinyl or morpholinyl group;

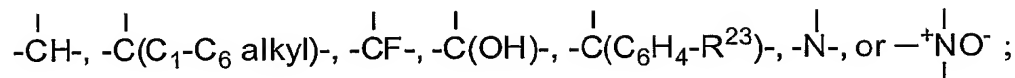
Ar<sup>1</sup> is aryl or R<sup>10</sup>-substituted aryl;

$\text{Ar}^2$  is aryl or  $\text{R}^{11}$ -substituted aryl;

Q is  $-(\text{CH}_2)_q-$ , wherein q is 2-6, or, with the 3-position ring carbon of the azetidinone,  
forms the spiro group ;



$\text{R}^{12}$  is



$\text{R}^{13}$  and  $\text{R}^{14}$  are independently selected from the group consisting of  $-\text{CH}_2-$ ,  $-\text{CH}(\text{C}_1-\text{C}_6 \text{ alkyl})-$ ,  $-\text{C}(\text{di}-(\text{C}_1-\text{C}_6) \text{ alkyl})-$ ,  $-\text{CH}=\text{CH}-$  and  $-\text{C}(\text{C}_1-\text{C}_6 \text{ alkyl})=\text{CH}-$ ; or  $\text{R}^{12}$  together with an adjacent  $\text{R}^{13}$ , or  $\text{R}^{12}$  together with an adjacent  $\text{R}^{14}$ , form a  $-\text{CH}=\text{CH}-$  or a  $-\text{CH}=\text{C}(\text{C}_1-\text{C}_6 \text{ alkyl})-$  group;

a and b are independently 0, 1, 2 or 3, provided both are not zero; provided that when  $\text{R}^{13}$  is  $-\text{CH}=\text{CH}-$  or  $-\text{C}(\text{C}_1-\text{C}_6 \text{ alkyl})=\text{CH}-$ , a is 1; provided that when  $\text{R}^{14}$  is  $-\text{CH}=\text{CH}-$  or  $-\text{C}(\text{C}_1-\text{C}_6 \text{ alkyl})=\text{CH}-$ , b is 1; provided that when a is 2 or 3, the  $\text{R}^{13}$ 's can be the same or different; and provided that when b is 2 or 3, the  $\text{R}^{14}$ 's can be the same or different;

$\text{R}^{10}$  and  $\text{R}^{11}$  are independently selected from the group consisting of 1-3 substituents independently selected from the group consisting of  $(\text{C}_1-\text{C}_6)\text{alkyl}$ ,  $-\text{OR}^{19}$ ,  $-\text{O}(\text{CO})\text{R}^{19}$ ,  $-\text{O}(\text{CO})\text{OR}^{21}$ ,  $-\text{O}(\text{CH}_2)_{1-5}\text{OR}^{19}$ ,  $-\text{O}(\text{CO})\text{NR}^{19}\text{R}^{20}$ ,  $-\text{NR}^{19}\text{R}^{20}$ ,  $-\text{NR}^{19}(\text{CO})\text{R}^{20}$ ,  $-\text{NR}^{19}(\text{CO})\text{OR}^{21}$ ,  $-\text{NR}^{19}(\text{CO})\text{NR}^{20}\text{R}^{25}$ ,  $-\text{NR}^{19}\text{SO}_2\text{R}^{21}$ ,  $-\text{COOR}^{19}$ ,  $-\text{CONR}^{19}\text{R}^{20}$ ,  $-\text{COR}^{19}$ ,  $-\text{SO}_2\text{NR}^{19}\text{R}^{20}$ ,  $\text{S}(\text{O})_{0-2}\text{R}^{21}$ ,  $-\text{O}(\text{CH}_2)_{1-10}\text{COOR}^{19}$ ,  $-\text{O}(\text{CH}_2)_{1-10}\text{CONR}^{19}\text{R}^{20}$ ,  $-(\text{C}_1-\text{C}_6 \text{ alkylene})-\text{COOR}^{19}$ ,  $-\text{CH}=\text{CH}-\text{COOR}^{19}$ ,  $-\text{CF}_3$ ,  $-\text{CN}$ ,  $-\text{NO}_2$  and halogen;

$\text{Ar}^1$  can also be pyridyl, isoxazolyl, furanyl, pyrrolyl, thienyl, imidazolyl, pyrazolyl, thiazolyl, pyrazinyl, pyrimidinyl or pyridazinyl;

$R^{19}$  and  $R^{20}$  are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl and aryl-substituted (C<sub>1</sub>-C<sub>6</sub>)alkyl;

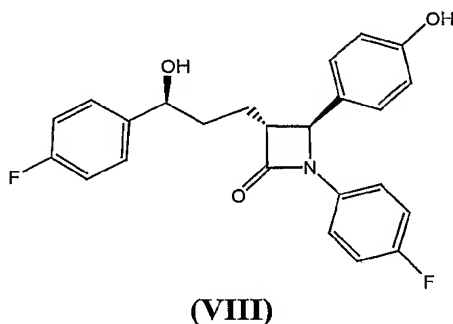
$R^{21}$  is (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl or  $R^{24}$ -substituted aryl;

$R^{22}$  is H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl (C<sub>1</sub>-C<sub>6</sub>)alkyl, -C(O) $R^{19}$  or -COOR<sup>19</sup>;

$R^{23}$  and  $R^{24}$  are independently 1-3 groups independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy, -COOH, NO<sub>2</sub>, -NR<sup>19</sup>R<sup>20</sup>, -OH and halogeno; and

$R^{25}$  is H, -OH or (C<sub>1</sub>-C<sub>6</sub>)alkoxy.

[00100] In another embodiment, the sterol absorption inhibitor is represented by Formula (VIII):



or pharmaceutically acceptable salts or solvates thereof.

In another embodiment, the sterol absorption inhibitor is ezetimibe.

Generally, the effective amount of sterol absorption inhibitor is that which is sufficient to provide a medically desirable result. The daily dose of the sterol absorption inhibitor(s) preferably ranges from about 0.1 to about 30 mg/kg of body weight per day, and more preferably about 0.1 to about 15 mg/kg. For an average body weight of 70 kg, the dosage level therefore ranges from about 1 mg to about 1000 mg of sterol absorption inhibitor(s) per day, given in a single dose or 2-4 divided doses. The exact dose, however, is determined by the attending clinician and is dependent on the potency of the compound administered, the age, weight, condition and response of the patient.

Compositions or formulations including sterol absorption inhibitors are disclosed in the references incorporated by reference above. An example of a suitable composition is:

<u>Tablet A</u>		
<u>No.</u>	<u>Ingredient</u>	<u>mg/tablet</u>
1	Ezetimibe	10
2	Lactose monohydrate NF	55
3	Microcrystalline cellulose NF	20
4	Povidone USP (K29-32)	4
5	Croscarmellose sodium NF	8
6	Sodium lauryl sulfate NF	2
7	Magnesium stearate NF	1
	Total	100

A non-limiting example of a suitable formulation is ZETIA® ezetimibe formulation, which is commercially available from MSP Pharmaceuticals, Inc.

Furthermore, the invention provides for evaluating whether a subject may benefit from treatment with a sterol absorption inhibitor in combination with a statin, such as atorvastatin and simvastatin.

### EXAMPLES

#### EXAMPLE 1: PROCAM Study

The relationship between modest elevations in phytosterols in the normal range and risk of coronary heart disease was investigated through a nested case-control study using samples from participants in the Prospective Cardiovascular Münster (PROCAM) study, a large-scale prospective epidemiological study of men and women at work in the northern German city of Münster and the adjoining region of the northern Ruhr valley.

#### Subjects and methods

Recruitment to the PROCAM study was started in 1979 and completed in 1985. (Cullen P, Schulte H, Assmann G. The Münster Heart Study (PROCAM)

Total mortality in middle-aged men is increased at low total and LDL cholesterol concentrations in smokers but not in nonsmokers. *Circulation* 1997;96:2128-36). During this time 20,060 employees of 52 companies and local government authorities were examined. At recruitment, participants were aged between 16 and 65 years. The baseline examination was performed by the same physician throughout the entire study period and included standardized questionnaires, measurement of blood pressure, body weight and height, a resting electrocardiogram (ECG), and a blood sample following a 12-hour fast for measurement of more than 20 laboratory parameters. Total serum cholesterol, HDL cholesterol, and triglycerides were measured using enzymatic and (for HDL cholesterol) a precipitation method from Boehringer Mannheim. LDL cholesterol was calculated by the Friedewald formula if triglycerides were 4.5 mmol/L (Friedewald WT, Levy J, Fredrickson DS. Estimation of the concentration of low-density-lipoprotein cholesterol in plasma, without use of the preparative ultracentrifuge. *Clin.Chem.* 1972;18:499-509). The examination was carried out free of charge during paid working hours. Participation was voluntary and ranged from 40% to 80% of eligible employees. All findings were reported to the participants' general practitioners, and the volunteers were informed if the results were normal or if a check-up by the general practitioner might be necessary. The investigators neither carried out nor arranged for any intervention.

Follow-up was by questionnaire every two years with a response rate of 96%. In each case in which evidence of morbidity or mortality was entered in the questionnaire, hospital records and records of the attending physician were obtained and, in the case of deceased study participants, an eyewitness account of death was sought. A coronary event was defined as the occurrence of sudden cardiac death or a definite fatal or nonfatal myocardial infarction on the basis of ECG and/or cardiac enzyme changes. Participants were excluded from follow-up if at the time of recruitment they had a history of either myocardial infarction or stroke or if the ECG at recruitment showed signs of ischaemic heart disease. Patients with a history of angina pectoris at recruitment, as defined using the Rose questionnaire (World Health Organization. *Cardiovascular Survey Methods*. Geneva: 1997), were excluded from the present analysis. At the time of the study, 10 years of follow-up and event adjudication had been completed.



In a nested case-control study, 160 men and 17 women who suffered a myocardial infarction or sudden coronary death (coronary event) within 10 years of follow-up in the Prospective Cardiovascular Münster (PROCAM) study were matched with 354 controls for sex, age, date of investigation and smoking status. Phytosterol concentrations were measured in stored serum samples using gas chromatography-mass spectrometry. Analysis was performed using conditional logistic regression.

In the present analysis, all covariates were based on baseline values. Hypertension was defined as treated hypertension and/or systolic blood pressure  $\geq 140$  and/or diastolic blood pressure  $\geq 90$  mmHg, diabetes mellitus was defined as known diabetes and/or fasting blood glucose  $\geq 7.0$  mmol/L, metabolic syndrome was defined as the presence of at least three of the following components: body mass index (BMI)  $> 29.0$  kg/m<sup>2</sup> in men or  $> 27.5$  kg/m<sup>2</sup> in women, triglycerides  $\geq 1.72$  mmol/L, HDL cholesterol  $< 1.03$  mmol/L in men or  $< 1.29$  mmol/L in women, blood pressure  $\geq 130/85$  mmHg, fasting blood glucose between 6.1 and 6.9 mmol/L. A positive family history was assumed if a first-degree relative of the participant had suffered a myocardial infarction below the age of 60 years.

### Study design

This was an observational nested case-control study of PROCAM participants aged 35 to 65 years at recruitment. This age cohort was chosen because it formed the basis of the PROCAM risk score (Assmann G, Cullen P, Schulte H. Simple scoring scheme for calculating the risk of acute coronary events based on the 10-year follow-up of the prospective cardiovascular Munster (PROCAM) study. *Circulation* 2002;105:310-5). Cases were defined as individuals who had suffered a coronary event during the 10-year follow-up period and who had stored plasma samples available for analysis. Two controls were chosen for each case from among the participants who were at risk of becoming a case at the time the case was diagnosed (risk set sampling). Controls were matched for year of entry into PROCAM, for sex and for baseline smoking status, and were required to have plasma samples available for analysis. Among the potential identified matches, the two

closest in age to the case were selected. A flow chart of the selection procedure for participants in this study is shown in Figure 1.

#### Analysis of samples

Samples were removed from the freezer where they had been stored at  $-70^{\circ}\text{C}$  since collection and thawed at room temperature. Internal standards (10  $\mu\text{g}$  epicoprostanol and 100  $\mu\text{g}$  coprostanol) were added to 100  $\mu\text{L}$  of plasma followed by saponification with 400  $\mu\text{L}$  tetramethylammoniumhydroxide/isopropanol (20% w/v) for 15 min at  $80^{\circ}\text{C}$ . After cooling to room temperature, 800  $\mu\text{L}$  of water were added and free cholesterol and non-cholesterol steroids were extracted with 200  $\mu\text{L}$  of tetrachloroethylene (30 s vortex, 10 min centrifugation at 2000 g) (Klansek JJ, Yancey P, St Clair RW, Fischer RT, Johnson WJ, Glick JM. Cholesterol quantitation by GLC: artifactual formation of short-chain sterol esters. *J.Lipid Res.* 1995;36:2261-6). The organic fraction was evaporated and the residue containing the sterols was derivatized into trimethylsilylethers using 200  $\mu\text{L}$  pyridine/hexamethyldisilazane/trimethylchlorosilane (9:3:1 v/v/v) at  $60^{\circ}\text{C}$  for 30 min. After removal of excess reagents, the residue was dissolved in 100  $\mu\text{L}$  n-hexane/pyridine (99:1 v/v), sonicated, and centrifuged at 2000 g for 5 min. The supernatant was analyzed by gas chromatography/mass spectrometry using a Finnigan GCQ equipped with an ion trap mass analyser and an SGE-HT5 fused silica capillary column as previously described (Kannenbergh F. Entwicklung eines neuen Verfahrens zur Analyse von Gallensäuren mit Hilfe von Gaschromatographie/Massenspektrometrie-Kopplung. Identifizierung und Quantifizierung abnormer Metaboliten in Galle und Serum bei Störungen des Gallensäurenstoffwechsels. [dissertation]. 2000) for the phytosterols sitosterol, campesterol, cholestanol and lathosterol.

#### Data analysis

The mean baseline values for continuous variables and the proportions for discrete risk factors in the case and control groups were calculated. To estimate the relative risk associated with elevated phytosterol concentrations or ratios, or with conventional risk factors, logistic regression analysis conditioned on the matching

variables was applied. Conditional logistic regression was carried out using SPSS COXREG procedure. For continuous variables, the univariate relationship of each variable with risk of coronary events was analyzed. Each variable was split into 2 or 3 categories based on standard cut-points or clinical judgment and the univariate analyses using the categorical variables was repeated. The interaction between pairs of categorical variables by adding interaction term(s) in the model was also evaluated.

## Results

### Selection of study samples

As shown in Figure 1, of the 20,060 participants in PROCAM recruited between 1979 and 1985, 11,516 were excluded because they were too young at recruitment, or because of previous myocardial infarction or stroke, or angina pectoris. Of the remaining 8,544 participants free of disease at recruitment, 2,928 were excluded because of freezer failure, or because samples had not been collected or used up in previous studies. Thus, 5,616 participants were eligible for inclusion. Of these 182 suffered a coronary event within 10 years of follow-up, of whom full analysis could be performed on 177 samples (5 cases were excluded from analysis because their blood samples were lipaemic or haemolytic). At baseline, one case each was taking bezafibrate, clofibrate and probucol; two controls were taking clofibrate and one control was taking fenofibrate.

### Baseline characteristics

In the present study, cases and controls were matched for sex, age, and smoking status. On univariate analysis, total and LDL cholesterol and triglycerides were greater among cases than among controls, while HDL cholesterol was lower. Cases also had higher systolic blood pressures. The metabolic syndrome and family history of myocardial infarction were also more common in the cases than in the controls (Table 1).

**Table 1:** Baseline characteristics of cases and controls in the present study. All values expressed as mean  $\pm$  standard deviation. N.S. not significant.

	<b>Cases (n = 177)</b>	<b>Controls (n = 354)</b>	<b>P</b>
Age (y)	52.7 $\pm$ 6.9	52.7 $\pm$ 6.8	-
Total cholesterol enz. (mmol/L)	6.6 $\pm$ 1.2	6.0 $\pm$ 1.0	0.001
LDL cholesterol (mmol/L)	4.7 $\pm$ 1.2	4.0 $\pm$ 1.0	< 0.001
HDL cholesterol (mmol/L)	1.1 $\pm$ 0.3	1.2 $\pm$ 0.3	0.004
Triglycerides (mmol/L)	1.88 $\pm$ 1.15	1.61 $\pm$ 0.84	0.002
Systolic blood pressure (mmHg)	137 $\pm$ 20	131 $\pm$ 19	0.003
Diastolic blood pressure (mmHg)	86 $\pm$ 12	84 $\pm$ 11	N.S.
Fasting blood glucose (mmol/L))	5.9 $\pm$ 1.3	5.7 $\pm$ 1.0	0.030
Body mass index (kg/m <sup>2</sup> )	26.8 $\pm$ 2.9	26.5 $\pm$ 3.2	N.S.
Metabolic syndrome (%)	29.7	21.5	0.044
Family history of myocardial infarction (%)	23.6	16.3	0.040
Diabetes mellitus (%)	11.3	7.1	N.S.
Current smoker (%)	44.6	44.6	-
Former smoker (%)	26.0	26.0	-
Mean 10-year risk of myocardial infarction *	20.3 $\pm$ 18.5	11.9 $\pm$ 12.0	< 0.001

\*In Men (160 cases and 320 controls) as estimated by the PROCAM risk score based on the Cox logistic regression model (Assmann G, Cullen P, Schulte H. Simple scoring scheme for calculating the risk of acute coronary events based on the 10-year follow-up of the prospective cardiovascular Munster (PROCAM) study. Circulation 2002;105:310-5).

#### Phytosterol levels

Of the four phytosterols measured, only concentrations of sitosterol and campesterol were higher in cases than in controls (Table 2). A parameter that has been used in previous studies is the ratio of phytosterol to total cholesterol (Rajaratnam RA, Gylling H, Miettinen TA. Independent association of serum squalene and noncholesterol sterols with coronary artery disease in postmenopausal women. J.Am.Coll.Cardiol. 2000;35:1185-91; Matthan NR, Giovanni A, Schaefer EJ, Brown BG, Lichtenstein AH. Impact of simvastatin and niacin with and without antioxidants on plasma cholesterol absorption and synthesis markers in coronary

artery disease patients with low HDL. J.Lipid Res. 2003). In the present study, none of the phytosterol/cholesterol ratios showed a statistically significant relationship with the occurrence of coronary events, however it is believed that this ratio is not indicative of the relationship between LDL and phytosterol levels (Table 2).

**Table 2:** Phytosterol concentrations and phytosterol/cholesterol ratios\* of cases and controls in the present study. N.S. not significant.

	Concentration ( $\mu\text{mol/L}$ )			Molar phytosterol/cholesterol ratio ( $\times 10^4$ )		
	Cases	Controls	P	Cases	Controls	P
	(n = 177)	(n = 354)		(n = 177)	(n = 354)	
Sitosterol	$5.03 \pm 3.44$	$4.31 \pm 2.38$	0.003	$8.82 \pm 5.32$	$8.55 \pm 4.33$	0.489
Campesterol	$10.39 \pm 7.36$	$8.98 \pm 5.26$	0.010	$18.02 \pm 10.40$	$17.88 \pm 9.91$	0.868
Cholestanol	$9.40 \pm 4.65$	$8.77 \pm 4.10$	0.084	$16.64 \pm 6.19$	$17.52 \pm 7.85$	0.140
Lathosterol	$4.50 \pm 2.73$	$4.22 \pm 2.42$	0.233	$8.05 \pm 4.19$	$8.37 \pm 4.43$	0.407

Cholesterol measured by gas chromatography. To convert the phytosterol levels to  $\mu\text{g/dL}$  multiply as follows: sitosterol  $\times 41.5$ , campesterol  $\times 40.0$ , cholestanol  $\times 38.9$ , lathosterol  $\times 38.7$ . To convert cholesterol in  $\text{mmol/L}$  to  $\text{mg/dL}$ , multiply by 38.7.

#### Sitosterol and coronary risk

The distribution of sitosterol levels among controls was analyzed. A left-skewed distribution was observed with a peak between 2.01 and 5.00  $\mu\text{mol/L}$  (Figure 2). The level of 5.25  $\mu\text{mol/L}$  was identified as the cut-off between the third and fourth quartiles, and levels above this were defined as high. The arrow shows the cut-off point of used to define the border between high and non-high levels.

Next, the univariate hazard ratios for development of a coronary event for a number of established risk factors and for sitosterol in our population was calculated (Figure 3) (Figure 3A – men only). Referring to Figure 3A, a high sitosterol ( $> 5.25 \mu\text{mol/L}$ ) level was associated with a risk (hazard ratio 1.81,  $p < 0.05$ ) similar to that of hypertension (1.54), family history (1.60) or the metabolic syndrome (1.68). Participants with diabetes mellitus did not have a significantly increased risk of coronary events compared to non-diabetic participants. In fact, of the univariate risk factors, only high LDL cholesterol (defined as  $\geq 4.14 \text{ mmol/L}$ , hazard

ratio 2.86) and low HDL cholesterol (defined as  $< 1.03$  mmol/L in men, hazard ratio 2.08) was associated with greater risk of a coronary event than sitosterol (Executive Summary of the Third Report of the National Cholesterol Education Program (NCEP) Expert Panel on Detection, Evaluation, and Treatment of High Blood Cholesterol in Adults (Adult Treatment Panel III). JAMA 2001;285:2486-97). Not surprisingly, the greatest risk (3.56) was associated with the presence of a global risk of  $\geq 20\%$  in 10 years as calculated using the PROCAM risk score (Assmann G, Cullen P, Schulte H. Simple scoring scheme for calculating the risk of acute coronary events based on the 10-year follow-up of the prospective cardiovascular Munster (PROCAM) study. Circulation 2002;105:310-5), which takes into account the eight variables of age, smoking status, systolic blood pressure, presence of diabetes, family history of myocardial infarction, LDL cholesterol, HDL cholesterol, and fasting triglyceride level.

Finally, the interaction between sitosterol, LDL cholesterol, and global risk as estimated using the PROCAM risk score was examined (Assmann G, Cullen P, Schulte H. Simple scoring scheme for calculating the risk of acute coronary events based on the 10-year follow-up of the prospective cardiovascular Munster (PROCAM) study. Circulation 2002;105:310-5) (Figures 4 and 5). At high levels of LDL cholesterol ( $>4.13$  mmol/L), high levels of sitosterol significantly increased risk of coronary events with an approximate doubling of the hazard ratio from 3.44 to 6.65 ( $p = 0.025$ ). At intermediate (3.36-4.13 mmol/L) and low ( $<3.36$  mmol/L) levels of LDL cholesterol, the risk of coronary events was not increased at high sitosterol concentrations (Figure 4). At high levels of global risk ( $\geq 20\%$  risk of a coronary event in 10 years), further stratification by sitosterol level provided significant risk information, resulting in a three-fold increase in the hazard ratio for the participants with high levels of both, compared to the hazard ratio estimated for participants with high global risk alone (from 5.76 to 17.23,  $p = 0.032$ ). At intermediate (10.0% -  $<20\%$  risk of a coronary event in 10 years) and lower ( $<10\%$  risk in 10 years) levels of global risk, however, high sitosterol did not significantly increase the hazard ratio for coronary heart disease (Figure 5). The hazard ratio for sitosterol did not change significantly after adjustment for other components of the PROCAM risk score.

Similar interaction was observed for sitosterol to cholesterol ratio and global risk (Figure 5A).

These results suggest that elevated sitosterol is associated with an increased risk for development of coronary events. Persons with a high LDL cholesterol or a high global risk of coronary heart disease who also had a high sitosterol concentration were two to three times more likely to develop a coronary event than those with lower levels of sitosterol.

The most important difference between this study and previous investigations is that phytosterol concentrations were measured using blood samples obtained prospectively, in most cases many years before the coronary event occurred. Since cases and controls were matched for year of entry, they were also effectively matched for plasma storage time. This means that the results are unlikely to be an artifact of patient selection or study design. The previous studies in this field were cross-sectional, while this study used a nested case-control design with risk set sampling. The latter design provided an estimation of risk that closely approximates the relative risk estimate that would have been obtained from a true prospective cohort study. Also, this study began before statins were available. Since few participants were receiving other lipid-modifying medication at the time their blood samples were drawn, it is unlikely that the results were substantially influenced by lipid-lowering therapy.

Of particular interest is the observation that increased sitosterol levels were only associated with increased risk for coronary heart disease in persons who were already at increased risk because of elevated LDL cholesterol or high global risk. It is possible that this relationship is merely a reflection of limited power to detect an effect in lower risk subgroups. However, it is analogous to an observation made in the case of lipoprotein (a) [Lp(a)]. Raised levels of Lp(a) appear to be associated with an increased atherosclerotic risk primarily in persons who also have high LDL cholesterol concentrations (Luc G, Bard JM, Arveiler D, Ferrieres J, Evans A, Amouyel P, Fruchart JC, Ducimetiere P. Lipoprotein (a) as a predictor of coronary heart disease: the PRIME Study. *Atherosclerosis* 2002;163:377-84.; von Eckardstein A, Schulte H, Cullen P, Assmann G. Lipoprotein(a) further increases the risk of coronary events in men with high global cardiovascular risk. *J.Am.Coll.Cardiol.*

2001;37:434-9.). The reason for the interaction between sitosterol and high LDL cholesterol in our population is not known, and this interaction remains to be confirmed in larger studies with greater power to detect potential associations in lower-risk subjects. It is also possible that sitosterol at high concentrations than reported here may be associated with increased coronary risk even among persons with normal LDL cholesterol.

This study cannot exclude the possibility that sitosterol itself is not the cause of increased coronary risk, but rather a surrogate for some other factor that impacts on the atherogenic process. However, elevated phytosterol levels are associated with severe premature atherosclerosis in homozygous sitosterolaemia, despite the fact that LDL cholesterol levels are generally normal or only modestly elevated in this condition (Björkhem I, Boberg KM. Inborn errors in bile acid biosynthesis and storage of sterols other than cholesterol. In: Scriver CR, Beaudet AL, Sly WS, Valle D, editors. *The Metabolic and Molecular Bases of Inherited Disease* on CD-ROM. 7 ed. New York: McGraw-Hill; 1997). In addition, since phytosterols are found within atherosclerotic lesions in these patients, it is possible that they are directly atherogenic (Salen G, Horak I, Rothkopf M, Cohen JL, Speck J, Tint GS, Shore V, Dayal B, Chen T, Shefer S. Lethal atherosclerosis associated with abnormal plasma and tissue sterol composition in sitosterolemia with xanthomatosis. *J.Lipid Res.* 1985;26:1126-33). Clearly, further research is warranted to evaluate the potential biochemical mechanisms. Further studies on the metabolism of sitosterol at the whole body and cellular level, as well as studies of the potential atherogenicity of lipoprotein-associated phytosterols, will be required to clarify this issue.

It is noteworthy that different lipid-lowering agents have different effects on plasma phytosterol concentrations. Statins have been reported to increase ratios of sitosterol and campesterol to cholesterol, and in some studies also increase absolute phytosterol concentrations (Miettinen TA, Gylling H, Lindholm N, Miettinen TE, Rajaratnam RA, Relas H. Serum noncholesterol sterols during inhibition of cholesterol synthesis by statins. *J.Lab Clin.Med.* 2003;141:131-7). In contrast, bile acid sequestrants (Salen G, Horak I, Rothkopf M, Cohen JL, Speck J, Tint GS, Shore V, Dayal B, Chen T, Shefer S. Lethal atherosclerosis associated with abnormal plasma and tissue sterol composition in sitosterolemia with xanthomatosis.



J.Lipid Res. 1985;26:1126-33) and cholesterol absorption inhibitors (Sudhop T, Gottwald BM, von Bergmann K. Serum plant sterols as a potential risk factor for coronary heart disease. Metabolism 2002;51:1519-21) have been shown to reduce phytosterol concentrations.

Elevated levels of sitosterol were associated with an increased risk of coronary events in patients with elevated LDL cholesterol levels or at high global risk. Even modest elevations in sitosterol are associated with the increased risk of coronary events, particularly in persons with high LDL cholesterol or high global risk of coronary heart disease. Such elevated levels may be used for identifying patients who may benefit from appropriate lipid-lowering therapy.

In summary, sitosterol levels were elevated in coronary event cases compared to controls ( $5.03 \pm 3.44$   $\mu\text{mol/L}$  [mean  $\pm$  SD] vs.  $4.31 \pm 2.38$   $\mu\text{mol/L}$  respectively,  $p = 0.003$ ) ( $4.94 \pm 3.44$   $\mu\text{mol/L}$  vs.  $4.27 \pm 2.38$   $\mu\text{mol/L}$ ,  $p = 0.028$  (men only)). The upper quartile of sitosterol ( $> 5.25$   $\mu\text{mol/L}$ ) was associated with a 1.8-fold increase in risk (95% CI 1.1-2.8,  $p=0.014$ ) (hazard ratio 1.81,  $p<0.05$  (men only)) compared to the lower three quartiles. Persons with both high low density lipoprotein (LDL) cholesterol ( $\geq 4.14$  mmol/L) and high sitosterol ( $> 5.25$   $\mu\text{mol/L}$ ) were at a 1.9-fold ( $p = 0.025$ ) increased risk compared to those with high LDL cholesterol and low sitosterol ( $\leq 5.25$   $\mu\text{mol/L}$ ). Among men with a global coronary event risk of  $>20\%$  in 10 years as calculated using the PROCAM algorithm, high sitosterol levels were associated with an additional 3-fold increase in risk ( $p = 0.032$ ).

EXAMPLE 2: Effects of Ezetimibe (EZE) and simvastatin administration to hypercholesteremia patients

The cholesterol absorption inhibitor, ezetimibe (EZE), blocks the intestinal absorption of cholesterol and phytosterols in both sitosterolemic and hypercholesterolemic patients. (Salen *et al.* Circulation 2004; 109: 966 - 971; Sudhop *et al.* Circulation 2002; 106:1943-1948.)

Statins decrease cholesterol biosynthesis and appear to increase (atorvastatin) or have no effect (simvastatin) on phytosterols. (Miettinen *et al.* J Lab Clin Med 2003; 141:131-137).

Coadministered EZE and statin produces significant incremental reductions in low-density lipoprotein cholesterol (LDL-C) beyond that of statin alone. (Davidson *et al.* J Am Coll Cardiol 2002; 40:2125-2134; Ballantyne *et al.* Circulation 2003; 107:2409-2415.) However, the effect of EZE + statin on phytosterols is unknown. The present example evaluates the effects of EZE and simvastatin, or Zocor®, (SIMVA) on cholesterol synthesis and phytosterol absorption in hypercholesteremia patients. Specifically, the effects of EZE, simvastatin (SIMVA), and EZE/SIMVA coadministration on phytosterols (sitosterol and campesterol) and cholesterol precursors (lathosterol and desmosterol) in patients with primary hypercholesterolemia were evaluated.

#### Patients and Study Design

A post-hoc analysis of a randomized, double-blind, placebo-controlled trial of primary hypercholesterolemia patients (LDL-C 145-250 mg/dl and TG  $\leq$  350 mg/dl) was conducted to examine the effects of 12 weeks of daily treatment with ezetimibe (EZE) 10 mg, simvastatin (SIMVA) pooled doses 10-80 mg, or EZE 10 mg/SIMVA 10-80 mg (EZE/SIMVA) coadministration (pooled) on concentrations of phytosterols (sitosterol and campesterol) and cholesterol precursors (lathosterol and desmosterol). Baseline and endpoint plasma samples of 578 patients were analyzed by GC-mass spectrometry

Following a 4 week placebo lead-in/diet phase, patients with primary hypercholesterolemia (LDL-C 145 - 250 mg/dL and TG  $\leq$ 350 mg/dL) were randomized on one of the following daily treatments for 12 weeks:

Placebo (PBO)

EZE 10 mg (ezetimibe formulation (see Tablet A above))

SIMVA monotherapy (10, 20, 40, or 80 mg)  
(ZOCOR® simvastatin commercially available from Merck & Co., Inc. encapsulated in No. 000 blue, opaque gelatin capsules)

EZE/SIMVA (10/10, 10/20, 10/40 or 10/80 mg)  
(coadministration of one tablet of ezetimibe formulation (see Tablet A above) and one tablet of ZOCOR®)

simvastatin commercially available from Merck & Co., Inc. encapsulated in No. 000 blue, opaque gelatin capsules)

#### Non-cholesterol Sterol Analysis

Of 668 patients randomized to treatment in the original study, 578 (86%) had evaluable -70°C plasma samples for both baseline and endpoint visits. Concentrations of non-cholesterol sterols were measured by gas chromatography-mass spectrometry (GC-MS).

The primary efficacy parameter was mean percent change in plasma sitosterol concentration from baseline to endpoint comparing EZE/SIMVA (pooled across doses) versus SIMVA monotherapy (pooled across doses). Other efficacy parameters included mean percent changes in campesterol, lathosterol and desmosterol concentrations as well as mean changes in the ratios of each of these sterols to cholesterol.

Two-tailed testing was conducted at  $\alpha=0.05$ . To compare treatment effects on sterol concentrations and ratios, an ANCOVA model including the fixed effects of treatment, dose, and dose-by-treatment interaction was used with the baseline value as the covariate.

**Table 3. Baseline Patient Characteristics and Sterol Concentrations**

Characteristic	Placebo (n=62)	EZE 10 mg (n=55)	SIMVA (n=232)	EZE/SIMVA (n=229)
Age, yr	59.0 (12.5)	59.6 (11.1)	56.8 (12.1)	57.5 (12.0)
Female gender, No. (%)	35 (56)	32 (58)	136 (59)	128 (56)
White, No. (%)	59 (95)	53 (96)	210 (90)	212 (93)
Body mass index, kg/m <sup>2</sup> *	28.9 (4.8)	28.6 (5.0)	28.9 (5.0)	29.0 (5.1)
LDL cholesterol, mg/dL	176.8 (20.4)	181.2 (23.5)	178.7 (20.5)	175.6 (19.1)
HDL cholesterol, mg/dL	53.2 (12.2)	50.5 (10.9)	51.0 (10.4)	50.3 (11.9)
Total cholesterol, mg/dL	264.6 (23.6)	271.2 (28.8)	264.7 (25.0)	263.6 (25.0)
Triglycerides, mg/dL	156.7 (85.0)	182.7 (104.8)	155.3 (78.1)	172.3 (90.2)
Sitosterol, mg/dL	0.24 (0.13)	0.23 (0.15)	0.21 (0.11)	0.23 (0.15)
Campesterol, mg/dL	0.44 (0.23)	0.39 (0.24)	0.38 (0.19)	0.41 (0.26)
Lathosterol, mg/dL	0.19 (0.10)	0.20 (0.09)	0.20 (0.12)	0.20 (0.09)
Desmosterol, mg/dL	0.15 (0.08)	0.19 (0.11)	0.14 (0.08)	0.16 (0.08)

Values are mean (SD) except where otherwise noted.

\*Data for body mass index not available for one person in the placebo control group.

Demographics and baseline characteristics were generally well matched across treatment groups (Table 3).

### Results

EZE significantly lowered concentrations of phytosterols and increased the cholesterol precursor-lathosterol. SIMVA significantly lowered concentrations of cholesterol precursors but did not affect phytosterol concentrations. EZE/SIMVA coadministration lowered both concentrations of phytosterols and cholesterol precursors. Results for the sterol:cholesterol ratios were similar to those for concentrations, except that SIMVA increased ratios of phytosterol:cholesterol.

**Table 4**

Sterol	PBO (n=62)		EZE 10 mg (n=55)		SIMVA (n=232)		EZE/SIMVA (n=229)	
	% change	Ratio	% change	Ratio	% change	Ratio	% change	Ratio
Sitosterol	-0.5	-4.1	-46.5*	-37.8*	-3.3*	21.4*	-52.1*†	-25.1*†
Campesterol	-2.0	-6.8	-50.5*	-78.6*	-1.6*	39.8*	-60.7*†	-68.3*†
Lathosterol	4.7	2.7	35.5*	36.8*	-53.5*	-37.8*	-47.6*†	-22.1*†
Desmosterol	6.5	5.1	16.1	25.7*	-48.0*	-22.8*	-45.6*†	-11.1†

SIMVA=pool of all doses of SIMVA. Comparisons:EZE & SIMVA vs PBO;  
EZE/SIMVA vs SIMVA

% change: Mean % change. Ratio: Mean change ( $10^2$  mmol/mol)

\*P<0.001 vs PBO; †P<0.001 vs SIMVA (pooled) P<0.001 vs EZE

The net effect of EZE/SIMVA is to inhibit cholesterol synthesis and the absorption of the above phytosterols (in conjunction with cholesterol). Clinical relevance and implications with respect to atherosclerosis development and progression warrant further studies.

Treatment with EZE or pooled EZE/SIMVA produced large, significant reductions (from baseline to endpoint) in the concentrations of sitosterol and campesterol ( $p<0.001$  for EZE vs. PBO;  $p<0.001$  for EZE/SIMVA vs. PBO and vs. SIMVA monotherapy; Figure 6). Pooled SIMVA monotherapy had no significant effect on phytosterol concentrations.

EZE and pooled EZE/SIMVA also significantly reduced the ratios of sitosterol:cholesterol and campesterol:cholesterol ( $p<0.001$  for EZE vs. PBO;  $p<0.001$  for EZE/SIMVA vs. PBO and vs. SIMVA monotherapy; Table in Figure 6). These findings are consistent with the large effects seen on phytosterol concentrations. In contrast, SIMVA monotherapy significantly increased both ratios relative to PBO ( $p<0.001$ ).

The effect of EZE/SIMVA on sitosterol and campesterol appeared to be independent of SIMVA dose (Figures 7A and 7B).

Pooled SIMVA monotherapy and pooled EZE/SIMVA produced large, significant reductions (from baseline to endpoint) in the concentrations of the cholesterol precursors, lathosterol and desmosterol ( $p<0.001$  for SIMVA vs. PBO;  $p<0.001$  for pooled EZE/SIMVA vs. PBO and vs. EZE; Figure 8). EZE increased the

concentrations of both precursors ( $p < 0.001$  vs. PBO for lathosterol; increases were not significant for desmosterol).

Pooled SIMVA monotherapy and pooled EZE/SIMVA also significantly reduced the ratios of lathosterol:cholesterol and desmosterol:cholesterol ( $p < 0.001$  for SIMVA vs. PBO;  $p < 0.001$  for EZE/SIMVA vs. PBO and vs. EZE; Table in Figure 8). Both ratios were reduced more by SIMVA monotherapy than by the coadministration ( $p < 0.001$ ). EZE monotherapy significantly increased both ratios relative to placebo ( $p \leq 0.001$ ).

The effects of EZE/SIMVA on lathosterol and campesterol increased with increasing SIMVA dose (Figure 9A and 9B).

**Table 5: Changes in Non-cholesterol Sterols in Relation to Changes in LDL-C and Other Lipid Parameters by Treatment**

\* Median and SE are from raw data

<sup>†</sup> $p < 0.0001$  vs Pooled SIMVA; <sup>‡</sup> $p < 0.05$  vs Pooled SIMVA

EZE = ezetimibe; SIMVA = simvastatin; LDL-C = low-density lipoprotein cholesterol

Parameter	LS Mean % Change ( $\pm$ SE)* from Baseline			
	Placebo (N=62)	EZE-10 mg (N=55)	Pooled SIMVA (N=232)	Pooled EZE/SIMVA (N=229)
LDL-C	-0.5 $\pm$ 1.7	-18.5 $\pm$ 1.8	-37.1 $\pm$ 0.9	-51.4 $\pm$ 0.9 <sup>†</sup>
Total Cholesterol	0.4 $\pm$ 1.3	-13.5 $\pm$ 1.4	-26.8 $\pm$ 0.7	-37.8 $\pm$ 0.7 <sup>†</sup>
HDL-C	1.0 $\pm$ 1.5	5.5 $\pm$ 1.6	7.2 $\pm$ 0.8	9.8 $\pm$ 0.8 <sup>‡</sup>
Triglycerides				
* (median)	1.2 $\pm$ 4.0	-8.6 $\pm$ 3.9	-20.4 $\pm$ 1.5	-30.2 $\pm$ 1.6 <sup>†</sup>

HDL-C = high-density lipoprotein cholesterol

See Figure 10.

Relative to SIMVA monotherapy, EZE/SIMVA significantly reduces LDL-C, total cholesterol, and triglycerides.

EZE, SIMVA monotherapy and EZE/SIMVA all produce significant changes in LDL-C, total cholesterol, and triglycerides relative to baseline.

EZE/SIMVA significantly reduces phytosterols and cholesterol precursors as well as LDL-C.

The results of this analysis demonstrated that, in patients with primary hypercholesterolemia EZE monotherapy significantly reduced plasma concentrations of the phytosterols, sitosterol and campesterol. EZE monotherapy increased plasma concentrations of the cholesterol precursors, lathosterol and desmosterol (markers of cholesterol synthesis.) SIMVA monotherapy had no effect on plasma phytosterol concentrations but significantly increased the ratios of sitosterol:cholesterol and campesterol:cholesterol most likely due to decreases in cholesterol concentrations, reflecting inhibition of cholesterol synthesis. EZE/SIMVA significantly reduced plasma concentrations of both phytosterols and sterol markers of cholesterol synthesis relative to PBO.

These findings are consistent with the known complementary mechanisms of action of EZE and SIMVA on sterol metabolism. EZE blocks the intestinal uptake of cholesterol and non-cholesterol sterols which in turn leads to reduced plasma concentrations and a compensatory increase in endogenous cholesterol synthesis. SIMVA inhibits cholesterol synthesis in the liver but has no effect on the concentrations of phytosterols (which are not synthesized endogenously.)

Thus, through dual inhibition of sterol absorption and cholesterol synthesis, EZE/SIMVA coadministration produces large, multitargeted reductions in cholesterol and non-cholesterol sterols in patients with primary hypercholesterolemia.

### EXAMPLE 3: Effect of Ezetimibe and atorvastatin administration in hypercholesteremia patients

The present example evaluates the effects of EZE and atorvastatin, or Lipitor®, (ATORVA) on cholesterol synthesis and phytosterol absorption in hypercholesteremia patients. Specifically, the effects of EZE, simvastatin (ATORVA), and EZE/ATORVA coadministration on phytosterols (sitosterol and campesterol) and cholesterol precursors (lathosterol and desmosterol) in patients with primary hypercholesterolemia were evaluated.

#### Patients and Study Design

A post-hoc analysis of a randomized, double-blind, placebo-controlled trial of primary hypercholesterolemia patients (LDL-C 145-250mg/dl and TG  $\leq$ 350mg/dl) was conducted. Baseline and endpoint samples of 397 patients were analyzed by GCMS

In this trial, following a 4 week placebo lead-in/diet phase, patients with primary hypercholesterolemia (LDL-C 3.8-6.5 mmol/L [145 - 250 mg/dL] and TG  $\leq$ 4 mmol/L [350 mg/dL]) were randomized to one of the following daily treatments for 12 weeks:

Placebo (PBO)

ATORVA monotherapy (10, 20, 40, or 80 mg)  
(LIPITOR atorvastatin commercially available from Pfizer encapsulated in No. 0 blue, opaque gelatin capsules)

EZE 10 mg (ezetimibe formulation (see Tablet A above)EZE/ATORVA (10/10, 10/20, 10/40 or 10/80 mg) (coadministration of one tablet of ezetimibe formulation (see Tablet A above) and one tablet of LIPITOR® atorvastatin commercially available from Pfizer encapsulated in No. 0 blue, opaque gelatin capsules.

#### Non-cholesterol Sterol Analysis

Of 628 patients randomized to treatment in the original study, 397 (63%) had evaluable -70°C plasma samples for both baseline and endpoint visits.

Concentrations of non-cholesterol sterols were measured by gas chromatography-mass spectrometry (GC-MS).

The primary efficacy parameter was mean percent change in plasma sitosterol concentration from baseline to endpoint comparing EZE/ATORVA (pooled across doses) versus ATORVA (pooled across doses). Other efficacy parameters included mean percent changes in campesterol, lathosterol and desmosterol concentrations as well as mean changes in the ratios of each of these sterols to cholesterol.

Two-tailed testing was conducted at  $\alpha=0.05$ . To compare treatment effects on sterol concentrations and ratios, an ANCOVA model including the fixed



effects of treatment, dose, and dose-by-treatment interaction was used with the baseline value as the covariate.

**Table 6. Baseline Patient Characteristics and Sterol Concentrations**

Characteristic	Placebo (n=41)	EZE 10 mg (n=39)	ATORVA (n=160)	EZE/ATORVA (n=157)
Age, yr	57.7 (11.6)	56.8 (11.3)	57.9 (11.5)	59.5 (11.4)
Female gender, No. (%)	20 (49)	23 (59)	101 (63)	89 (57)
White, No. (%)	33 (81)	34 (87)	130 (81)	137 (87)
Body mass index, kg/m <sup>2</sup>	27.2 (3.7)	29.2 (9.0)	28.4 (4.7)	28.9 (5.0)
LDL cholesterol, mmol/L	4.47 (0.54)	4.46 (0.44)	4.62 (0.54)	4.58 (0.55)
HDL cholesterol, mmol/L	1.32 (0.30)	1.33 (0.33)	1.39 (0.33)	1.30 (0.32)
Total cholesterol, mmol/L	6.65 (0.68)	6.64 (0.50)	6.95 (0.65)	6.88 (0.72)
Triglycerides, mmol/L (median)	1.51 (1.00)	1.61 (0.62)	1.84 (0.92)	1.95 (0.95)
Sitosterol, mmol/L	0.008 (0.004)	0.008 (0.004)	0.008 (0.005)	0.007 (0.004)
Campesterol, mmol/L	0.017 (0.010)	0.016 (0.010)	0.015 (0.010)	0.014 (0.008)
Lathosterol, mmol/L	0.006 (0.003)	0.007 (0.003)	0.007 (0.003)	0.007 (0.004)
Desmosterol, mmol/L	0.006 (0.003)	0.005 (0.002)	0.006 (0.002)	0.009 (0.028)

Values are mean (SD) except where otherwise noted.

Demographics and baseline characteristics were generally well matched across treatment groups (Table 6).

**Table 7**

Parameter	LS Mean % Change ( $\pm$ SE)* from Baseline			
	Placebo (N=40)	EZE-10 mg (N=39)	Pooled ATORVA (N=160)	Pooled EZE/ATORVA (N=157)
LDL-C	6.87 (2.01)	-17.10 (2.06)	-43.56 (1.02)	-56.40 (1.03) <sup>1</sup>
Total Cholesterol	4.39 (1.59)	-12.97 (1.63)	-32.96 (0.81)	-42.88 (0.82) <sup>1</sup>
HDL-C	5.18 (1.67)	5.36 (1.71)	5.21 (0.85)	8.83 (0.86) <sup>‡</sup>
Triglycerides* (median)	-6.25 (6.65)	-2.86 (4.54)	-25.83 (1.80)	-35.94 (1.84) <sup>1</sup>

\* Median and SE are from raw data

<sup>1</sup>P<0.0001 vs. Pooled ATORVA; <sup>‡</sup>P<0.005 vs. Pooled ATORVA

EZE=ezetimibe; ATORVA=atorvastatin; LDL-C = low-density lipoprotein cholesterol;

HDL-C = high-density lipoprotein cholesterol

## Results

Treatment with EZE or pooled EZE/ATORVA produced large, significant reductions (from baseline to endpoint) in the concentrations of sitosterol and campesterol ( $p < 0.05$  for EZE vs. PBO;  $p < 0.05$  for EZE/ATORVA vs. PBO and vs. ATORVA; Figure 11). Pooled ATORVA significantly increased phytosterol concentrations ( $p < 0.05$  vs. EZE).

EZE and pooled EZE/ATORVA also significantly reduced the ratios of sitosterol:cholesterol and campesterol:cholesterol ( $p < 0.05$  for EZE vs. PBO;  $p < 0.05$  for EZE/ATORVA vs. PBO, vs. ATORVA, and vs. EZE; Table in Figure 11). These findings are consistent with the large effects on phytosterol concentrations. In contrast, ATORVA significantly increased both ratios ( $p < 0.05$ ) relative to PBO and to EZE.

Pooled ATORVA and pooled EZE/ATORVA produced large, significant reductions (from baseline to endpoint) in the concentrations of the cholesterol precursors, lathosterol and desmosterol ( $p < 0.05$  for ATORVA vs. PBO and vs. EZE;  $p < 0.05$  for pooled EZE/ATORVA vs. PBO and vs. EZE; Figure 13). EZE significantly increased the concentrations of both precursors relative to PBO ( $p < 0.05$ ).

Pooled ATORVA and pooled EZE/ATORVA also significantly reduced the ratios of lathosterol:cholesterol and desmosterol:cholesterol ( $p < 0.05$  for ATORVA or EZE/ATORVA vs. PBO and vs. EZE;  $p < 0.05$  for EZE/ATORVA vs. ATORVA for lathosterol only; Table in Figure 13). EZE increased both ratios ( $p < 0.05$  vs. PBO for lathosterol; increases were not significant for desmosterol).

EZE/ATORVA significantly reduces concentrations of LDL-C, total cholesterol, and triglycerides and increases concentrations of HDL-C relative to ATORVA.

EZE, ATORVA and EZE/ATORVA all produce significant changes in LDL-C, total cholesterol, and triglycerides relative to baseline.

EZE/ATORVA significantly reduces concentrations of phytosterols, cholesterol precursors, and LDL-C.

In patients with primary hypercholesterolemia EZE significantly reduced plasma concentrations of the phytosterols (sitosterol and campesterol) and

increased plasma concentrations of the cholesterol precursors/synthesis markers (lathosterol and desmosterol).

ATORVA significantly increased plasma phytosterol concentrations and the ratios of sitosterol:cholesterol and campesterol:cholesterol most likely due to decreases in cholesterol concentrations, reflecting inhibition of cholesterol synthesis.

EZE/ATORVA significantly reduced plasma concentrations of both phytosterols and sterol markers of cholesterol synthesis relative to PBO.

These findings are consistent with the known complementary mechanisms of action of EZE and ATORVA on sterol metabolism. EZE blocks the intestinal uptake of cholesterol and non-cholesterol sterols which in turn leads to reduced plasma concentrations and a compensatory increase in endogenous cholesterol synthesis. ATORVA inhibits cholesterol synthesis in the liver but increases the concentrations of phytosterols. Thus, through dual inhibition of sterol absorption and cholesterol synthesis, EZE/ATORVA coadministration produces large, multitargeted reductions in cholesterol and non-cholesterol sterols in patients with primary hypercholesterolemia.

EZE significantly lowered concentrations of phytosterols and increased concentrations of cholesterol precursors. ATORVA (pooled) significantly lowered concentrations of cholesterol precursors and increased phytosterol:cholesterol ratios. The increase in absolute concentration of sitosterol ranged from 7.2% to 24.9% and was significantly greater than placebo for the 40 and 80mg doses ( $p < 0.05$ ). EZE+ATORVA significantly lowered concentrations of phytosterols and cholesterol precursors, and the phytosterol:cholesterol ratios.

Table 8.

Sterol	Pbo (n=41)		EZE 10mg (n=39)		ATORVA (pooled) (n=160)		EZE 10mg + ATORVA (pooled) (n=157)	
	<sup>a</sup> %chg LSmean	<sup>b</sup> Ratio	<sup>a</sup> %chg LSmean	<sup>b</sup> Ratio	<sup>a</sup> %chg LSmean	<sup>b</sup> Ratio	<sup>a</sup> %chg LSmean	<sup>b</sup> Ratio
Sitosterol	6.7	0.8	-53.8*	-70.1*	16.1 <sup>†</sup>	77.4* <sup>†</sup>	-49.4* <sup>†</sup>	-24.8* <sup>††</sup>
Campesterol	7.3	5.2	-58.2*	-151*	10.1 <sup>†</sup>	128* <sup>†</sup>	-59.3* <sup>†</sup>	-88.9* <sup>††</sup>
Lathosterol	6.0	-0.0	34.5*	56.2*	-69.0* <sup>†</sup>	-69.8* <sup>†</sup>	-62.4* <sup>†</sup>	-51.2* <sup>††</sup>
Desmosterol	6.6	9.1	20.6*	38.1	-55.4* <sup>†</sup>	-34.5* <sup>†</sup>	-55.1* <sup>†</sup>	-35.1* <sup>†</sup>

<sup>a</sup>Absolute sterol concentrations(mg/dL).<sup>b</sup>Noncholesterols:Cholesterol Ratio: Mean change(10<sup>2</sup> mmol/mol)\*p<0.05 vs placebo; <sup>†</sup> p<0.05vs EZE 10; <sup>††</sup> p< 0.05 vs ATORVA(pooled)

These results indicate that treatment with ATORVA increases phytosterols while decreasing cholesterol precursors concentrations in a manner that appears to be dose related. EZE+ATORVA lowered both plasma cholesterol and phytosterol concentrations, presumably through dual inhibition of hepatic cholesterol synthesis and intestinal cholesterol/phytosterol absorption.

The present invention is not to be limited in scope by the specific embodiments described herein. Indeed, various modifications of the invention in addition to those described herein will become apparent to those skilled in the art from the foregoing description. Such modifications are intended to fall within the scope of the appended claims.

Patents, patent applications, publications, product descriptions, Genbank Accession Numbers and protocols are cited throughout this application, the disclosures of which are incorporated herein by reference in their entireties for all purposes.

WE CLAIM:

1. A method for characterizing a subject's risk profile of developing a future cardiovascular event, comprising:
  - obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject having no history of clinically evident coronary heart disease prior to obtaining the level;
  - comparing the level of the material to a predetermined material value;
  - and
  - characterizing the subject's risk profile of developing a future cardiovascular event based upon the level of the material in comparison to the predetermined material value.
2. A method for characterizing a subject's risk profile of developing a future myocardial infarction, comprising:
  - obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject;
  - comparing the level of the material to a predetermined material value;
  - and
  - characterizing the subject's risk profile of developing a future myocardial infarction based upon the level of the material in comparison to the predetermined material value.
3. A method for characterizing a subject's risk profile of developing a future cardiovascular disorder associated with atherosclerotic disease, comprising:
  - obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject;
  - comparing the level of the material to a predetermined material value;
  - and

characterizing the subject's risk profile of developing a future cardiovascular disorder associated with atherosclerotic disease based upon the level of the material in comparison to the predetermined material value.

4. A method for characterizing a subject's risk profile of developing a future cardiovascular disorder associated with atherosclerotic disease, comprising:

obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject;

comparing the level of the material to a predetermined material value to establish a first risk value;

obtaining a level of cholesterol in the subject;

comparing the level of the cholesterol to a second predetermined cholesterol value to establish a second risk value; and

characterizing the subject's risk profile of developing a future cardiovascular disorder associated with atherosclerotic disease based upon a combination of the first risk value and the second risk value.

5. A method for evaluating the likelihood that a subject will benefit from treatment with an sterol absorption inhibitor for reducing risk of a vascular disorder, comprising:

obtaining a level of at least one material selected from the group consisting of a phytosterol, a cholesterol precursor and a stanol in a subject; and

comparing the level of the material to a predetermined material value, wherein the level of the material in comparison to the predetermined material value is indicative of whether the subject will benefit from treatment with the sterol absorption inhibitor.

6. The method according to any of claims 1, 2, 3, 4 or 5, wherein the subject is an apparently healthy, non-smoker.

7. The method according to any of claims 1, 2, 3, 4 or 5, wherein the subject has no previous history of myocardial infarction.

8. The method according to any of claims 1, 2, 3, 4 or 5, wherein the phytosterol is selected from the group consisting of sitosterol, campesterol, stigmasterol and avenosterol.

9. The method according to claim 8, wherein the phytosterol is sitosterol.

10. The method according to claim 8, wherein the phytosterol is campesterol.

11. The method according to any of claims 1, 2, 3, 4 or 5, wherein the predetermined material value is greater than about 4.5 micromoles per liter of plasma, blood, serum or tissue.

12. The method according to any of claims 1, 2, 3, 4 or 5, wherein the predetermined material value is greater than about 5.0 micromoles per liter of plasma, blood, serum or tissue.

13. The method according to any of claims 1, 2, 3, 4 or 5, wherein the predetermined material value is greater than about 5.25 micromoles per liter of plasma, blood, serum or tissue.

14. The method according to any of claims 1, 2, 3, 4 or 5, wherein the predetermined material value is greater than about 7.0 micromoles per liter of plasma, blood, serum or tissue.

15. The method according to any of claims 11, 12, 13 or 14, wherein the phytosterol is sitosterol.

16. The method according to any of claims 1, 2, 3, 4 or 5, wherein the predetermined material value is a plurality of predetermined value ranges and the

comparing step (b) comprises determining into which of the predetermined value ranges the subject's material level falls.

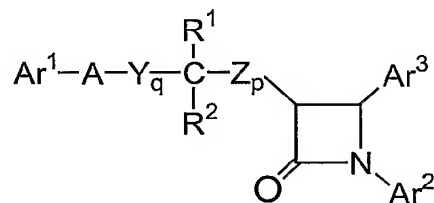
17. The method according to claim 16, wherein one of the plurality of value ranges is less than about 5.25 micromoles per liter of plasma or tissue and another of the plurality of value ranges is greater than about 5.25 micromoles per liter of plasma or tissue, and wherein the comparing step (b) comprises determining in which of the plurality of value ranges the subject's level falls.

18. The method according to any of claims 1, 2, 3, 4 or 5, wherein the cardiovascular event or disorder or the vascular disorder is stroke.

19. The method according to any of claims 1, 2, 3, 4 or 5, wherein the cardiovascular event or disorder or the vascular disorder is myocardial infarction.

20. The method according to claim 5, wherein the sterol absorption inhibitor is selected from the group consisting of:

a sterol absorption inhibitor represented by Formula (I):



(I)

or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (I) or of the isomers thereof, wherein in Formula (I):

Ar<sup>1</sup> is R<sup>3</sup>-substituted aryl;

Ar<sup>2</sup> is R<sup>4</sup>-substituted aryl;

Ar<sup>3</sup> is R<sup>5</sup>-substituted aryl;

Y and Z are independently selected from the group consisting of -CH<sub>2</sub>-, -CH(lower alkyl)- and -C(di-lower alkyl)-;



A is -O-, -S-, -S(O)- or -S(O)<sub>2</sub>-;

R<sup>1</sup> is selected from the group consisting of -OR<sup>6</sup>, -O(CO)R<sup>6</sup>, -O(CO)OR<sup>9</sup> and -O(CO)NR<sup>6</sup>R<sup>7</sup>;

R<sup>2</sup> is selected from the group consisting of hydrogen, lower alkyl and aryl; or R<sup>1</sup> and R<sup>2</sup> together are =O;

q is 1, 2 or 3;

p is 0, 1, 2, 3 or 4;

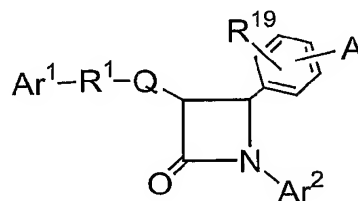
R<sup>5</sup> is 1-3 substituents independently selected from the group consisting of -OR<sup>6</sup>, -O(CO)R<sup>6</sup>, -O(CO)OR<sup>9</sup>, -O(CH<sub>2</sub>)<sub>1-5</sub>OR<sup>9</sup>, -O(CO)NR<sup>6</sup>R<sup>7</sup>, -NR<sup>6</sup>R<sup>7</sup>, -NR<sup>6</sup>(CO)R<sup>7</sup>, -NR<sup>6</sup>(CO)OR<sup>9</sup>, -NR<sup>6</sup>(CO)NR<sup>7</sup>R<sup>8</sup>, -NR<sup>6</sup>SO<sub>2</sub>-lower alkyl, -NR<sup>6</sup>SO<sub>2</sub>-aryl, -CONR<sup>6</sup>R<sup>7</sup>, -COR<sup>6</sup>, -SO<sub>2</sub>NR<sup>6</sup>R<sup>7</sup>, S(O)<sub>0-2</sub>-alkyl, S(O)<sub>0-2</sub>-aryl, -O(CH<sub>2</sub>)<sub>1-10</sub>-COOR<sup>6</sup>, -O(CH<sub>2</sub>)<sub>1-10</sub>CONR<sup>6</sup>R<sup>7</sup>, o-halogeno, m-halogeno, o-lower alkyl, m-lower alkyl, -(lower alkylene)-COOR<sup>6</sup>, and -CH=CH-COOR<sup>6</sup>;

R<sup>3</sup> and R<sup>4</sup> are independently 1-3 substituents independently selected from the group consisting of R<sup>5</sup>, hydrogen, p-lower alkyl, aryl, -NO<sub>2</sub>, -CF<sub>3</sub> and p-halogeno;

R<sup>6</sup>, R<sup>7</sup> and R<sup>8</sup> are independently selected from the group consisting of hydrogen, lower alkyl, aryl and aryl-substituted lower alkyl; and

R<sup>9</sup> is lower alkyl, aryl or aryl-substituted lower alkyl;

a sterol absorption inhibitor represented by Formula (II):



(II)

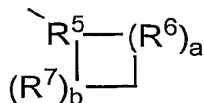
or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (II) or of the isomers thereof, wherein in Formula (II):

A is selected from the group consisting of R<sup>2</sup>-substituted heterocycloalkyl, R<sup>2</sup>-substituted heteroaryl, R<sup>2</sup>-substituted benzofused heterocycloalkyl, and R<sup>2</sup>-substituted benzofused heteroaryl;

Ar<sup>1</sup> is aryl or R<sup>3</sup>-substituted aryl;

Ar<sup>2</sup> is aryl or R<sup>4</sup>-substituted aryl;

Q is a bond or, with the 3-position ring carbon of the azetidinone, forms the spiro group



;

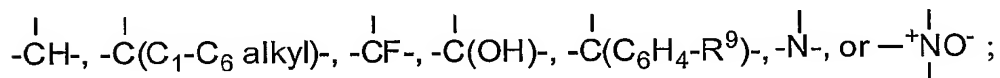
R<sup>1</sup> is selected from the group consisting of -(CH<sub>2</sub>)<sub>q</sub>-, wherein q is 2-6, provided that when Q forms a spiro ring, q can also be zero or 1;

-(CH<sub>2</sub>)<sub>e</sub>-G-(CH<sub>2</sub>)<sub>r</sub>-, wherein G is -O-, -C(O)-, phenylene, -NR<sup>8</sup>- or -S(O)<sub>0.2-e</sub> is 0-5 and r is 0-5, provided that the sum of e and r is 1-6;

-(C<sub>2</sub>-C<sub>6</sub> alkenylene)-; and

-(CH<sub>2</sub>)<sub>f</sub>-V-(CH<sub>2</sub>)<sub>g</sub>-, wherein V is C<sub>3</sub>-C<sub>6</sub> cycloalkylene, f is 1-5 and g is 0-5, provided that the sum of f and g is 1-6;

R<sup>5</sup> is

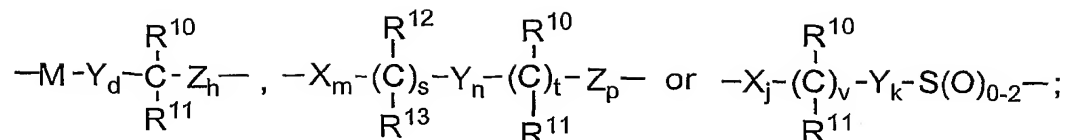


R<sup>6</sup> and R<sup>7</sup> are independently selected from the group consisting of -CH<sub>2</sub>-,

-CH(C<sub>1</sub>-C<sub>6</sub> alkyl)-, -C(di-(C<sub>1</sub>-C<sub>6</sub> alkyl)-, -CH=CH- and -C(C<sub>1</sub>-C<sub>6</sub> alkyl)=CH-; or R<sup>5</sup> together with an adjacent R<sup>6</sup>, or R<sup>5</sup> together with an adjacent R<sup>7</sup>, form a -CH=CH- or a -CH=C(C<sub>1</sub>-C<sub>6</sub> alkyl)- group;

a and b are independently 0, 1, 2 or 3, provided both are not zero; provided that when R<sup>6</sup> is -CH=CH- or -C(C<sub>1</sub>-C<sub>6</sub> alkyl)=CH-, a is 1; provided that when R<sup>7</sup> is -CH=CH- or -C(C<sub>1</sub>-C<sub>6</sub> alkyl)=CH-, b is 1; provided that when a is 2 or 3, the R<sup>6</sup>'s can be the same or different; and provided that when b is 2 or 3, the R<sup>7</sup>'s can be the same or different;

and when Q is a bond, R<sup>1</sup> also can be:



M is -O-, -S-, -S(O)- or -S(O)<sub>2</sub>-;

X, Y and Z are independently selected from the group consisting of -CH<sub>2</sub>-, -CH(C<sub>1</sub>-C<sub>6</sub> alkyl)- and -C(di-(C<sub>1</sub>-C<sub>6</sub> alkyl));

R<sup>10</sup> and R<sup>12</sup> are independently selected from the group consisting of -OR<sup>14</sup>, -O(CO)R<sup>14</sup>, -O(CO)OR<sup>16</sup> and -O(CO)NR<sup>14</sup>R<sup>15</sup>;

R<sup>11</sup> and R<sup>13</sup> are independently selected from the group consisting of hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl and aryl; or R<sup>10</sup> and R<sup>11</sup> together are =O, or

R<sup>12</sup> and R<sup>13</sup> together are =O;

d is 1, 2 or 3;

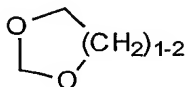
h is 0, 1, 2, 3 or 4;

s is 0 or 1; t is 0 or 1; m, n and p are independently 0-4; provided that at least one of s and t is 1, and the sum of m, n, p, s and t is 1-6; provided that when p is 0 and t is 1, the sum of m, s and n is 1-5; and provided that when p is 0 and s is 1, the sum of m, t and n is 1-5;

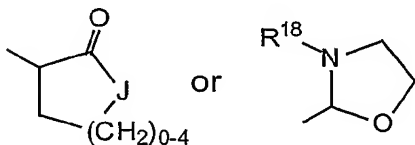
v is 0 or 1;

j and k are independently 1-5, provided that the sum of j, k and v is 1-5;

R<sup>2</sup> is 1-3 substituents on the ring carbon atoms selected from the group consisting of hydrogen, (C<sub>1</sub>-C<sub>10</sub>)alkyl, (C<sub>2</sub>-C<sub>10</sub>)alkenyl, (C<sub>2</sub>-C<sub>10</sub>)alkynyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkenyl, R<sup>17</sup>-substituted aryl, R<sup>17</sup>-substituted benzyl, R<sup>17</sup>-substituted benzyloxy, R<sup>17</sup>-substituted aryloxy, halogeno, -NR<sup>14</sup>R<sup>15</sup>, NR<sup>14</sup>R<sup>15</sup>(C<sub>1</sub>-C<sub>6</sub> alkylene)-, NR<sup>14</sup>R<sup>15</sup>C(O)(C<sub>1</sub>-C<sub>6</sub> alkylene)-, -NHC(O)R<sup>16</sup>, OH, C<sub>1</sub>-C<sub>6</sub> alkoxy, -OC(O)R<sup>16</sup>, -COR<sup>14</sup>, hydroxy(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkyl, NO<sub>2</sub>, -S(O)<sub>0-2</sub>R<sup>16</sup>, -SO<sub>2</sub>NR<sup>14</sup>R<sup>15</sup> and -(C<sub>1</sub>-C<sub>6</sub> alkylene)COOR<sup>14</sup>; when R<sup>2</sup> is a substituent on a heterocycloalkyl ring, R<sup>2</sup> is as defined, or is =O or



; and, where  $R^2$  is a substituent on a substitutable ring nitrogen, it is hydrogen,  $(C_1-C_6)$ alkyl, aryl,  $(C_1-C_6)$ alkoxy, aryloxy,  $(C_1-C_6)$ alkylcarbonyl, arylcarbonyl, hydroxy,  $-(CH_2)_{1-6}CONR^{18}R^{18}$ ,



wherein J is -O-, -NH-,  $-NR^{18}$ - or  $-CH_2$ -;

$R^3$  and  $R^4$  are independently selected from the group consisting of 1-3 substituents independently selected from the group consisting of  $(C_1-C_6)$ alkyl,  $-OR^{14}$ ,  $-O(CO)R^{14}$ ,  $-O(CO)OR^{16}$ ,  $-O(CH_2)_{1-5}OR^{14}$ ,  $-O(CO)NR^{14}R^{15}$ ,  $-NR^{14}R^{15}$ ,  $-NR^{14}(CO)R^{15}$ ,  $-NR^{14}(CO)OR^{16}$ ,  $-NR^{14}(CO)NR^{15}R^{19}$ ,  $-NR^{14}SO_2R^{16}$ ,  $-COOR^{14}$ ,  $-CONR^{14}R^{15}$ ,  $-COR^{14}$ ,  $-SO_2NR^{14}R^{15}$ ,  $S(O)_{0-2}R^{16}$ ,  $-O(CH_2)_{1-10}COOR^{14}$ ,  $-O(CH_2)_{1-10}CONR^{14}R^{15}$ ,  $-(C_1-C_6 \text{ alkylene})-COOR^{14}$ ,  $-CH=CH-COOR^{14}$ ,  $-CF_3$ ,  $-CN$ ,  $-NO_2$  and halogen;

$R^8$  is hydrogen,  $(C_1-C_6)$ alkyl, aryl  $(C_1-C_6)$ alkyl,  $-C(O)R^{14}$  or  $-COOR^{14}$ ;

$R^9$  and  $R^{17}$  are independently 1-3 groups independently selected from the group consisting of hydrogen,  $(C_1-C_6)$ alkyl,  $(C_1-C_6)$ alkoxy,  $-COOH$ ,  $NO_2$ ,  $-NR^{14}R^{15}$ ,  $OH$  and halogeno;

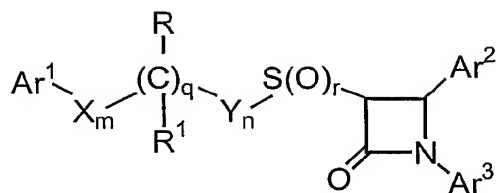
$R^{14}$  and  $R^{15}$  are independently selected from the group consisting of hydrogen,  $(C_1-C_6)$ alkyl, aryl and aryl-substituted  $(C_1-C_6)$ alkyl;

$R^{16}$  is  $(C_1-C_6)$ alkyl, aryl or  $R^{17}$ -substituted aryl;

$R^{18}$  is hydrogen or  $(C_1-C_6)$ alkyl; and

$R^{19}$  is hydrogen, hydroxy or  $(C_1-C_6)$ alkoxy;

a sterol absorption inhibitor represented by Formula (III):



(III)

or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (III) or of the isomers thereof, wherein in Formula (III):

Ar<sup>1</sup> is aryl, R<sup>10</sup>-substituted aryl or heteroaryl;

Ar<sup>2</sup> is aryl or R<sup>4</sup>-substituted aryl;

Ar<sup>3</sup> is aryl or R<sup>5</sup>-substituted aryl;

X and Y are independently selected from the group consisting of -CH<sub>2</sub>-, -CH(lower alkyl)- and -C(di-lower alkyl)-;

R is -OR<sup>6</sup>, -O(CO)R<sup>6</sup>, -O(CO)OR<sup>9</sup> or -O(CO)NR<sup>6</sup>R<sup>7</sup>;

R<sup>1</sup> is hydrogen, lower alkyl or aryl; or R and R<sup>1</sup> together are =O;

q is 0 or 1;

r is 0, 1 or 2;

m and n are independently 0, 1, 2, 3, 4 or 5; provided that the sum of m, n and q is 1, 2, 3, 4 or 5;

R<sup>4</sup> is 1-5 substituents independently selected from the group consisting of lower alkyl, -OR<sup>6</sup>, -O(CO)R<sup>6</sup>, -O(CO)OR<sup>9</sup>, -O(CH<sub>2</sub>)<sub>1-5</sub>OR<sup>6</sup>, -O(CO)NR<sup>6</sup>R<sup>7</sup>, -NR<sup>6</sup>R<sup>7</sup>, -NR<sup>6</sup>(CO)R<sup>7</sup>, -NR<sup>6</sup>(CO)OR<sup>9</sup>, -NR<sup>6</sup>(CO)NR<sup>7</sup>R<sup>8</sup>, -NR<sup>6</sup>SO<sub>2</sub>R<sup>9</sup>, -COOR<sup>6</sup>, -CONR<sup>6</sup>R<sup>7</sup>, -COR<sup>6</sup>, -SO<sub>2</sub>NR<sup>6</sup>R<sup>7</sup>, S(O)<sub>0-2</sub>R<sup>9</sup>, -O(CH<sub>2</sub>)<sub>1-10</sub>-COOR<sup>6</sup>, -O(CH<sub>2</sub>)<sub>1-10</sub>CONR<sup>6</sup>R<sup>7</sup>, -(lower alkylene)COOR<sup>6</sup> and -CH=CH-COOR<sup>6</sup>;

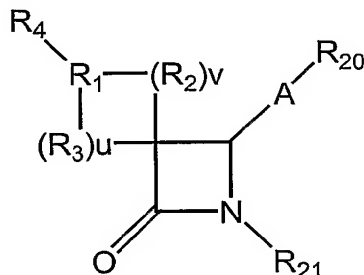
R<sup>5</sup> is 1-5 substituents independently selected from the group consisting of -OR<sup>6</sup>, -O(CO)R<sup>6</sup>, -O(CO)OR<sup>9</sup>, -O(CH<sub>2</sub>)<sub>1-5</sub>OR<sup>6</sup>, -O(CO)NR<sup>6</sup>R<sup>7</sup>, -NR<sup>6</sup>R<sup>7</sup>, -NR<sup>6</sup>(CO)R<sup>7</sup>, -NR<sup>6</sup>(CO)OR<sup>9</sup>, -NR<sup>6</sup>(CO)NR<sup>7</sup>R<sup>8</sup>, -NR<sup>6</sup>SO<sub>2</sub>R<sup>9</sup>, -COOR<sup>6</sup>, -CONR<sup>6</sup>R<sup>7</sup>, -COR<sup>6</sup>, -SO<sub>2</sub>NR<sup>6</sup>R<sup>7</sup>, S(O)<sub>0-2</sub>R<sup>9</sup>, -O(CH<sub>2</sub>)<sub>1-10</sub>-COOR<sup>6</sup>, -O(CH<sub>2</sub>)<sub>1-10</sub>CONR<sup>6</sup>R<sup>7</sup>, -CF<sub>3</sub>, -CN, -NO<sub>2</sub>, halogen, -(lower alkylene)COOR<sup>6</sup> and -CH=CH-COOR<sup>6</sup>;

R<sup>6</sup>, R<sup>7</sup> and R<sup>8</sup> are independently selected from the group consisting of hydrogen, lower alkyl, aryl and aryl-substituted lower alkyl;

R<sup>9</sup> is lower alkyl, aryl or aryl-substituted lower alkyl; and

$R^{10}$  is 1-5 substituents independently selected from the group consisting of lower alkyl,  $-OR^6$ ,  $-O(CO)R^6$ ,  $-O(CO)OR^9$ ,  $-O(CH_2)_{1-5}OR^6$ ,  $-O(CO)NR^6R^7$ ,  $-NR^6R^7$ ,  $-NR^6(CO)R^7$ ,  $-NR^6(CO)OR^9$ ,  $-NR^6(CO)NR^7R^8$ ,  $-NR^6SO_2R^9$ ,  $-COOR^6$ ,  $-CONR^6R^7$ ,  $-COR^6$ ,  $-SO_2NR^6R^7$ ,  $S(O)_{0-2}R^9$ ,  $-O(CH_2)_{1-10}COOR^6$ ,  $-O(CH_2)_{1-10}CONR^6R^7$ ,  $-CF_3$ ,  $-CN$ ,  $-NO_2$  and halogen;

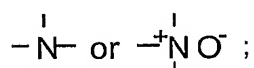
a sterol absorption inhibitor represented by Formula (IV):



(IV)

or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (IV) or of the isomers thereof, wherein in Formula (IV):

$R_1$  is



$R_2$  and  $R_3$  are independently selected from the group consisting of:

$-\text{CH}_2-$ ,  $-\text{CH}(\text{lower alkyl})-$ ,  $-\text{C}(\text{di-lower alkyl})-$ ,  $-\text{CH}=\text{CH}-$  and  $-\text{C}(\text{lower alkyl})=\text{CH}-$ ; or  $R_1$  together with an adjacent  $R_2$ , or  $R_1$  together with an adjacent  $R_3$ , form a  $-\text{CH}=\text{CH}-$  or a  $-\text{CH}=\text{C}(\text{lower alkyl})-$  group;

$u$  and  $v$  are independently 0, 1, 2 or 3, provided both are not zero; provided that when  $R_2$  is  $-\text{CH}=\text{CH}-$  or  $-\text{C}(\text{lower alkyl})=\text{CH}-$ ,  $v$  is 1; provided that when  $R_3$  is  $-\text{CH}=\text{CH}-$  or  $-\text{C}(\text{lower alkyl})=\text{CH}-$ ,  $u$  is 1; provided that when  $v$  is 2 or 3, the  $R_2$ 's can be the same or different; and provided that when  $u$  is 2 or 3, the  $R_3$ 's can be the same or different;

$R_4$  is selected from  $\text{B}-(\text{CH}_2)_m\text{C}(\text{O})-$ , wherein  $m$  is 0, 1, 2, 3, 4 or 5;

$\text{B}-(\text{CH}_2)_q-$ , wherein  $q$  is 0, 1, 2, 3, 4, 5 or 6;

$B-(CH_2)_e-Z-(CH_2)_r-$ , wherein Z is  $-O-$ ,  $-C(O)-$ , phenylene,  $-N(R_8)-$  or  $-S(O)_{0-2}-$ , e is 0, 1, 2, 3, 4 or 5 and r is 0, 1, 2, 3, 4 or 5, provided that the sum of e and r is 0, 1, 2, 3, 4, 5 or 6;

$B-(C_2-C_6 \text{ alkenylene})-$ ;

$B-(C_4-C_6 \text{ alkadienylene})-$ ;

$B-(CH_2)_t-Z-(C_2-C_6 \text{ alkenylene})-$ , wherein Z is as defined above, and wherein t is 0, 1, 2 or 3, provided that the sum of t and the number of carbon atoms in the alkenylene chain is 2, 3, 4, 5 or 6;

$B-(CH_2)_f-V-(CH_2)_g-$ , wherein V is  $C_3-C_6$  cycloalkylene, f is 1, 2, 3, 4 or 5 and g is 0, 1, 2, 3, 4 or 5, provided that the sum of f and g is 1, 2, 3, 4, 5 or 6;

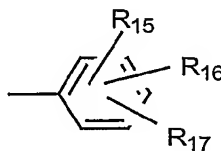
$B-(CH_2)_t-V-(C_2-C_6 \text{ alkenylene})-$  or

$B-(C_2-C_6 \text{ alkenylene})-V-(CH_2)_t-$ , wherein V and t are as defined above, provided that the sum of t and the number of carbon atoms in the alkenylene chain is 2, 3, 4, 5 or 6;

$B-(CH_2)_a-Z-(CH_2)_b-V-(CH_2)_d-$ , wherein Z and V are as defined above and a, b and d are independently 0, 1, 2, 3, 4, 5 or 6, provided that the sum of a, b and d is 0, 1, 2, 3, 4, 5 or 6; or  $T-(CH_2)_s-$ , wherein T is cycloalkyl of 3-6 carbon atoms and s is 0, 1, 2, 3, 4, 5 or 6; or

$R_1$  and  $R_4$  together form the group  $B-\overset{\text{I}}{\text{CH}=\text{C}}-$ ;

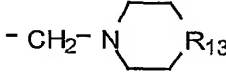
B is selected from indanyl, indenyl, naphthyl, tetrahydronaphthyl, heteroaryl or W-substituted heteroaryl, wherein heteroaryl is selected from the group consisting of pyrrolyl, pyridinyl, pyrimidinyl, pyrazinyl, triazinyl, imidazolyl, thiazolyl, pyrazolyl, thienyl, oxazolyl and furanyl, and for nitrogen-containing heteroaryls, the N-oxides thereof, or



W is 1 to 3 substituents independently selected from the group consisting of lower alkyl, hydroxy lower alkyl, lower alkoxy, alkoxyalkyl, alkoxyalkoxy, alkoxycarbonylalkoxy, (lower alkoxyimino)-lower alkyl, lower

alkanedioyl, lower alkyl lower alkanedioyl, allyloxy, -CF<sub>3</sub>, -OCF<sub>3</sub>, benzyl, R<sub>7</sub>-benzyl, benzyloxy,

R<sub>7</sub>-benzyloxy, phenoxy, R<sub>7</sub>-phenoxy, dioxolanyl, NO<sub>2</sub>-, N(R<sub>8</sub>)(R<sub>9</sub>), N(R<sub>8</sub>)(R<sub>9</sub>)-lower alkylene-, N(R<sub>8</sub>)(R<sub>9</sub>)-lower alkyleneoxy-, OH, halogeno-, -CN, -N<sub>3</sub>, -NHC(O)OR<sub>10</sub>, -NHC(O)R<sub>10</sub>, R<sub>11</sub>O<sub>2</sub>SNH-, (R<sub>11</sub>O<sub>2</sub>S)<sub>2</sub>N-, -S(O)<sub>2</sub>NH<sub>2</sub>, -S(O)<sub>0-2</sub>R<sub>8</sub>, tert-butyl-dimethyl-silyloxymethyl, -C(O)R<sub>12</sub>, -COOR<sub>19</sub>, -CON(R<sub>8</sub>)(R<sub>9</sub>), -CH=CHC(O)R<sub>12</sub>, -lower alkylene-C(O)R<sub>12</sub>, R<sub>10</sub>C(O)(lower alkyleneoxy)-,

N(R<sub>8</sub>)(R<sub>9</sub>)C(O)(lower alkyleneoxy)- and  for substitution on ring carbon atoms,

and the substituents on the substituted heteroaryl ring nitrogen atoms, when present, are selected from the group consisting of lower alkyl, lower alkoxy, -C(O)OR<sub>10</sub>, -C(O)R<sub>10</sub>, OH, N(R<sub>8</sub>)(R<sub>9</sub>)-lower alkylene-, N(R<sub>8</sub>)(R<sub>9</sub>)-lower alkyleneoxy-, -S(O)<sub>2</sub>NH<sub>2</sub> and 2-(trimethylsilyl)-ethoxymethyl;

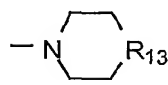
R<sub>7</sub> is 1-3 groups independently selected from the group consisting of lower alkyl, lower alkoxy, -COOH, NO<sub>2</sub>-, -N(R<sub>8</sub>)(R<sub>9</sub>), OH, and halogeno;

R<sub>8</sub> and R<sub>9</sub> are independently selected from H or lower alkyl;

R<sub>10</sub> is selected from lower alkyl, phenyl, R<sub>7</sub>-phenyl, benzyl or R<sub>7</sub>-benzyl;

R<sub>11</sub> is selected from OH, lower alkyl, phenyl, benzyl, R<sub>7</sub>-phenyl or R<sub>7</sub>-benzyl;

R<sub>12</sub> is selected from H, OH, alkoxy, phenoxy, benzyloxy,

, -N(R<sub>8</sub>)(R<sub>9</sub>), lower alkyl, phenyl or R<sub>7</sub>-phenyl;

R<sub>13</sub> is selected from -O-, -CH<sub>2</sub>-, -NH-, -N(lower alkyl)- or -NC(O)R<sub>19</sub>;

R<sub>15</sub>, R<sub>16</sub> and R<sub>17</sub> are independently selected from the group consisting of H and the groups defined for W; or R<sub>15</sub> is hydrogen and R<sub>16</sub> and R<sub>17</sub>, together with adjacent carbon atoms to which they are attached, form a dioxolanyl ring;

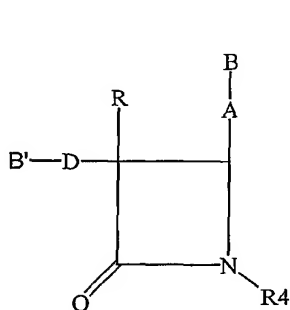
R<sub>19</sub> is H, lower alkyl, phenyl or phenyl lower alkyl; and

R<sub>20</sub> and R<sub>21</sub> are independently selected from the group consisting of phenyl, W-substituted phenyl, naphthyl, W-substituted naphthyl, indanyl, indenyl, tetrahydronaphthyl, benzodioxolyl, heteroaryl, W-substituted heteroaryl, benzofused

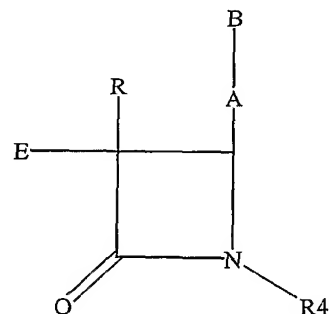


heteroaryl, W-substituted benzofused heteroaryl and cyclopropyl, wherein heteroaryl is as defined above;

a sterol absorption inhibitor represented by Formula (VA) or Formula (VB):



(VA)



(VB)

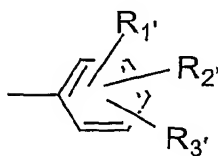
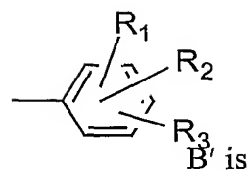
or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (VA) or (VB) or of the isomers thereof, wherein in Formulae (VA) or (VB):

A is  $-\text{CH}=\text{CH}-$ ,

$-\text{C}\equiv\text{C}-$

or  $-(\text{CH}_2)_p-$  wherein p is 0, 1 or 2;

B is



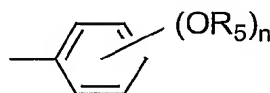
D is  $-(CH_2)_mC(O)-$  or  $-(CH_2)_q-$  wherein m is 1, 2, 3 or 4 and q is 2, 3 or 4;

E is  $C_{10}$  to  $C_{20}$  alkyl or  $-C(O)-(C_9 \text{ to } C_{19})\text{-alkyl}$ , wherein the alkyl is straight or branched, saturated or containing one or more double bonds;

R is hydrogen,  $C_1\text{-}C_{15}$  alkyl, straight or branched, saturated or containing one or more double bonds, or  $B-(CH_2)_r-$ , wherein r is 0, 1, 2, or 3;

$R_1$ ,  $R_2$ ,  $R_3$ ,  $R_1'$ ,  $R_2'$ , and  $R_3'$  are independently selected from the group consisting of hydrogen, lower alkyl, lower alkoxy, carboxy,  $NO_2$ ,  $NH_2$ , OH, halogeno, lower alkylamino, di-lower alkylamino,  $-NHC(O)OR_5$ ,  $R_6O_2SNH-$  and  $-S(O)_2NH_2$ ;

$R_4$  is

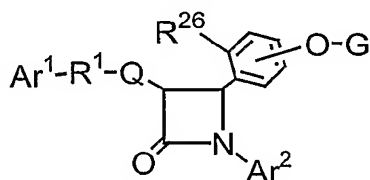


wherein n is 0, 1, 2 or 3;

$R_5$  is lower alkyl; and

$R_6$  is OH, lower alkyl, phenyl, benzyl or substituted phenyl, wherein the substituents are 1-3 groups independently selected from the group consisting of lower alkyl, lower alkoxy, carboxy,  $NO_2$ ,  $NH_2$ , OH, halogeno, lower alkylamino and di-lower alkylamino;

a sterol absorption inhibitor represented by Formula (VI):

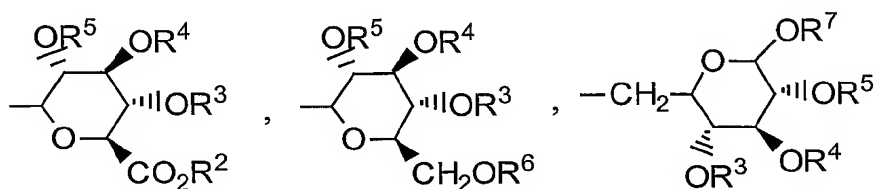


(IV)

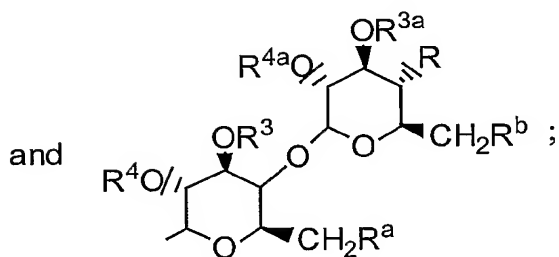
or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (VI) or of the isomers thereof, wherein in Formula (VI):

$R_{26}$  is H or  $OG^1$ ;

G and  $G^1$  are independently selected from the group consisting of



H,



provided that when  $R^{26}$  is H or

OH, G is not H;

$R$ ,  $R^a$  and  $R^b$  are independently selected from the group consisting of H, -OH, halogeno, -NH<sub>2</sub>, azido, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)-alkoxy and -W- $R^{30}$ ;

wherein W is independently selected from the group consisting of -NH-C(O)-, -O-C(O)-, -O-C(O)-N( $R^{31}$ )-, -NH-C(O)-N( $R^{31}$ )- and -O-C(S)-N( $R^{31}$ )-;

$R^2$  and  $R^6$  are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl and aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl;

$R^3$ ,  $R^4$ ,  $R^5$ ,  $R^7$ ,  $R^{3a}$  and  $R^{4a}$  are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl, -C(O)(C<sub>1</sub>-C<sub>6</sub>)alkyl and -C(O)aryl;

$R^{30}$  is selected from the group consisting of  $R^{32}$ -substituted T,  $R^{32}$ -substituted-T-(C<sub>1</sub>-C<sub>6</sub>)alkyl,  $R^{32}$ -substituted-(C<sub>2</sub>-C<sub>4</sub>)alkenyl,  $R^{32}$ -substituted-(C<sub>1</sub>-C<sub>6</sub>)alkyl,  $R^{32}$ -substituted-(C<sub>3</sub>-C<sub>7</sub>)cycloalkyl and  $R^{32}$ -substituted-(C<sub>3</sub>-C<sub>7</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl;  $R^{31}$  is selected from the group consisting of H and (C<sub>1</sub>-C<sub>4</sub>)alkyl;

T is selected from the group consisting of phenyl, furyl, thienyl, pyrrolyl, oxazolyl, isoxazolyl, thiazolyl, isothiazolyl, benzothiazolyl, thiadiazolyl, pyrazolyl, imidazolyl and pyridyl;

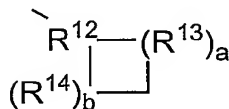
$R^{32}$  is independently selected from 1-3 substituents independently selected from the group consisting of halogeno, (C<sub>1</sub>-C<sub>4</sub>)alkyl, -OH, phenoxy, -CF<sub>3</sub>, -NO<sub>2</sub>, (C<sub>1</sub>-C<sub>4</sub>)alkoxy, methylenedioxy, oxo, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfanyl, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfinyl, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfonyl, -N(CH<sub>3</sub>)<sub>2</sub>, -C(O)-NH(C<sub>1</sub>-C<sub>4</sub>)alkyl, -C(O)-N((C<sub>1</sub>-C<sub>4</sub>)alkyl)<sub>2</sub>, -C(O)-(C<sub>1</sub>-C<sub>4</sub>)alkyl, -C(O)-(C<sub>1</sub>-C<sub>4</sub>)alkoxy and pyrrolidinylcarbonyl; or

$R^{32}$  is a covalent bond and  $R^{31}$ , the nitrogen to which it is attached and  $R^{32}$  form a pyrrolidinyl, piperidinyl, N-methyl-piperazinyl, indolinyl or morpholinyl group, or a (C<sub>1</sub>-C<sub>4</sub>)alkoxycarbonyl-substituted pyrrolidinyl, piperidinyl, N-methylpiperazinyl, indolinyl or morpholinyl group;

Ar<sup>1</sup> is aryl or R<sup>10</sup>-substituted aryl;

Ar<sup>2</sup> is aryl or R<sup>11</sup>-substituted aryl;

Q is a bond or, with the 3-position ring carbon of the azetidinone, forms the spiro group



; and

$R^1$  is selected from the group consisting of:

-(CH<sub>2</sub>)<sub>q</sub>-, wherein q is 2-6, provided that when Q forms a spiro ring, q can also be zero or 1;

$-(CH_2)_e-E-(CH_2)_r-$ , wherein E is  $-O-$ ,  $-C(O)-$ , phenylene,  $-NR^{22}-$  or  $-S(O)_{0-2}-$ , e is 0-5 and r is 0-5, provided that the sum of e and r is 1-6;

$-(C_2-C_6)alkenylene-$ ; and

$-(CH_2)_f-V-(CH_2)_g-$ , wherein V is  $C_3-C_6$  cycloalkylene, f is 1-5 and g is 0-5, provided that the sum of f and g is 1-6;

$R^{12}$  is

$-CH-$ ,  $-C(C_1-C_6 \text{ alkyl})-$ ,  $-CF-$ ,  $-C(OH)-$ ,  $-C(C_6H_4-R^{23})-$ ,  $-N-$ , or  $-NO^+$ ;

$R^{13}$  and  $R^{14}$  are independently selected from the group consisting of  $-CH_2-$ ,  $-CH(C_1-C_6 \text{ alkyl})-$ ,  $-C(di-(C_1-C_6 \text{ alkyl}))-$ ,  $-CH=CH-$  and  $-C(C_1-C_6 \text{ alkyl})=CH-$ ; or  $R^{12}$  together with an adjacent  $R^{13}$ , or  $R^{12}$  together with an adjacent  $R^{14}$ , form a  $-CH=CH-$  or a  $-CH=C(C_1-C_6 \text{ alkyl})-$  group;

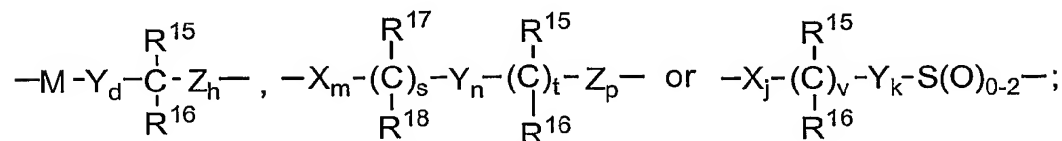
a and b are independently 0, 1, 2 or 3, provided both are not zero;

provided that when  $R^{13}$  is  $-CH=CH-$  or  $-C(C_1-C_6 \text{ alkyl})=CH-$ , a is 1;

provided that when  $R^{14}$  is  $-CH=CH-$  or  $-C(C_1-C_6 \text{ alkyl})=CH-$ , b is 1;

provided that when a is 2 or 3, the  $R^{13}$ 's can be the same or different; and

provided that when b is 2 or 3, the  $R^{14}$ 's can be the same or different;



and when Q is a bond,  $R^1$  also can be:

M is  $-O-$ ,  $-S-$ ,  $-S(O)-$  or  $-S(O)_2-$ ;

X, Y and Z are independently selected from the group consisting of  $-CH_2-$ ,  $-CH(C_1-C_6 \text{ alkyl})-$  and  $-C(di-(C_1-C_6 \text{ alkyl}))-$ ;

$R^{10}$  and  $R^{11}$  are independently selected from the group consisting of 1-3 substituents independently selected from the group consisting of  $(C_1-C_6)$ alkyl,  $-OR^{19}$ ,  $-O(CO)R^{19}$ ,  $-O(CO)OR^{21}$ ,  $-O(CH_2)_{1-5}OR^{19}$ ,  $-O(CO)NR^{19}R^{20}$ ,  $-NR^{19}R^{20}$ ,  $-NR^{19}(CO)R^{20}$ ,  $-NR^{19}(CO)OR^{21}$ ,  $-NR^{19}(CO)NR^{20}R^{25}$ ,  $-NR^{19}SO_2R^{21}$ ,  $-COOR^{19}$ ,  $-CONR^{19}R^{20}$ ,  $-COR^{19}$ ,  $-SO_2NR^{19}R^{20}$ ,  $S(O)_{0-2}R^{21}$ ,  $-O(CH_2)_{1-10}COOR^{19}$ ,  $-O(CH_2)_{1-10}CONR^{19}R^{20}$ ,  $-(C_1-C_6 \text{ alkylene})-COOR^{19}$ ,  $-CH=CH-COOR^{19}$ ,  $-CF_3$ ,  $-CN$ ,  $-NO_2$  and halogen;

$R^{15}$  and  $R^{17}$  are independently selected from the group consisting of  $-OR^{19}$ ,  $-O(CO)R^{19}$ ,  $-O(CO)OR^{21}$  and  $-O(CO)NR^{19}R^{20}$ ;

$R^{16}$  and  $R^{18}$  are independently selected from the group consisting of H,  $(C_1-C_6)$ alkyl and aryl; or  $R^{15}$  and  $R^{16}$  together are  $=O$ , or  $R^{17}$  and  $R^{18}$  together are  $=O$ ;

$d$  is 1, 2 or 3;

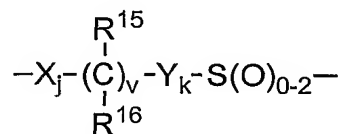
$h$  is 0, 1, 2, 3 or 4;

$s$  is 0 or 1;  $t$  is 0 or 1;  $m$ ,  $n$  and  $p$  are independently 0-4; provided that at least one of  $s$  and  $t$  is 1, and the sum of  $m$ ,  $n$ ,  $p$ ,  $s$  and  $t$  is 1-6; provided that when  $p$  is 0 and  $t$  is 1, the sum of  $m$ ,  $s$  and  $n$  is 1-5; and provided that when  $p$  is 0 and  $s$  is 1, the sum of  $m$ ,  $t$  and  $n$  is 1-5;

$v$  is 0 or 1;

$j$  and  $k$  are independently 1-5, provided that the sum of  $j$ ,  $k$  and  $v$  is 1-5;

and when  $Q$  is a bond and  $R^1$  is



,  $Ar^1$  can also be pyridyl, isoxazolyl, furanyl, pyrrolyl, thienyl, imidazolyl, pyrazolyl, thiazolyl, pyrazinyl, pyrimidinyl or pyridazinyl;

$R^{19}$  and  $R^{20}$  are independently selected from the group consisting of H,  $(C_1-C_6)$ alkyl, aryl and aryl-substituted  $(C_1-C_6)$ alkyl;

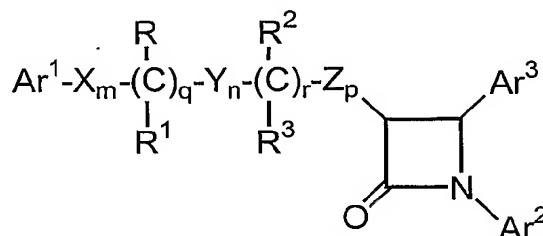
$R^{21}$  is  $(C_1-C_6)$ alkyl, aryl or  $R^{24}$ -substituted aryl;

$R^{22}$  is H,  $(C_1-C_6)$ alkyl, aryl  $(C_1-C_6)$ alkyl,  $-C(O)R^{19}$  or  $-COOR^{19}$ ;

$R^{23}$  and  $R^{24}$  are independently 1-3 groups independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy, -COOH, NO<sub>2</sub>, -NR<sup>19</sup>R<sup>20</sup>, -OH and halogeno; and

$R^{25}$  is H, -OH or (C<sub>1</sub>-C<sub>6</sub>)alkoxy;

a sterol absorption inhibitor represented by Formula (VII):



(VII)

or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (VII) or of the isomers thereof, wherein in Formula (VII):

$Ar^1$  and  $Ar^2$  are independently selected from the group consisting of aryl and R<sup>4</sup>-substituted aryl;

$Ar^3$  is aryl or R<sup>5</sup>-substituted aryl;

X, Y and Z are independently selected from the group consisting of -CH<sub>2</sub>-, -CH(lower alkyl)- and -C(di-lower alkyl)-;

R and R<sup>2</sup> are independently selected from the group consisting of -OR<sup>6</sup>, -O(CO)R<sup>6</sup>, -O(CO)OR<sup>9</sup> and -O(CO)NR<sup>6</sup>R<sup>7</sup>;

R<sup>1</sup> and R<sup>3</sup> are independently selected from the group consisting of hydrogen, lower alkyl and aryl;

q is 0 or 1;

r is 0 or 1;

m, n and p are independently 0, 1, 2, 3 or 4;

provided that at least one of q and r is 1, and the sum of m, n, p, q and r is 1, 2, 3, 4, 5 or 6; and

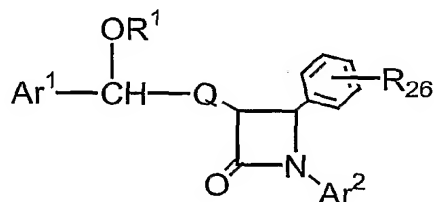
provided that when p is 0 and r is 1, the sum of m, q and n is 1, 2, 3, 4 or 5;

$R^4$  is 1-5 substituents independently selected from the group consisting of lower alkyl,  $-OR^6$ ,  $-O(CO)R^6$ ,  $-O(CO)OR^9$ ,  $-O(CH_2)_{1-5}OR^6$ ,  $-O(CO)NR^6R^7$ ,  $-NR^6R^7$ ,  $-NR^6(CO)R^7$ ,  $-NR^6(CO)OR^9$ ,  $-NR^6(CO)NR^7R^8$ ,  $-NR^6SO_2R^9$ ,  $-COOR^6$ ,  $-CONR^6R^7$ ,  $-COR^6$ ,  $-SO_2NR^6R^7$ ,  $-S(O)_{0-2}R^9$ ,  $-O(CH_2)_{1-10}COOR^6$ ,  $-O(CH_2)_{1-10}CONR^6R^7$ ,  $-(\text{lower alkylene})COOR^6$ ,  $-\text{CH}=\text{CH}-COOR^6$ ,  $-\text{CF}_3$ ,  $-\text{CN}$ ,  $-\text{NO}_2$  and halogen;

$R^5$  is 1-5 substituents independently selected from the group consisting of  $-OR^6$ ,  $-O(CO)R^6$ ,  $-O(CO)OR^9$ ,  $-O(CH_2)_{1-5}OR^6$ ,  $-O(CO)NR^6R^7$ ,  $-NR^6R^7$ ,  $-NR^6(CO)R^7$ ,  $-NR^6(CO)OR^9$ ,  $-NR^6(CO)NR^7R^8$ ,  $-NR^6SO_2R^9$ ,  $-COOR^6$ ,  $-CONR^6R^7$ ,  $-COR^6$ ,  $-SO_2NR^6R^7$ ,  $-S(O)_{0-2}R^9$ ,  $-O(CH_2)_{1-10}COOR^6$ ,  $-O(CH_2)_{1-10}CONR^6R^7$ ,  $-(\text{lower alkylene})COOR^6$  and  $-\text{CH}=\text{CH}-COOR^6$ ;

$R^6$ ,  $R^7$  and  $R^8$  are independently selected from the group consisting of hydrogen, lower alkyl, aryl and aryl-substituted lower alkyl; and

$R^9$  is lower alkyl, aryl or aryl-substituted lower alkyl; and  
a sterol absorption inhibitor represented by Formula (IX):



(IX)

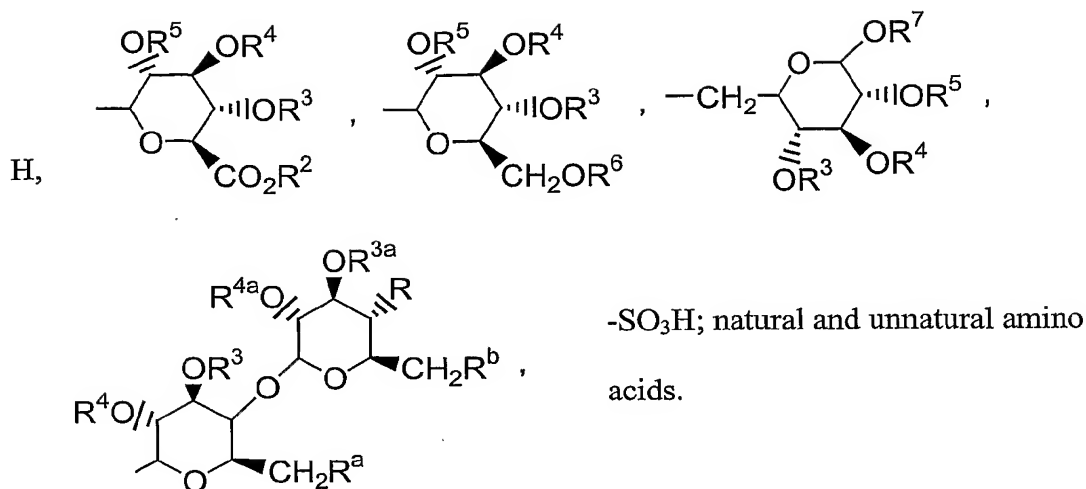
or isomers thereof, or pharmaceutically acceptable salts or solvates of the compounds of Formula (IX) or of the isomers thereof, wherein in Formula (IX):

$R^{26}$  is selected from the group consisting of:

- a) OH;
- b)  $\text{OCH}_3$ ;
- c) fluorine and
- d) chlorine.

$R^1$  is selected from the group consisting of





R, R<sup>a</sup> and R<sup>b</sup> are independently selected from the group consisting of H, -OH, halogeno, -NH<sub>2</sub>, azido, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)-alkoxy and -W-R<sup>30</sup>;

W is independently selected from the group consisting of -NH-C(O)-, -O-C(O)-, -O-C(O)-N(R<sup>31</sup>)-, -NH-C(O)-N(R<sup>31</sup>)- and -O-C(S)-N(R<sup>31</sup>)-;

R<sup>2</sup> and R<sup>6</sup> are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl and aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl;

R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>7</sup>, R<sup>3a</sup> and R<sup>4a</sup> are independently selected from the group consisting of H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl, -C(O)(C<sub>1</sub>-C<sub>6</sub>)alkyl and -C(O)aryl;

R<sup>30</sup> is independently selected from the group consisting of R<sup>32</sup>-substituted T, R<sup>32</sup>-substituted-T-(C<sub>1</sub>-C<sub>6</sub>)alkyl, R<sup>32</sup>-substituted-(C<sub>2</sub>-C<sub>4</sub>)alkenyl, R<sup>32</sup>-substituted-(C<sub>1</sub>-C<sub>6</sub>)alkyl, R<sup>32</sup>-substituted-(C<sub>3</sub>-C<sub>7</sub>)cycloalkyl and R<sup>32</sup>-substituted-(C<sub>3</sub>-C<sub>7</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl;

R<sup>31</sup> is independently selected from the group consisting of H and (C<sub>1</sub>-C<sub>4</sub>)alkyl;

T is independently selected from the group consisting of phenyl, furyl, thienyl, pyrrolyl, oxazolyl, isoxazolyl, thiazolyl, isothiazolyl, benzothiazolyl, thiadiazolyl, pyrazolyl, imidazolyl and pyridyl;

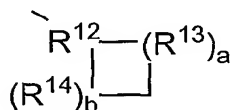
R<sup>32</sup> is independently selected from 1-3 substituents independently selected from the group consisting of H, halogeno, (C<sub>1</sub>-C<sub>4</sub>)alkyl, -OH, phenoxy, -CF<sub>3</sub>, -NO<sub>2</sub>, (C<sub>1</sub>-C<sub>4</sub>)alkoxy, methylenedioxy, oxo, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfanyl, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfinyl, (C<sub>1</sub>-C<sub>4</sub>)alkylsulfonyl, -N(CH<sub>3</sub>)<sub>2</sub>, -C(O)-NH (C<sub>1</sub>-C<sub>4</sub>)alkyl, -C(O)-

N((C<sub>1</sub>-C<sub>4</sub>)alkyl)<sub>2</sub>, -C(O)-(C<sub>1</sub>-C<sub>4</sub>)alkyl, -C(O)-(C<sub>1</sub>-C<sub>4</sub>)alkoxy and pyrrolidinylcarbonyl; or R<sup>32</sup> is a covalent bond and R<sup>31</sup>, the nitrogen to which it is attached and R<sup>32</sup> form a pyrrolidinyl, piperidinyl, N-methyl-piperazinyl, indolinyl or morpholinyl group, or a (C<sub>1</sub>-C<sub>4</sub>)alkoxycarbonyl-substituted pyrrolidinyl, piperidinyl, N-methylpiperazinyl, indolinyl or morpholinyl group;

Ar<sup>1</sup> is aryl or R<sup>10</sup>-substituted aryl;

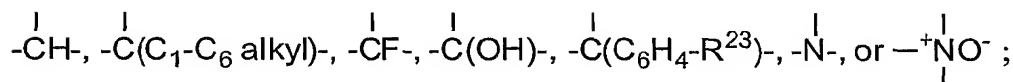
Ar<sup>2</sup> is aryl or R<sup>11</sup>-substituted aryl;

Q is -(CH<sub>2</sub>)<sub>q</sub>-, wherein q is 2-6, or, with the 3-position ring carbon of the azetidinone, forms the spiro group



;

R<sup>12</sup> is



R<sup>13</sup> and R<sup>14</sup> are independently selected from the group consisting of -CH<sub>2</sub>-, -CH(C<sub>1</sub>-C<sub>6</sub> alkyl)-, -C(di-(C<sub>1</sub>-C<sub>6</sub>) alkyl)-, -CH=CH- and -C(C<sub>1</sub>-C<sub>6</sub> alkyl)=CH-; or R<sup>12</sup> together with an adjacent R<sup>13</sup>, or R<sup>12</sup> together with an adjacent R<sup>14</sup>, form a -CH=CH- or a -CH=C(C<sub>1</sub>-C<sub>6</sub> alkyl)- group;

a and b are independently 0, 1, 2 or 3, provided both are not zero; provided that when R<sup>13</sup> is -CH=CH- or -C(C<sub>1</sub>-C<sub>6</sub> alkyl)=CH-, a is 1; provided that when R<sup>14</sup> is -CH=CH- or -C(C<sub>1</sub>-C<sub>6</sub> alkyl)=CH-, b is 1; provided that when a is 2 or 3, the R<sup>13</sup>'s can be the same or different; and provided that when b is 2 or 3, the R<sup>14</sup>'s can be the same or different;

R<sup>10</sup> and R<sup>11</sup> are independently selected from the group consisting of 1-3 substituents independently selected from the group consisting of (C<sub>1</sub>-C<sub>6</sub>)alkyl, -OR<sup>19</sup>, -O(CO)R<sup>19</sup>, -O(CO)OR<sup>21</sup>, -O(CH<sub>2</sub>)<sub>1-5</sub>OR<sup>19</sup>, -O(CO)NR<sup>19</sup>R<sup>20</sup>, -NR<sup>19</sup>R<sup>20</sup>, -NR<sup>19</sup>(CO)R<sup>20</sup>, -NR<sup>19</sup>(CO)OR<sup>21</sup>, -NR<sup>19</sup>(CO)NR<sup>20</sup>R<sup>25</sup>, -NR<sup>19</sup>SO<sub>2</sub>R<sup>21</sup>, -COOR<sup>19</sup>, -CONR<sup>19</sup>R<sup>20</sup>, -COR<sup>19</sup>, -SO<sub>2</sub>NR<sup>19</sup>R<sup>20</sup>, S(O)<sub>0-2</sub>R<sup>21</sup>, -O(CH<sub>2</sub>)<sub>1-10</sub>-COOR<sup>19</sup>, -O(CH<sub>2</sub>)<sub>1</sub>-

$_{10}\text{CONR}^{19}\text{R}^{20}$ ,  $-(\text{C}_1\text{-C}_6 \text{ alkylene})\text{-COOR}^{19}$ ,  $-\text{CH}=\text{CH-COOR}^{19}$ ,  $-\text{CF}_3$ ,  $-\text{CN}$ ,  $-\text{NO}_2$  and halogen;

$\text{Ar}^1$  can also be pyridyl, isoxazolyl, furanyl, pyrrolyl, thienyl, imidazolyl, pyrazolyl, thiazolyl, pyrazinyl, pyrimidinyl or pyridazinyl;

$\text{R}^{19}$  and  $\text{R}^{20}$  are independently selected from the group consisting of H,  $(\text{C}_1\text{-C}_6)\text{alkyl}$ , aryl and aryl-substituted  $(\text{C}_1\text{-C}_6)\text{alkyl}$ ;

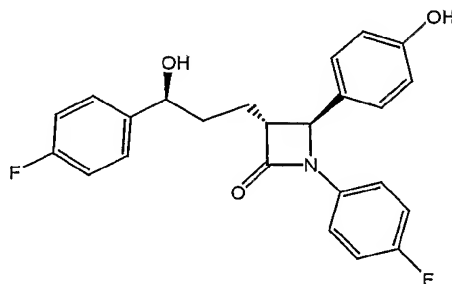
$\text{R}^{21}$  is  $(\text{C}_1\text{-C}_6)\text{alkyl}$ , aryl or  $\text{R}^{24}$ -substituted aryl;

$\text{R}^{22}$  is H,  $(\text{C}_1\text{-C}_6)\text{alkyl}$ , aryl  $(\text{C}_1\text{-C}_6)\text{alkyl}$ ,  $-\text{C}(\text{O})\text{R}^{19}$  or  $-\text{COOR}^{19}$ ;

$\text{R}^{23}$  and  $\text{R}^{24}$  are independently 1-3 groups independently selected from the group consisting of H,  $(\text{C}_1\text{-C}_6)\text{alkyl}$ ,  $(\text{C}_1\text{-C}_6)\text{alkoxy}$ ,  $-\text{COOH}$ ,  $\text{NO}_2$ ,  $-\text{NR}^{19}\text{R}^{20}$ ,  $-\text{OH}$  and halogeno; and

$\text{R}^{25}$  is H,  $-\text{OH}$  or  $(\text{C}_1\text{-C}_6)\text{alkoxy}$ .

21. The method of claim 20, wherein the sterol absorption inhibitor is represented by Formula (VIII):

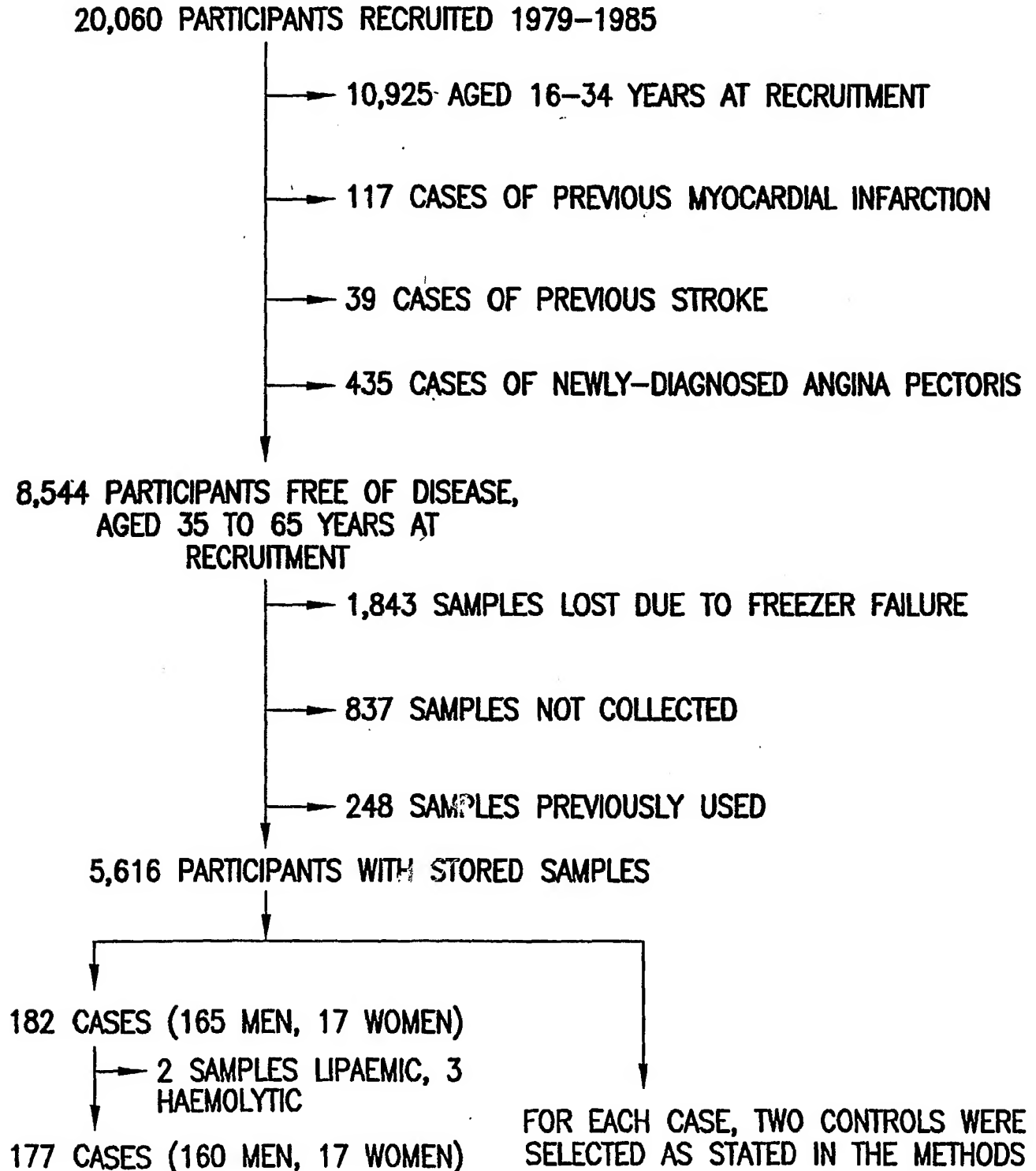


(VIII)

or pharmaceutically acceptable salts or solvates thereof.

22. The method according to claim 5, wherein the sterol absorption inhibitor is ezetimibe.

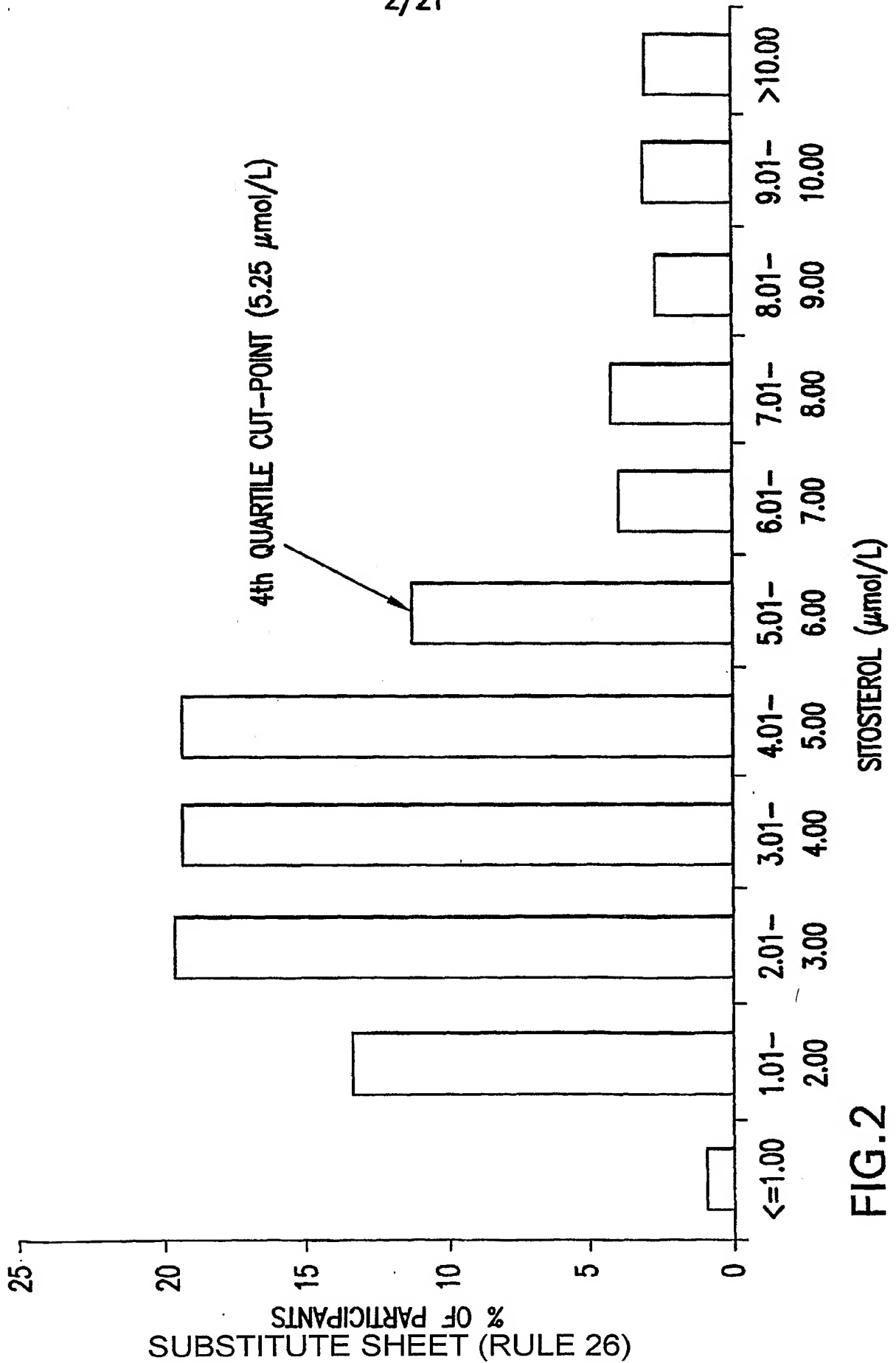
1/21

FLOW-CHART SHOWING PROCEDURE FOR SELECTION OF CASES  
AND CONTROLS IN THE PRESENT STUDY.

**FIG. 1**  
SUBSTITUTE SHEET (RULE 26)

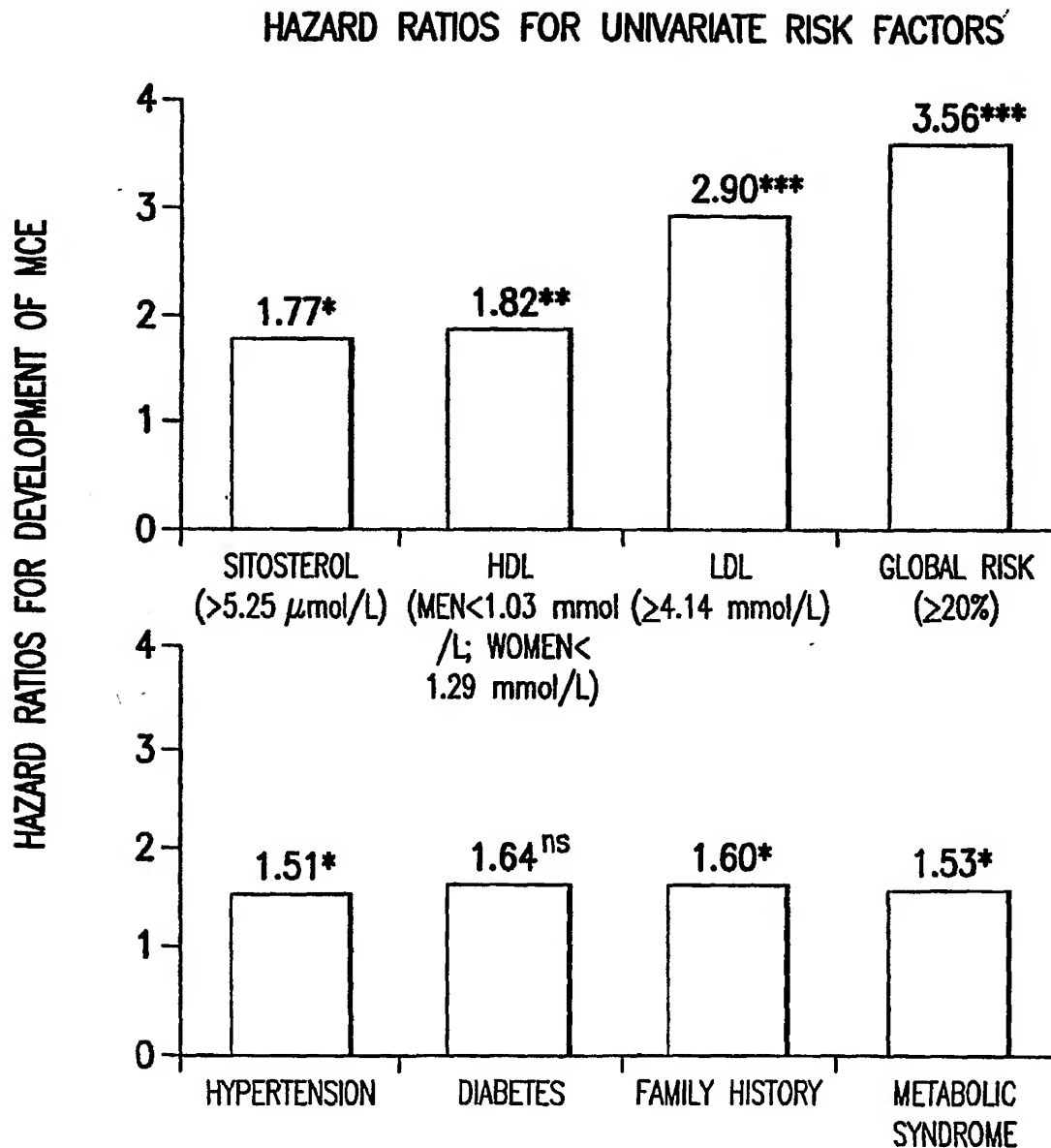
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DISTRIBUTION OF SITOSTEROL AMONG CONTROLS



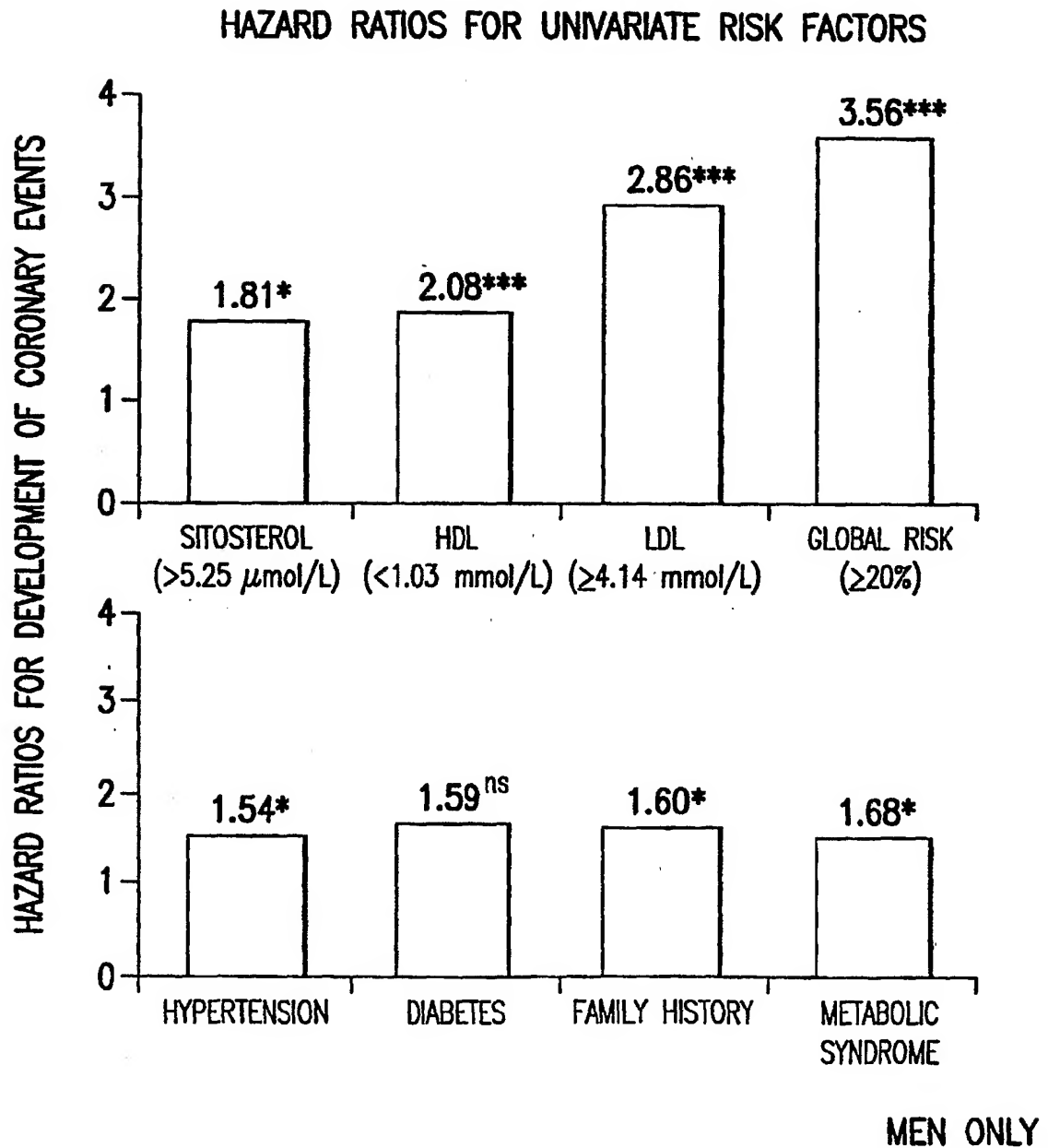
3/21

\*P<0.05  
\*\*P<0.01  
\*\*\*P<0.001

**FIG.3**

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\*P<0.05  
\*\*P<0.01  
\*\*\*P<0.001

**FIG.3A**

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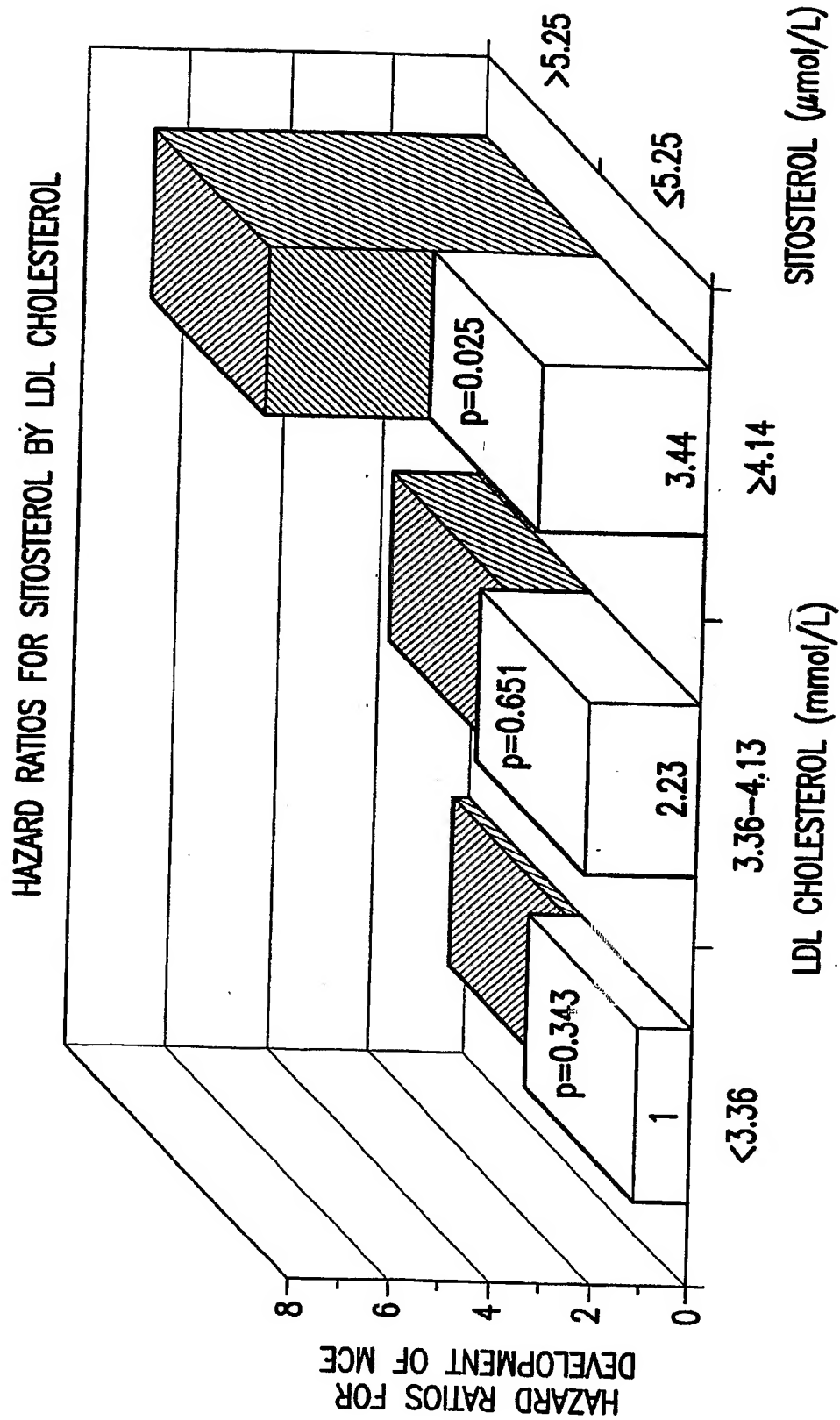


FIG.4



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HAZARD RATIOS FOR SITOSTEROL BY GLOBAL RISK

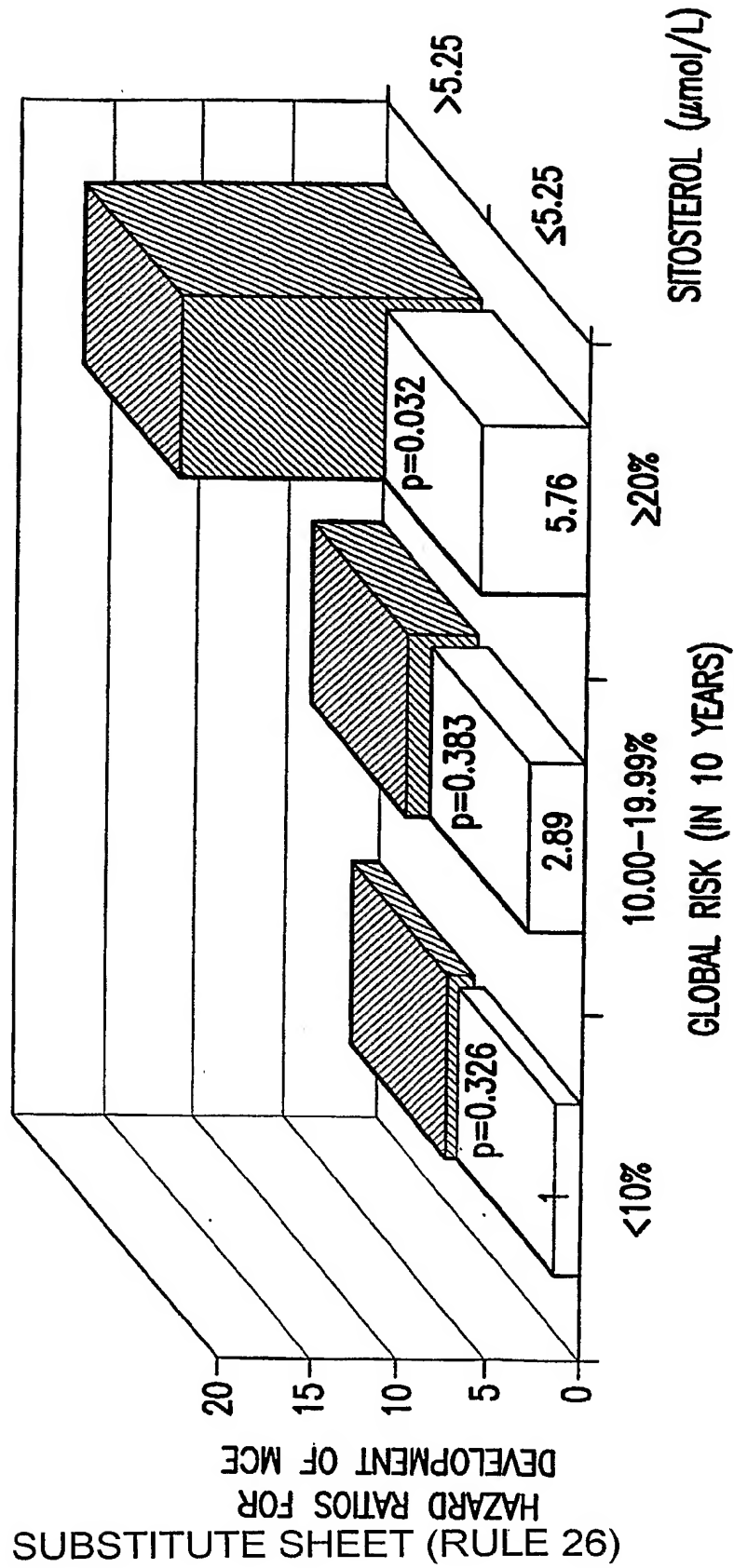


FIG. 5

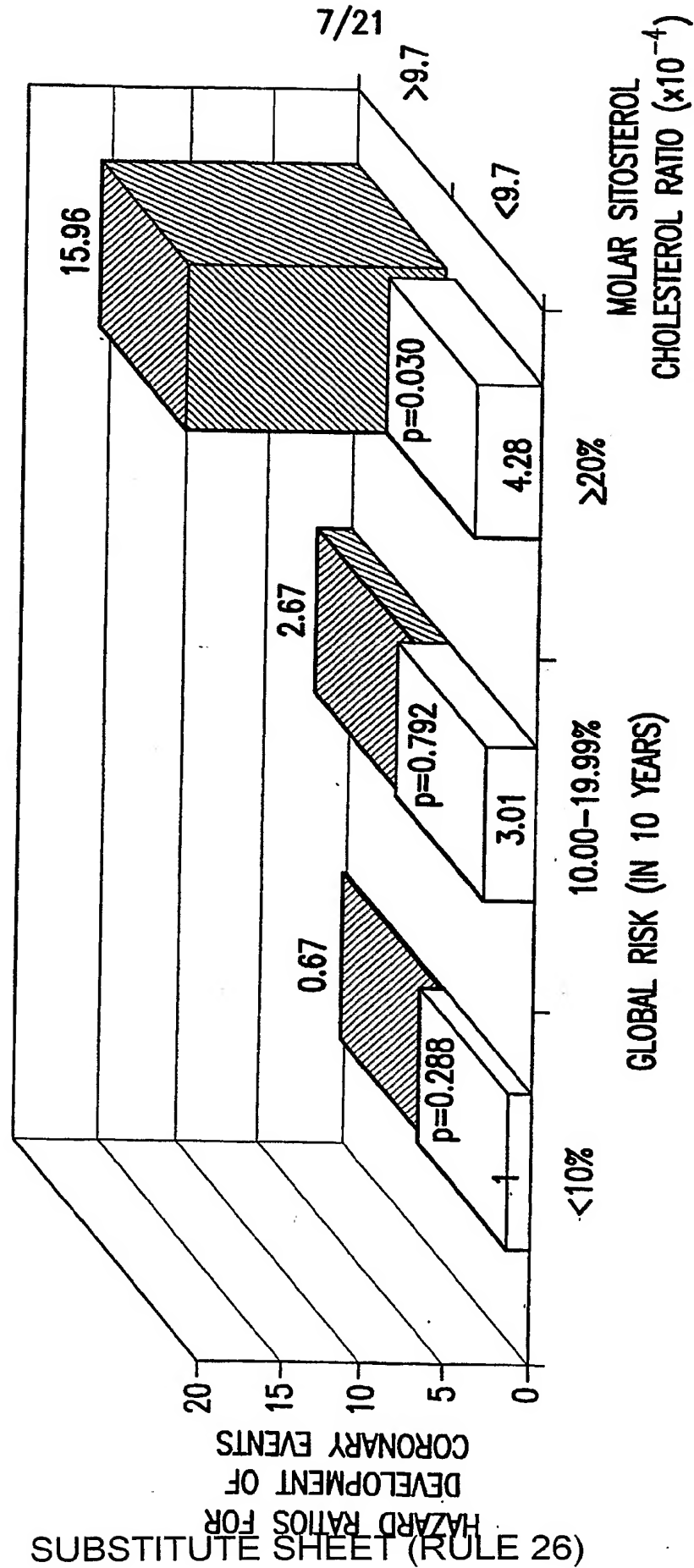
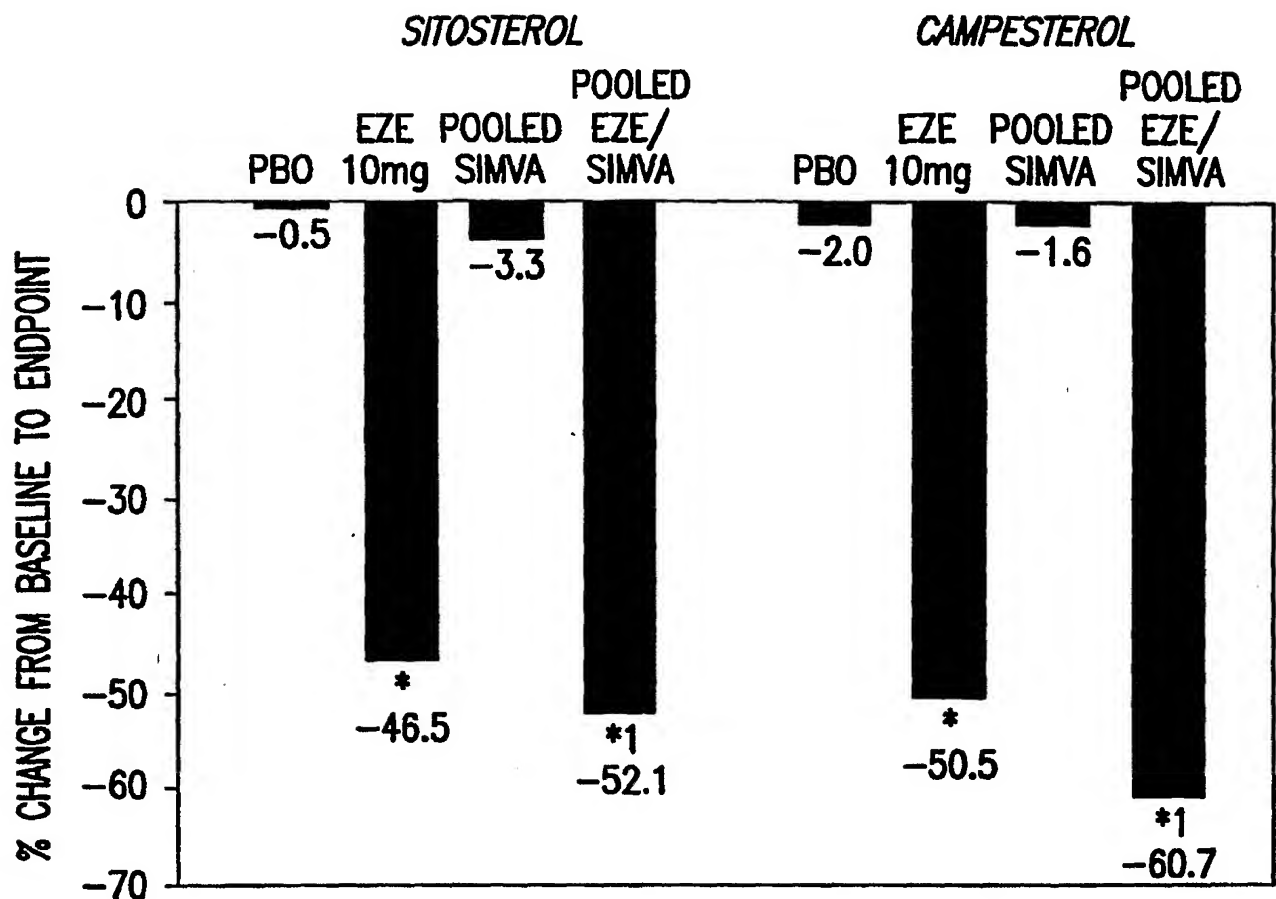


FIG. 5A

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## CHANGES IN PHYTOSTEROLS



## CHANGES IN PHYTOSTEROL:CHOLESTEROL RATIOS

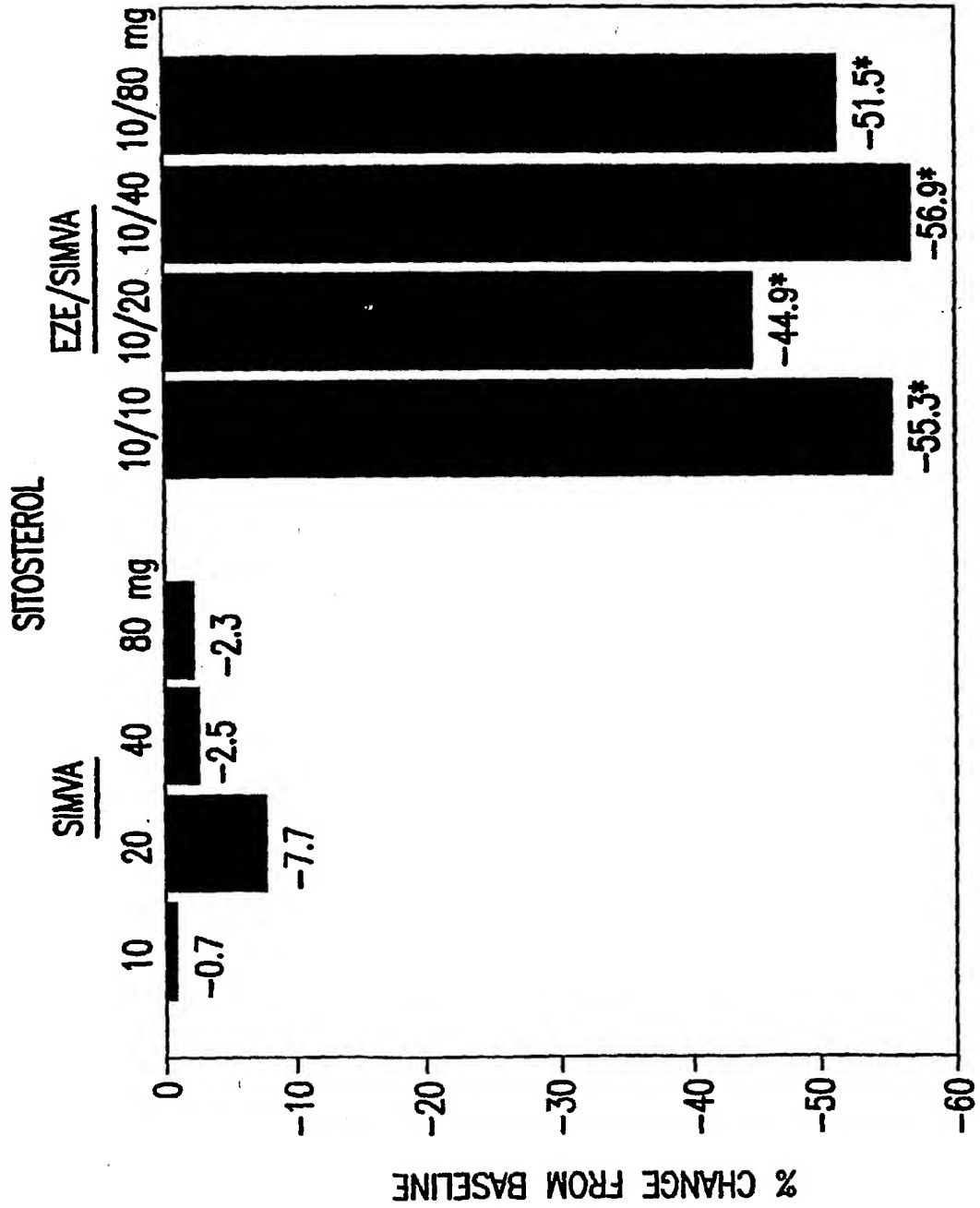
MEAN CHANGE RATIO <sup>2</sup> TO TOTAL CHOLESTEROL	SITOSTEROL				CAMPESTEROL			
	PBO	EZE 10mg	POOLED SIMVA	POOLED EZE/SIMVA	PBO	EZE 10mg	POOLED SIMVA	POOLED EZE/SIMVA
	-4.1	-37.8*	21.4*	-25.1* <sup>1</sup>	-6.8	-78.6*	39.8*	-68.3* <sup>1</sup>

\*P&lt;0.001 vs. PBO

<sup>1</sup>P<0.001 vs POOLED SIMVA<sup>2</sup> RATIO (STEROL:TOTAL CHOLESTEROL)=MEAN(10<sup>2</sup>mmol/mol)

FIG.6

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\*P<0.001 EZE/SIMVA vs. SIMVA

FIG.7A

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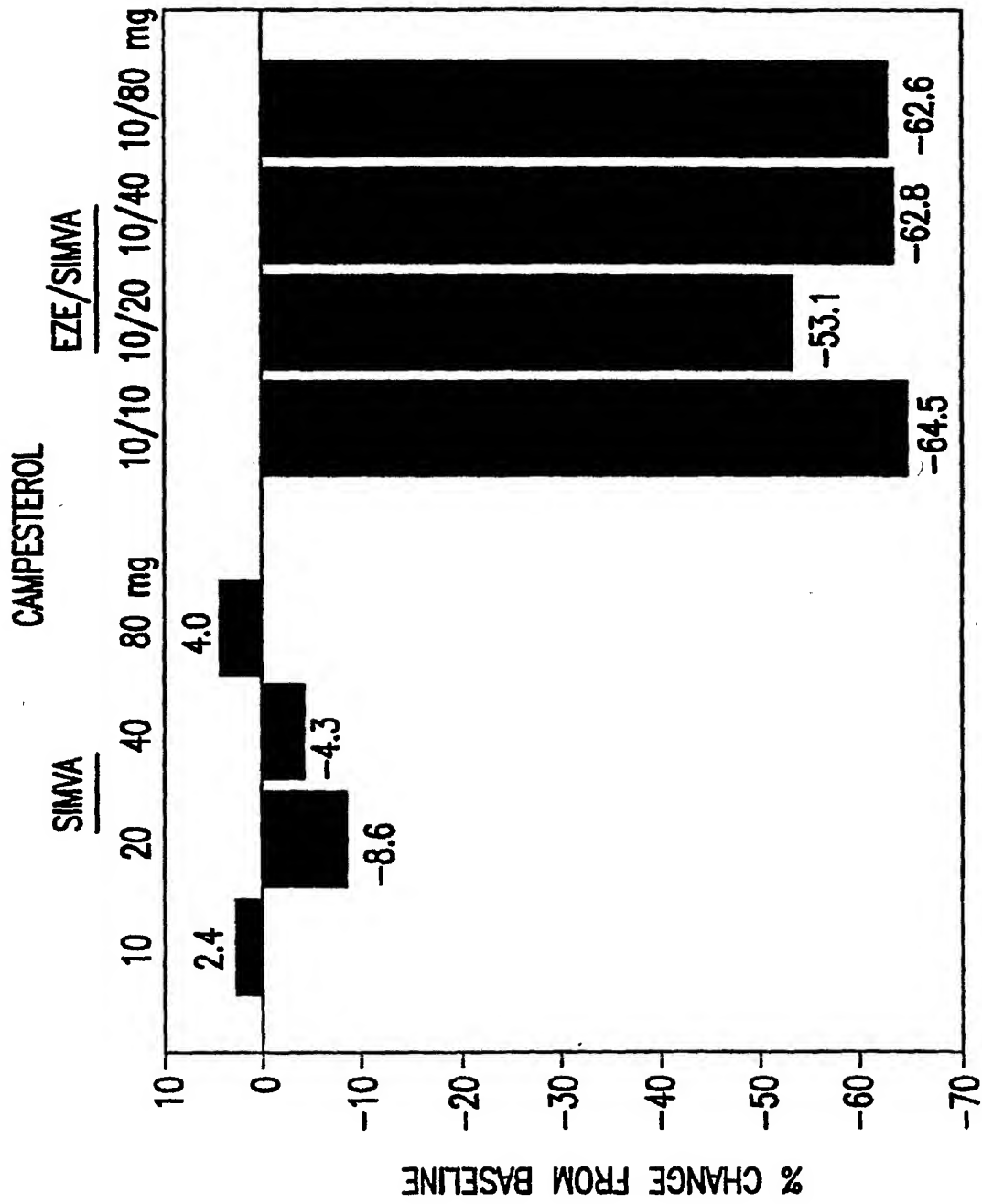
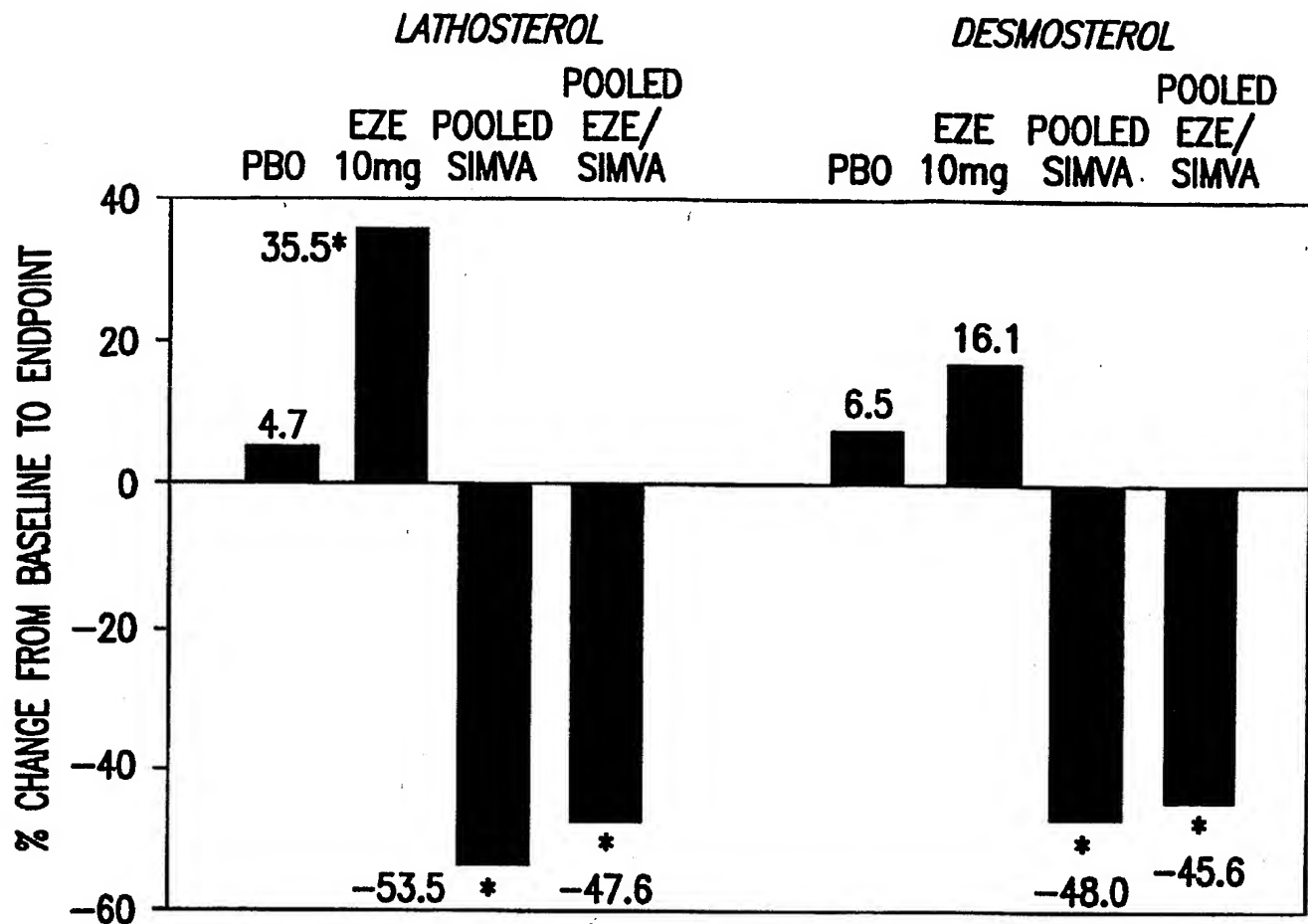


FIG. 7B

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## CHANGES IN CHOLESTEROL PRECURSORS/SYNTHESIS MARKERS



## CHANGES IN CHOLESTEROL PRECURSOR: CHOLESTEROL RATIOS

MEAN CHANGE RATIO <sup>2</sup> TO TOTAL CHOLESTEROL	LATHOSTEROL				DESMOSTEROL			
	PBO	EZE 10mg	POOLED SIMVA	POOLED EZE/SIMVA	PBO	EZE 10mg	POOLED SIMVA	POOLED EZE/SIMVA
	2.7	36.8*	-37.8*	-22.1 <sup>*1</sup>	5.1	25.7*	-22.8*	-11.1 <sup>*1</sup>

\*P&lt;0.001 vs. PBO

<sup>1</sup>P<0.001 vs POOLED SIMVA<sup>2</sup> RATIO (STEROL:TOTAL CHOLESTEROL)=MEAN(10<sup>2</sup>mmol/mol)

FIG.8

SUBSTITUTE SHEET (RULE 26)

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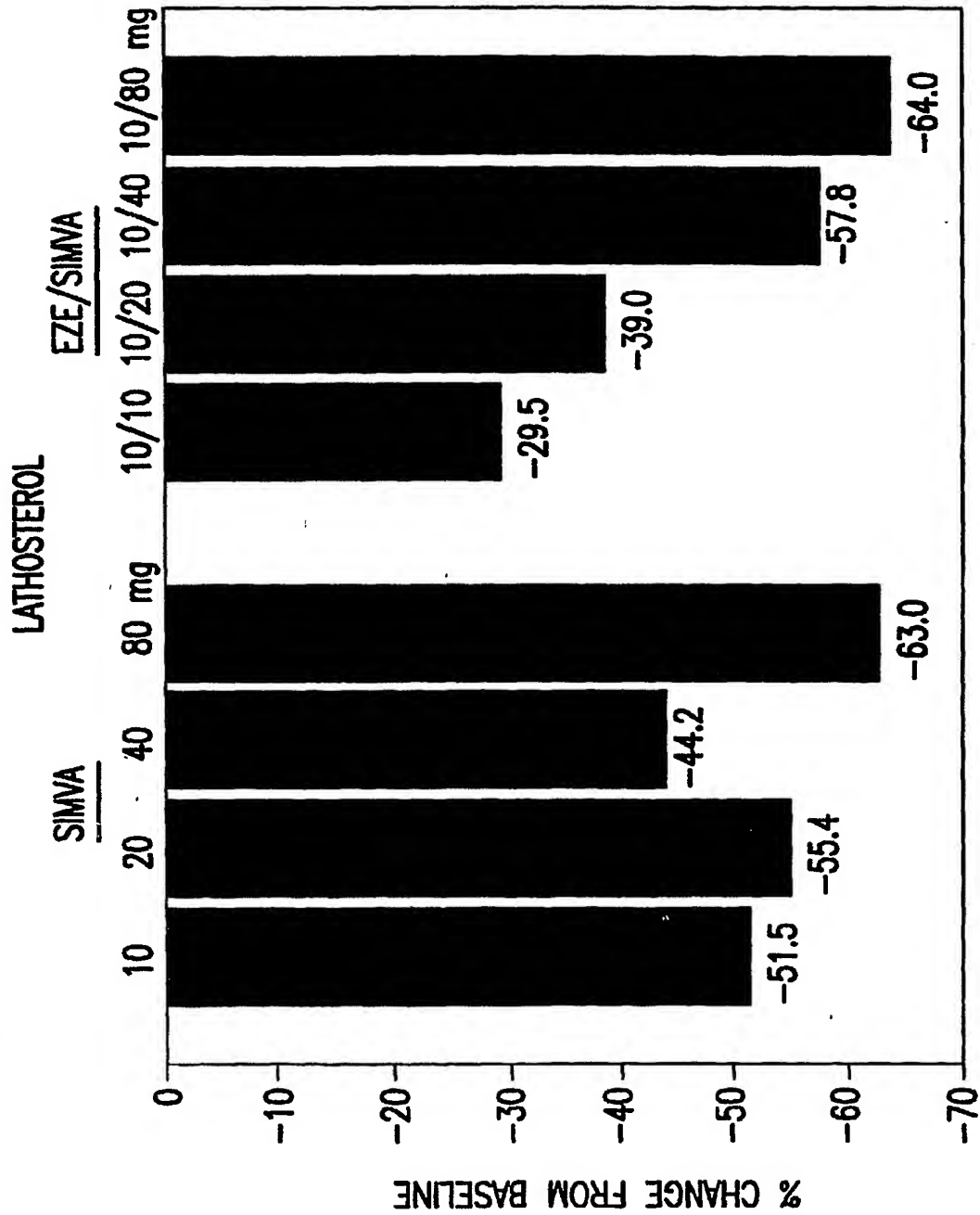


FIG. 9A

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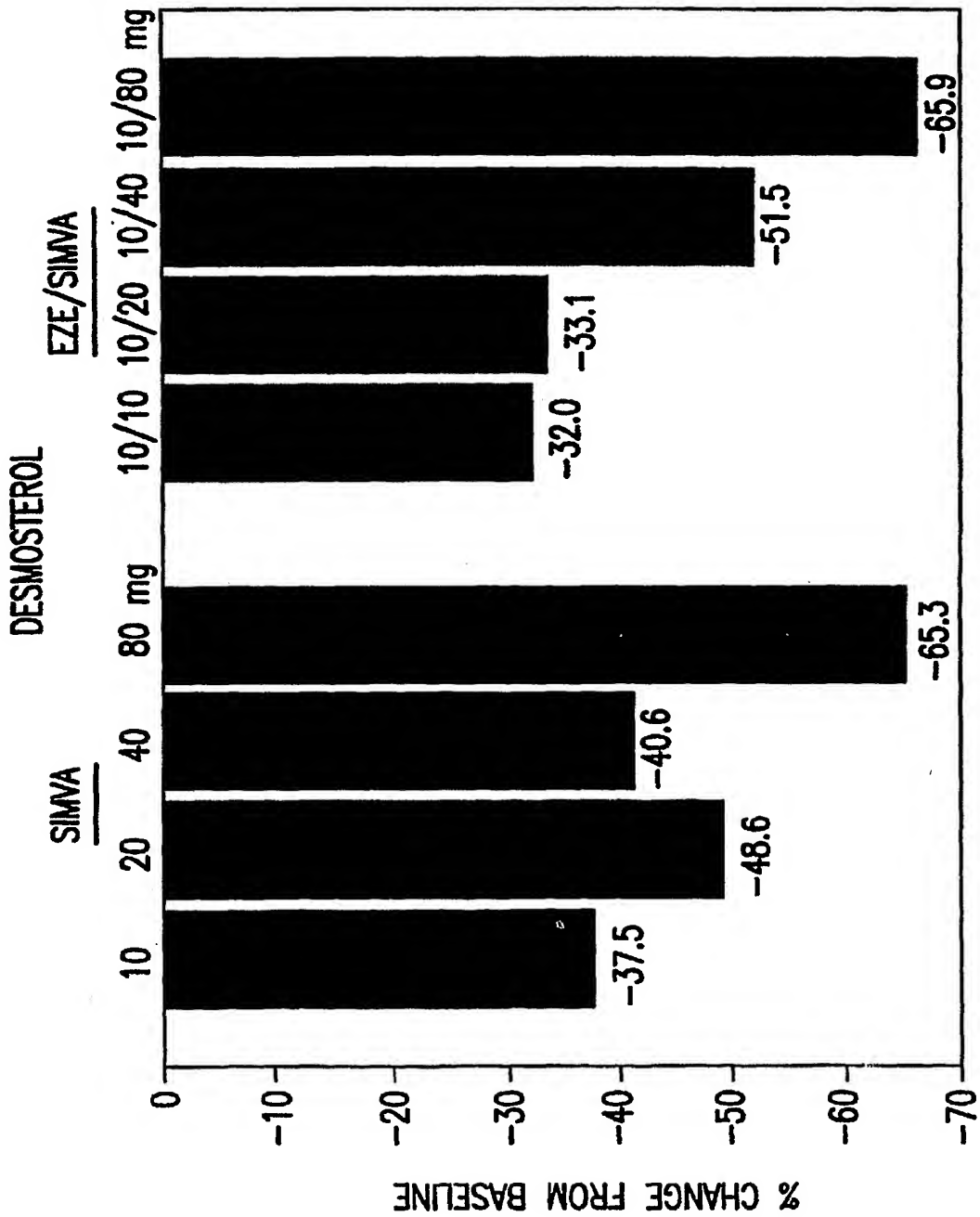


FIG. 9B



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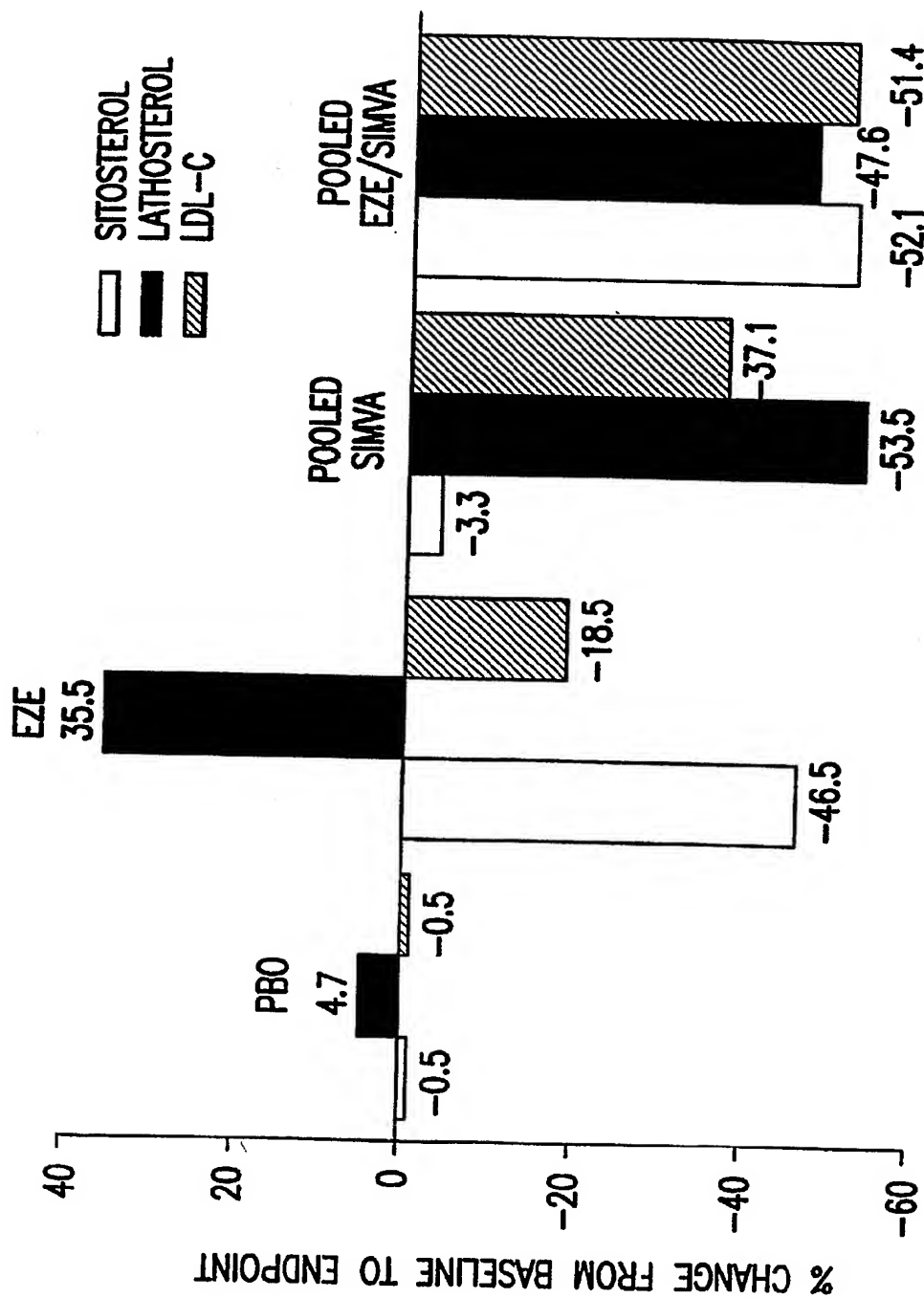
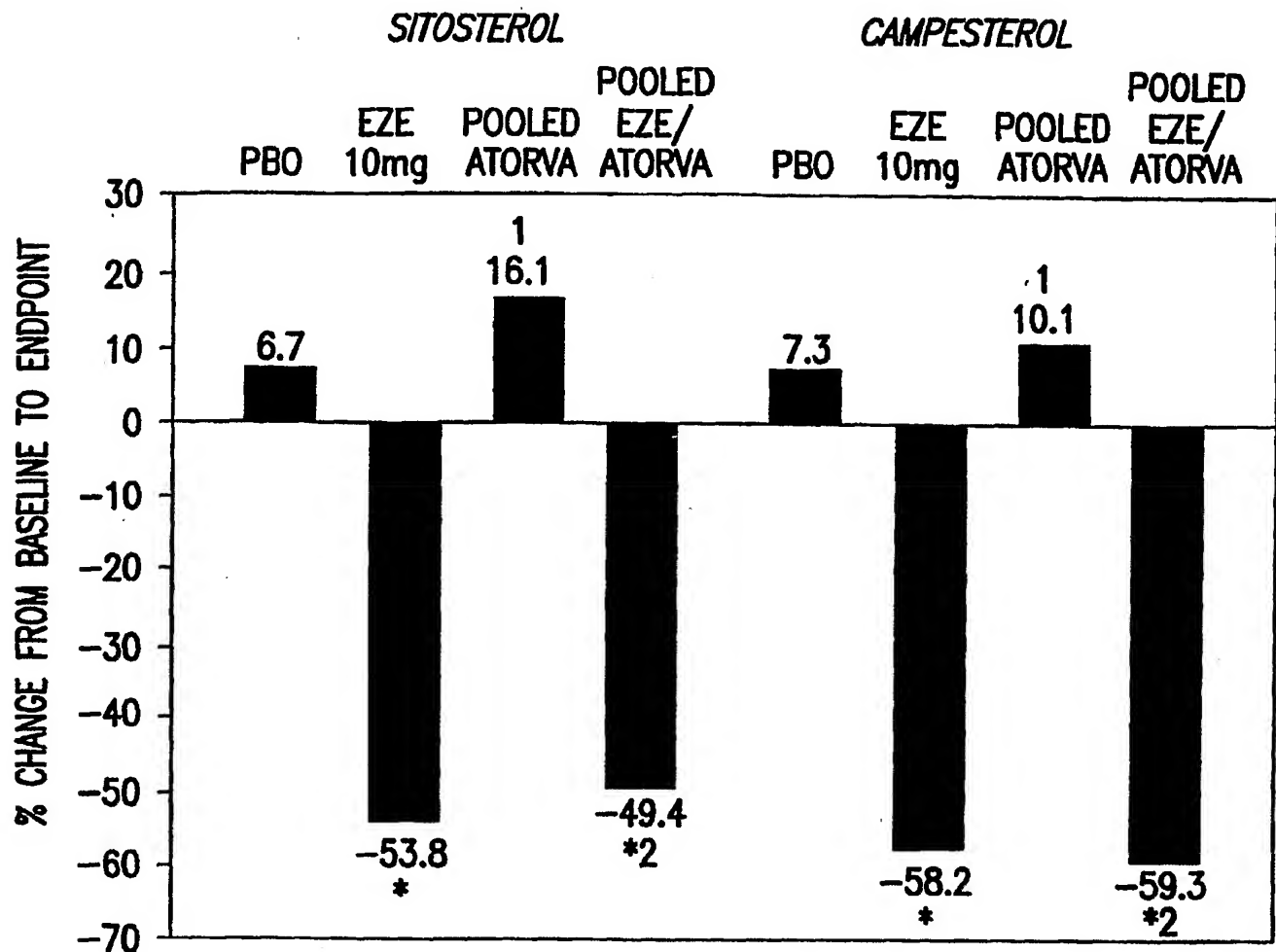


FIG.10

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## CHANGES IN CONCENTRATIONS OF PHYTOSTEROLS



## CHANGES IN PHYTOSTEROL:CHOLESTEROL RATIOS

MEAN CHANGE RATIO #	SITOSTEROL				CAMPESTEROL			
	PBO	EZE 10mg	POOLED ATORVA	POOLED EZE/ATORVA	PBO	EZE 10mg	POOLED ATORVA	POOLED EZE/ATORVA
	0.8	-70.1*	77.4* <sup>1</sup>	-24.8* <sup>12</sup>	5.2	-151*	128* <sup>1</sup>	-88.9* <sup>12</sup>

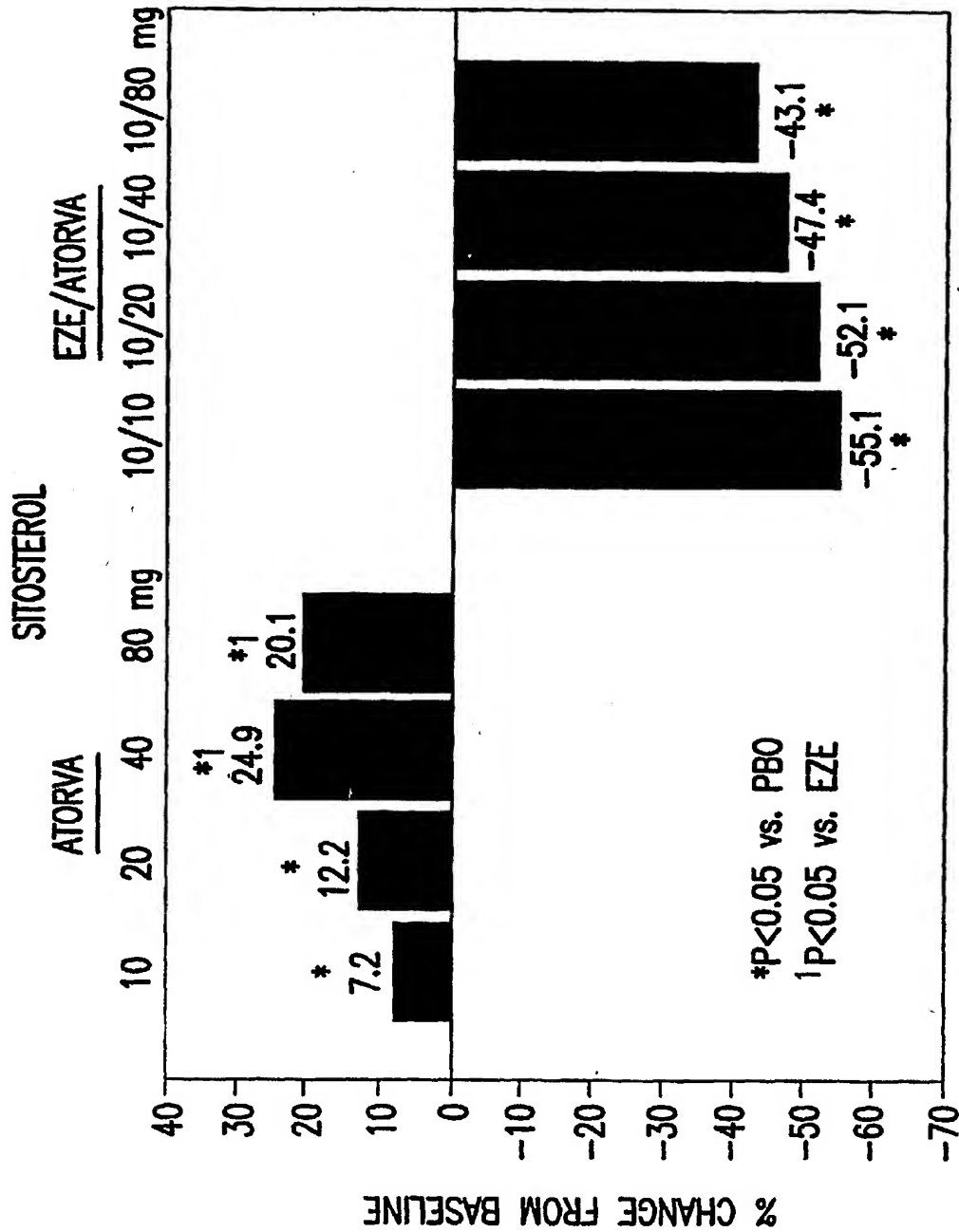
\*P&lt;0.05 vs. PBO

<sup>1</sup> P<0.05 vs EZE<sup>2</sup> P<0.05 vs. POOLED ATORVA# RATIO (STEROL:TOTAL CHOLESTEROL)=MEAN(10<sup>2</sup>mmol/mol)

FIG.11

SUBSTITUTE SHEET (RULE 26)

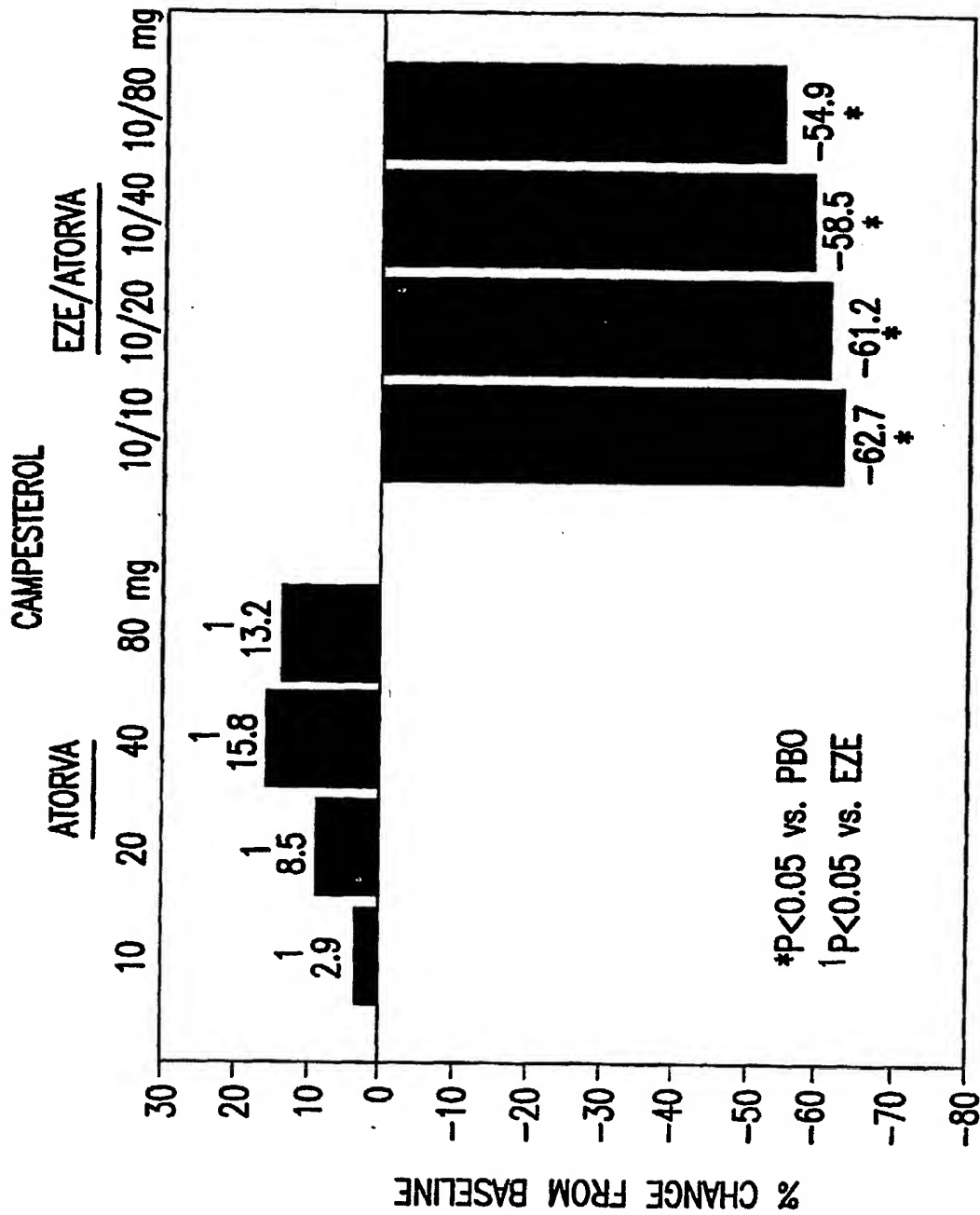
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THE EFFECT OF EZE/ATORVA ON SITOSTEROL AND CAMPESTEROL APPEARED TO BE INDEPENDENT OF ATORVA DOSE (FIGURES 12A AND 12B).

FIG. 12A

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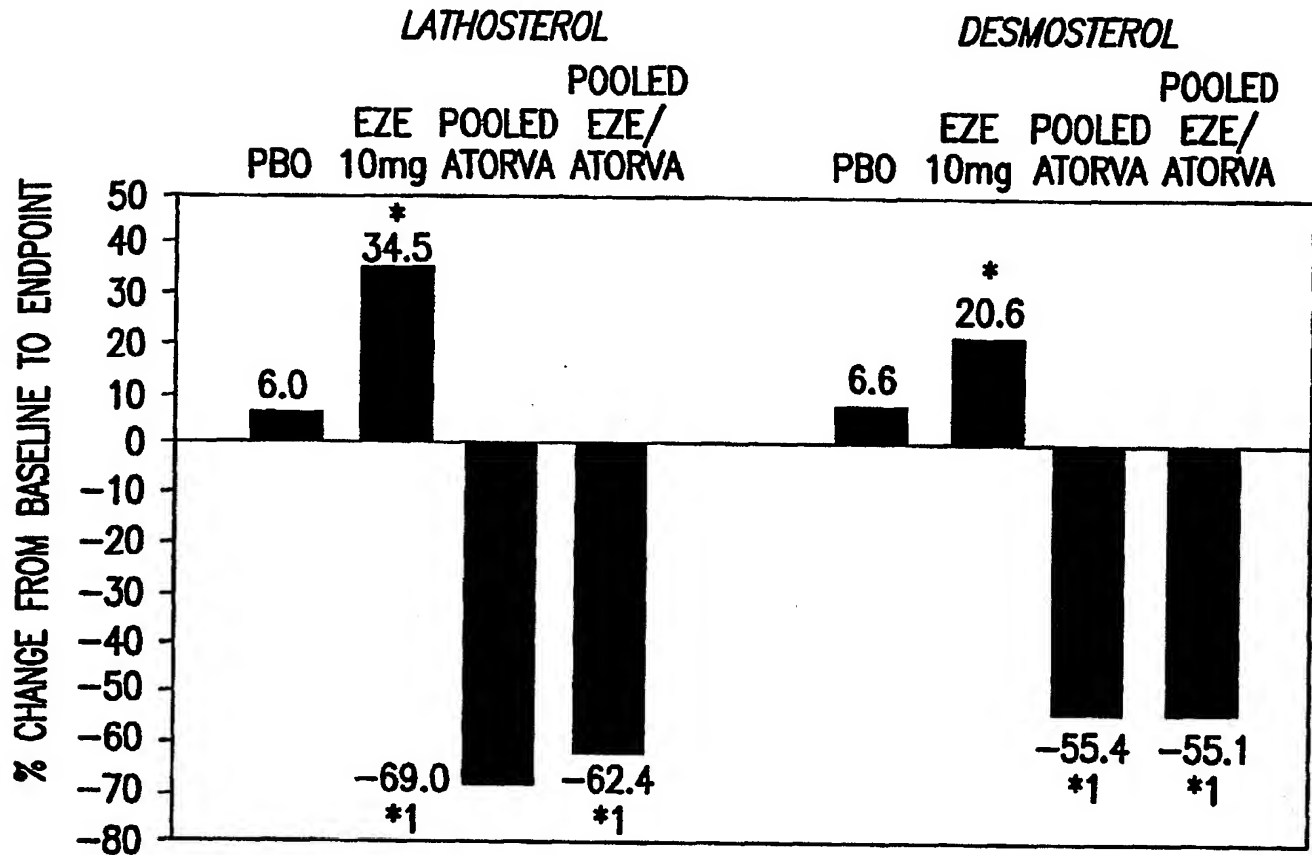


THE EFFECT OF EZE/ATORVA ON SITOSTEROL AND CAMPESTEROL APPEARED TO BE INDEPENDENT OF ATORVA DOSE (FIGURES 12A AND 12B).

FIG.12B

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# CHANGES IN CONCENTRATIONS OF CHOLESTEROL PRECURSORS/SYNTHESIS MARKERS



## CHANGES IN CHOLESTEROL PRECURSOR:CHOLESTEROL RATIOS

MEAN CHANGE RATIO <sup>2</sup>	LATHOSTEROL				DESMOSTEROL			
	PBO	EZE 10mg	POOLED ATORVA	EZE/ATORVA	PBO	EZE 10mg	POOLED ATORVA	EZE/ATORVA
	-0.0	56.2*	-69.8* <sup>1</sup>	-51.2* <sup>12</sup>	9.1	38.1	-34.5* <sup>1</sup>	-35.1* <sup>1</sup>

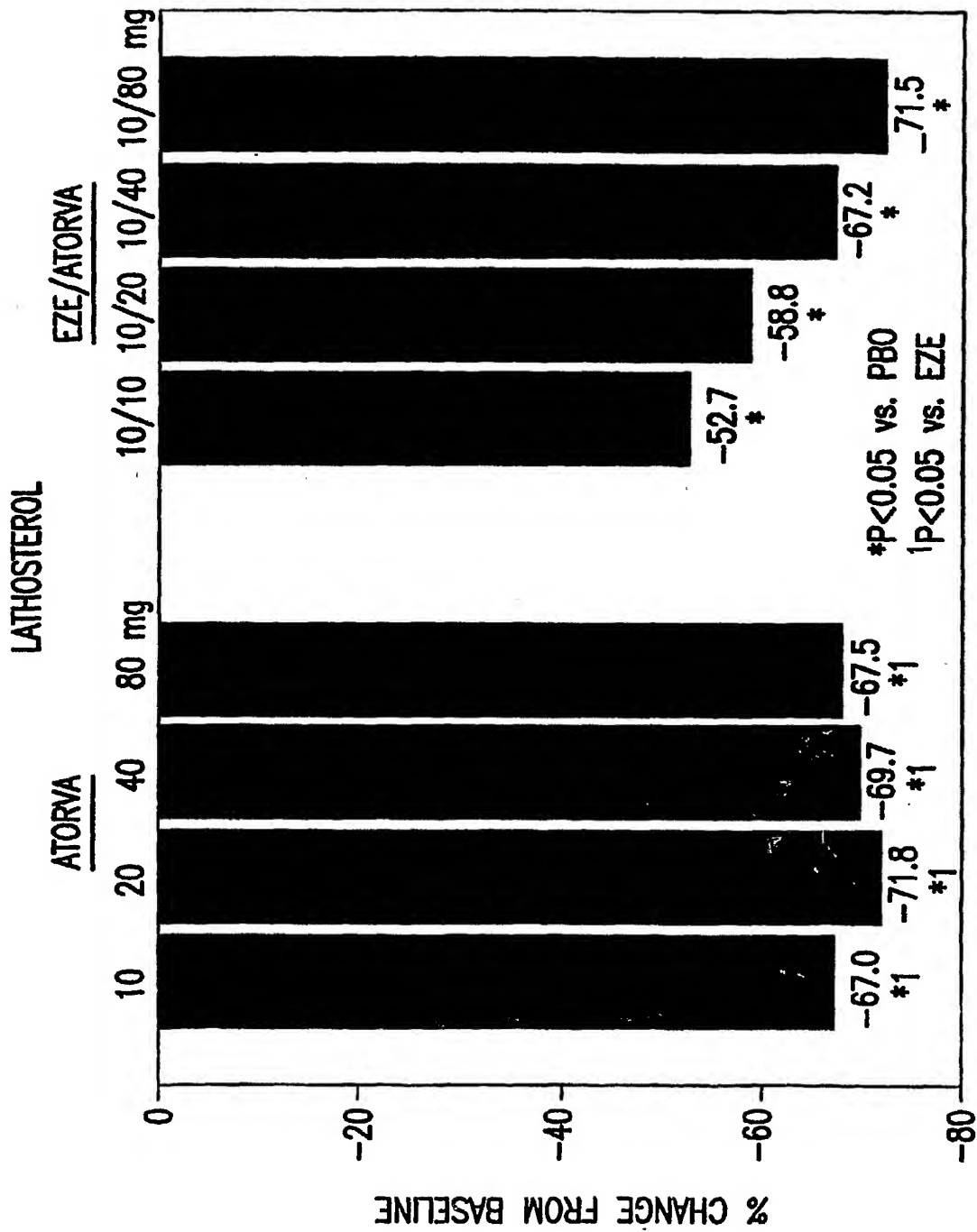
\*P&lt;0.05 vs. PBO

<sup>1</sup>P<0.05 vs. EZE<sup>2</sup>P<0.05 vs. POOLED ATORVA\*RATIO (STEROL:TOTAL CHOLESTEROL)=MEAN(10<sup>2</sup>mmol/mol)

FIG. 13

SUBSTITUTE SHEET (RULE 26)

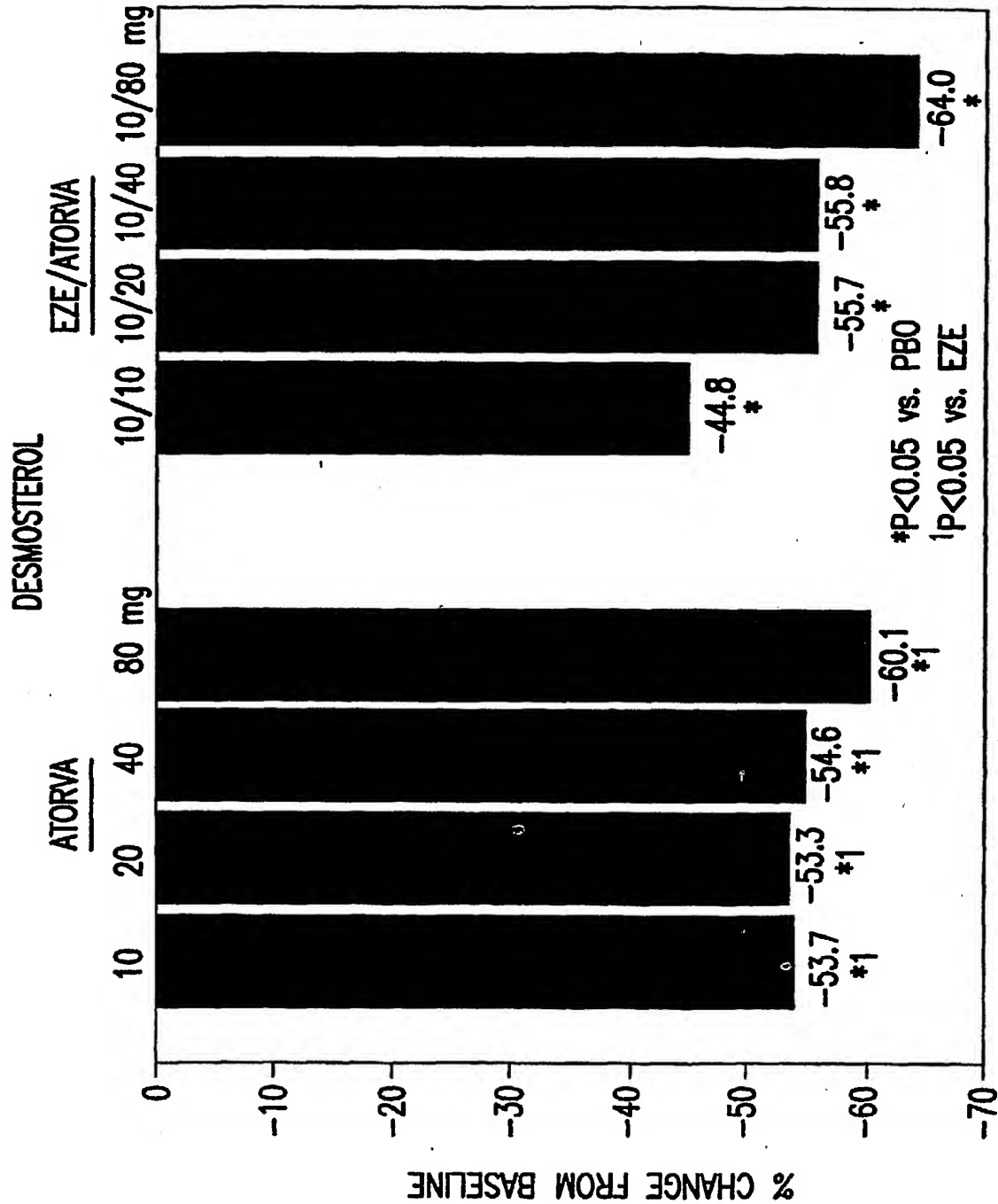
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THE EFFECTS OF EZE/ATORVA ON LATHOSTEROL AND DESMOSTEROL APPEAR TO INCREASE WITH INCREASING ATORVA DOSE (FIGURES 14A AND 14B).

FIG. 14A

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THE EFFECTS OF EZE/ATORVA ON LATHOSTEROL AND DESMOSTEROL APPEAR TO INCREASE WITH INCREASING ATORVA DOSE (FIGURES 14A AND 14B).

FIG. 14B

CHANGES IN NON-CHOLESTEROL STEROLS IN RELATION TO  
CHANGES IN LDL-C AND OTHER LIPID PARAMETERS BY TREATMENT

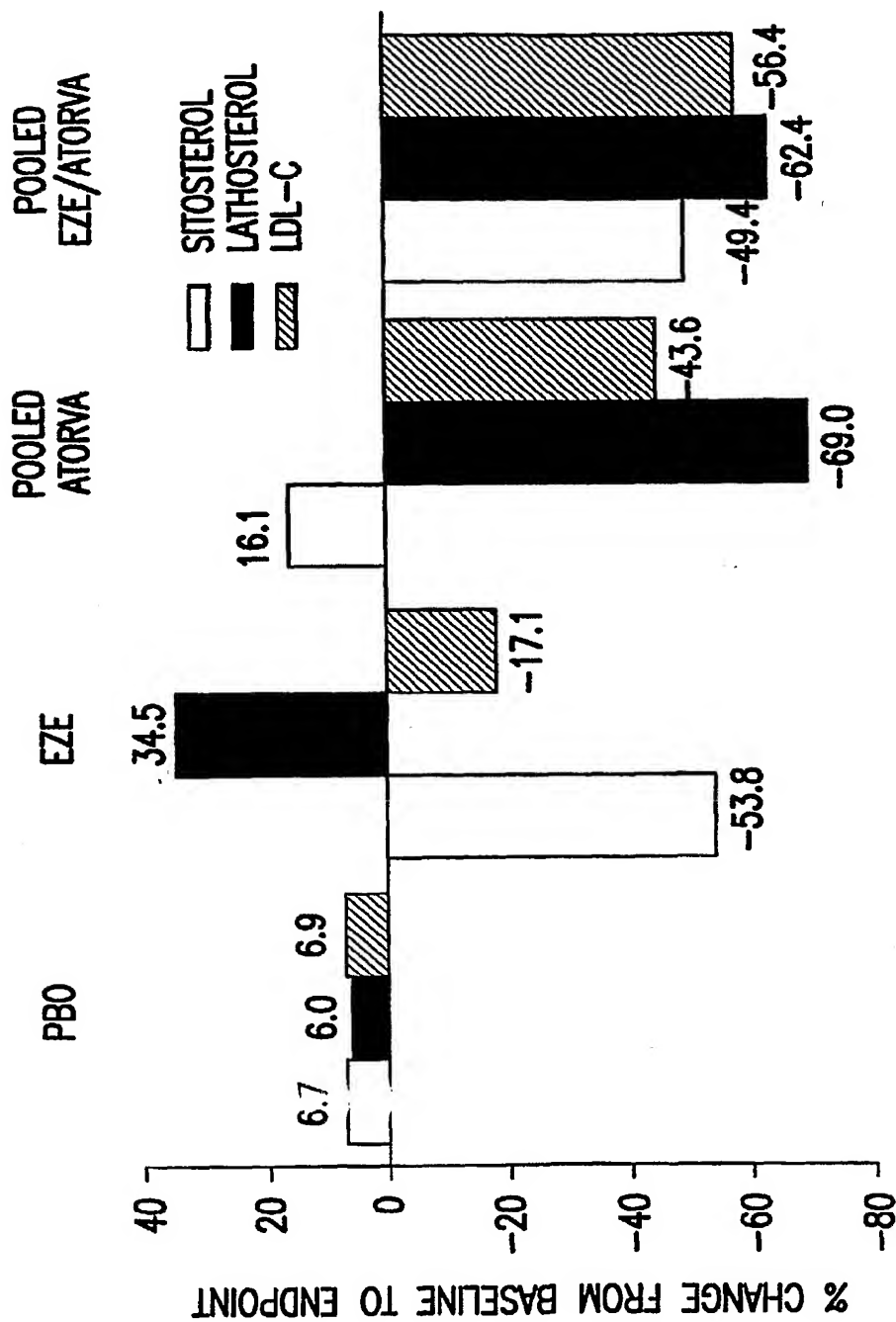


FIG.15